MICRO COMPUTER-ASSISTED PLANNING MODEL FOR SELECTION OF APPROPRIATE ...

ARNOLD, CLYDE LINCOLN, JR.

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MICRO COMPUTER-ASSISTED PLANNING MODEL FOR SELECTION OF APPROPRIATE TECHNOLOGY IN WATER AND WASTE TREATMENT

The University of Oklahoma

Рн.D. 1982

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THE UNIVERSITY OF OKLAHOMA GRADUATE COLLEGE

MICRO COMPUTER-ASSISTED PLANNING MODEL
FOR SELECTION OF APPROPRIATE TECHNOLOGY
IN WATER AND WASTE TREATMENT

A DISSERTATION

SUBMITTED TO THE GRADUATE FACULTY

in partial fulfillment of the requirements for the

degree of

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BY
CLYDE LINCOLN ARNOLD, JR.
Norman, Oklahoma
1982

MICRO COMPUTER-ASSISTED PLANNING MODEL
FOR SELECTION OF APPROPRIATE TECHNOLOGY
IN WATER AND WASTE TREATMENT

APPROVED BY

DISSERTATION COMMITTEE

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MICRO COMPUTER-ASSISTED PLANNING MODEL FOR SELECTION OF APPROPRIATE TECHNOLOGY IN WATER AND WASTE TREATMENT

CHAPTER I

INTRODUCTION

The United Nations has called for the expenditure of \$133 billion on water and waste treatment systems during the period 1981-1990,
the "Water Decade." It is intended that the provision of safe water and
adequate sanitation will significantly improve the health and well-being
of the general population in developing countries. That intention will
not be fulfilled unless treatment technology is selected which is maintainable, affordable, socially/culturally acceptable, and operable at
the local site. Integral to the success of the investment are four major
factors:

 The technology selected must be appropriate² to local resource availability,

 $^{^{}m l}$ Unless otherwise noted all dollar values are 1977 U.S.

 $^{^2}$ A glossary of terms is included as Appendix Y.

- the technology selected must be responsive to local health conditions and social/cultural customs,
- 3. the technology selection process must be subjected to extensive sensitivity analysis prior to final selection in order to reduce the probability that inappropriate investment will occur, and
- 4. the technology selection process must provide a common frame of reference to encourage interaction between engineers/planners/health professionals/economists in developing countries and international financial organizations.

In developing countries current practice often results in the selection of technology that is inappropriate. Available evidence indicates that a significant factor in this inappropriate investment is the lack, or inefficient use, of existing information and experience from both developing and developed countries. This research provides a model which places emphasis on developing country applications by utilizing a modification and synthesis of water supply/sanitation treatment technology selection models currently used in the United States. The model is tested using data previously collected by University of Oklahoma/United States Agency for International Development (OU/AID) projects in Panama and Indonesia. The research documented by this dissertation represents an attempt to fulfill the four success factors cited previously.

The nature of the health problem in developing countries is

virtually linked to the provision of adequate quantities of safe water supply and adequate sanitation. It has been estimated that approximately 80 percent of mortality and morbidity in developing countries is related to the availability of adequate/safe water and sanitation. The lack of adequate water and sanitation is a significant contributing factor in the annual death of 13 million children. The United Nations, its affiliates (World Health Organization, Pan American Health Organization, etc.) and other international organizations (World Bank, International Development Research Center, Agency for International Development, etc.) have expended considerable effort in estimating the magnitude of the water/sanitation/health problem and the investment required to ameliorate the problem. To provide minimal water and sanitation to all of the world's people, only 38 percent currently have minimal service, will require an investment of \$133 billion dollars. In theory the cost of the investment should be compared to the quantified benefits estimated for each alternative. Unfortunately, this type of infrastructure investment cannot be directly correlated to quantifiable benefits. In practice individual water/sanitation investment programs are compared on the basis of qualitative benefits and/or the engineering cost of the alternate treatment schemes. Neither of these selection criteria has the property of logically leading to the selection of appropriate technology and the second criteria, engineering cost analysis, may seriously miscalculate the economic cost of the project. Unless technology can be selected which is appropriate for local operation and cognizant of local health conditions an expenditure of \$133 billion dollars will not significantly improve the human condition in developing countries.

This research documents are interdisciplinary planning model which is structured to select the most appropriate water and/or sanitation treatment technology for a local site. The selected technology will be responsive to local health conditions and the selection process strongly encourages extensive sensitivity analysis prior to selection. The planning model provides a common frame of reference where a multidisciplinary group (engineers, planners, health professionals, economists, etc.) can interdependently interact to seek the most appropriate treatment scheme for an individual project. The selection process uses a systematic cost effectiveness analysis to display the range of technology suitable to local conditions and select the most appropriate technology based on the available data. Finally, the planning model uses high level technology (but appropriate, i.e., microcomputer hardware and systems analysis software) to aid in the selection of appropriate technology (indicating neither high or low level) in the water supply and sanitation technology field.

CHAPTER II

LITERATURE REVIEW

Introduction

The literature reviewed during this research has concentrated on water/sanitation technology selection models and the interdependent links between these types of models and economic development. The first section of the review will concentrate on four water/sanitation technology selection models current in the literature. Next, the review investigates the relationship between water supply treatment/sanitation technology and the public's health. Finally, the review explores the connection between water/sanitation technology, health, and economics.

There are four major water/sanitation treatment technology models current in the literature:

i. CAPDET, jointly sponsored by the Corps of Engineers (COE) and the Environmental Protection Agency (EPA). $^{\rm 1}$

Corps of Engineers and U.S. Environmental Protection Agency, Computer-assisted Procedure for the Design and Evaluation of Wastewater Treatment Systems: Users Guide, Report 430/9-79-01, May 1979.

- ii. EXEC-OP, an EPA/Lewis Rossman developed model. 2
- iii. USAID/Reid, development sponsored by the United States Agency for International Development (USAID) with Professor George W. Reid.³
- iv. WBANK, a model developed by the International Bank for Reconstruction and Development/The World Bank.⁴

The original theoretical work in the optimization area were based on chemical engineering optimization routines developed in the mid to late 1950's. Lynn, et al., gave the first application of systems analysis to water treatment/sanitation technology selection in early 1962. The late 1960's and early 1970's resulted in the development of various optimization applications using linear programming, integer programming, dynamic programming, geometric programming, and non-linear

Lewis A. Rossman, "Synthesis of Waste Treatment Systems by Implicit Enumeration", Journal of the Water Pollution Control Federation, (January 1980): 148-160.

³George W. Reid and Katherine Coffey, eds., <u>Appropriate Methods of Treating Water and Wastewater in Developing Countries</u>, (Norman: Bureau of Water and Environmental Resources Research, University of Oklahoma, 1979).

⁴International Banks for Reconstruction and Development/The World Bank, Appropriate Technology for Water Supply and Sanitation, studies in low-cost water supply and sanitation (Washington, DC, 1980).

Walter R. Lynn, et al., "Systems Analysis for Planning Wastewater Treatment Plants", <u>Journal of the Water Pollution Control Federation</u>, Vol. 34, No. 6 (June 1962): 565-581.

programming. ⁶ By the mid 1970's, COE had developed considerable expertise at the Waterways Experimental Station in Mississippi with computer applications and mathematical modeling in the general area of water treatment/sanitation technology. A joint effort was launched by COE and EPA to provide a planning model that could be used by municipalities to investigate alternate treatment schemes. The model resulting from that effort, CAPDET, relied on kinetic/mass balance equations and design data in unit processing routines to take a limited data input (the Wastewater flow and constituents of pollutation at minimum) and provide output data, such as the physical size of pipes, pumps, etc; expected effluent in both qualitative and quantative detail; plus extensive economic parameters, such as capital cost, operation and maintenance cost, energy cost, manpower required, materials required, etc. ⁷ The CAPDET draft report became available in 1976 but the use of the system was initially impeded by its formidability. Although a participant in the development and extention of CAPDET, the EPA had maintained an interest in water treatment/sanitation technology modeling by developing a wastewater

⁶D. E. Evenson G. T. Orlob, J. R. Monzer, "Preliminary Selection of Waste Treatment Systems", Journal of the Water Pollution Control Federation, Vol. 41, No. 11 (November 1969): 1845-1858. Chia S. Shih and P. Krishnan, "Dynamic Optimization for Industrial Waste Treatment Design", Journal of the Water Pollution Control Federation, Vol. 41, No. 10 (October 1969): 1787-1802. Paul M. Berthouex and Lawrence B. Polksowski, "Optimum Waste Treatment Plant Design Under Uncertainty," Journal of the Water Pollution Control Federation, Vol. 42, No. 9 (September 1970): 1589-1613. B. J. Adams and D. Panagiotakopoulos, "Network Approach to Optimal Wastewater Treatment Design", Journal of the Water Pollution Control Federation, Vol. 49, No. 4 (April 1977): 623-632; Hans J. Rasmusen, "Simplified Optimization of Water Supply Systems," Journal of the Environmental Engineering Division, American Society of Civil Engineers, Vol. 102, No. EE2 (April 1976): 313-327.

⁷See Appendix A for a CAPDET example output.

treatment plant simulator entitled EXECUTIVE. 8 In the same period USAID encouraged Professor George W. Reid to initiate modeling efforts toward selection of water treatment/sanitation technology in developing coun-The USAID/REID effort lead to a field test of the model in tries. Panama and Indonesia plus the demonstration of the model and field results in Panama, Guatemala, Peru, the Philippines, Indonesia, and Thailand during late 1979 and early 1980. The separate EPA modeling effort which produced EXECUTIVE lead to the development in early 1980 of a wastewater treatment selection model, EXEC-OP, which uses the EXECUTIVE simulation model as a subroutine. 10 Meanwhile, the World Bank had initiated a modeling effort toward the selection of appropriate technology in water treatment and sanitation for developing countries. The first draft of that effort became publicly available in 1981 with final results scheduled to be published in mid 1982. 11 Table 1 contrasts the major differences between the four models. CAPDET provides the user with a maximum amount of detail both engineering and economic; however, it does not respond to the concept of appropriate technology

⁸R. G. Eilers and Robert Smith, "Applications of Computer Programs in the Preliminary Design of Wastewater Treatment Facilities - Section II," EPA-600/2-78-1856, U. S. Environmental Protection Agency, Municipal Environmental Research Laboratory (Cincinnati, Ohio, 1978).

Reid and Coffey, eds., Appropriate Methods; George W. Reid, Clyde L. Arnold, and Leale E. Streebin, Workbooks for Appropriate Technology Workshop, Bureau of Water and Environmental Resources Research, University of Oklahoma (April 1980).

¹⁰ Rossman, Synthesis of Water Treatment Systems.

¹¹ The International Bank for Reconstruction and Development/The World Bank, Appropriate Technology.

TABLE 1

Model

	110401				
	Parameter	CAPDET	EXEC-OP	USAID/REID	World Bank
1.	Unit Processes Fixed?	Yes	Yes	Yes	Yes
2.	Total Number of Unit Processes	5	22	27	12
3.	Treatment Trains Fixed	No	No	Yes	No
4.	Uses Mass Balance and Process Kinetics	Yes	Yes	No	No
5.	Includes Multiple Design Criteria	No	Yes	No^{1}	No ¹
6.	Optimization Technique	Complete Enumeration	Partial Enumeration	Screening	Screening
7.	Recycles Sidestreams	No	Yes	No	No
8.	Design Detail Provided	Very High	Medium	Low	Low
9.	Allows User to Input Local Cost	Yes	No	Yes	Yes
10.	Screens Processes on the Basis of Available Resources	No	No	Yes	Yes
11.	Relative Computer Cost	Very High	Medium Low	Very Low	None
	Type of Computer System Required	Large, Main Frame or Time Sharing	Mini	Micro- computer, Programmab Calculator None	
13.	Coverage	Wastewater	Wastewater	Water, Waste	Water ²

¹Variations in design criteria are not systematically included but may be investigated using sensitivity analysis at little additional cost.

 $^{^2\}mbox{Water}$ treatment is mentioned but the technical detail concentrates on waste treatment.

nor does it deal with water treatment. ¹² In addition, CAPDET is not structured for sensitivity analysis as an integral part of the modeling effort. Both CAPDET and EXEC-OP are oriented toward wastewater treatment plant design in the U.S. with EXEC-OP concentrating on a smaller group of advanced technologies processes than does CAPDET. ¹³ EXEC-OP provides three major additions relative to CAPDET, namely:

- 1. The ability to explicitly recycle effluent sidestreams generated by certain unit processes such as sedimentation,
- allows the inclusion of multiple design criteria such as reliability and the cost of energy, and
- uses a branch and bound technique under linear programming to produce a partial enumeration of available unit processes.

Recycling of sidestreams represents an improvement in the completeness of the model while partial enumeration represents a more efficient modeling technique compared to CAPDET. In addition, EXEC-OP does not provide the level of design detail of CAPDET nor does it easily allow the user to input local cost data, although local cost may be used with some

 $^{^{12}}$ Conversations with individuals concerned with CAPDET indicate that the model is currently being expanded to handle water treatment.

 $^{^{13}}$ The unit processes contained in CAPDET and EXEC-OP are included as Appendix B and D respectfully. Appendix A and C present typical output examples for the two models.

difficulty. Both USAID/REID and WBANK are directly concerned with developing country applications, each is responsive to the concept of appropriate technology, both handle local cost input, and neither accepts multiple design criteria. USAID/REID is the only model to explicitly address technology selection in both water treatment and sanitation. 14 Neither USAID/REID nor WBANK provide the engineering design $detail^{15}$ of CAPDET, nor the attention to sidestream recycling or the multiple design criteria of EXEC-OP. In terms of an overview summary, CAPDET is reasonably flexible, except for sensitivity analysis, and provides a great quantity of engineering design detail and engineering cost analysis. EXEC-OP is most complete in the simulation mode, the most efficient in optimization technique, and the most conducive to sensitivity analysis. USAID/REID has the most complete coverage of technology appropriate to developing country water treatment and sanitation. The WBANK model has the clearest commitment to the relationship between public health and water treatment/sanitation technology selection. Since each model has strong points and disadvantages, the following sections explore the details of the separate models.

The World Bank model deals slightly with water treatment, but does not explicitly include water treatment technology in the solution algorithm.

 $^{$^{15}\!}$ Detailed design information is provided in the documentation for both USAID/REID and WORLD BANK.

Capdet

The philosophical set of CAPDET is to provide the knowledgeable user with sufficient engineering design and engineering cost data to compare alternative wastewater treatment designs. CAPDET was intended to meet the needs of the EPA Construction Grants Program and the COE Urban Studies Program. 16 Figure 1 presents the generic organization of a typical treatment scheme. 17 CAPDET used three major divisions for treatment processes: liquid division, primary sludge division, and secondary sludge division. As indicated in Figure 2, the wastewater enters the liquid treatment division and continues with liquid treatment processes and/or enters the primary sludge division. After additional liquid treatment processes, the transformed wastewater may enter the secondary sludge division or be discharged as liquid effluent. The intention is to take highly contaminated wastewater and use various treatment technologies to create safe residues, both liquid and solid, for dis-The specific treatment processes included in CAPDET are listed in Appendix B. Figure 3 presents a typical problem construction for an investigation of alternate treatment schemes. 18 The waste stream enters

Corps of Engineers and Environmental Protection Agency, Computer-Assisted Procedure (1979): Acknowledgments.

¹⁷IBid.: 1-3.

¹⁸ Corps of Engineers and Environmental Protection Agency, Computer-Assisted Procedure (1979): 2-3.

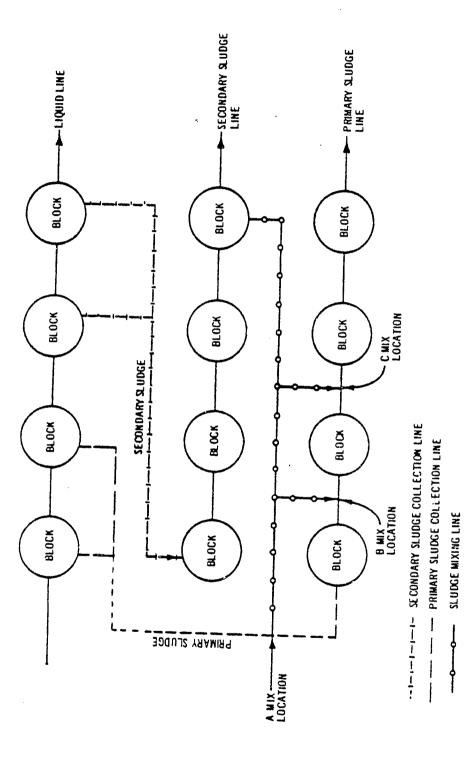


Figure 1. Generic organization of a typical treatment scheme.

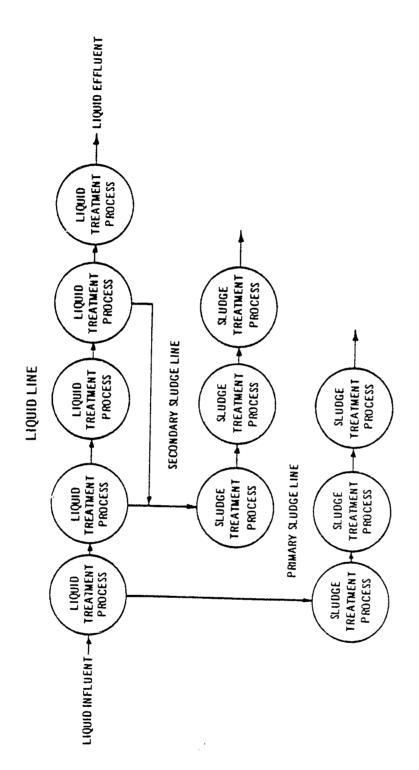


Figure 2. Typical problem construction.

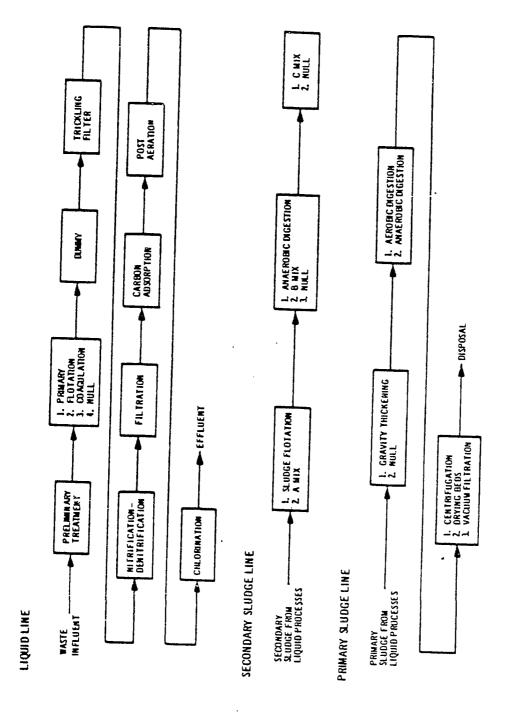


Figure 3. Typical treatment scheme with unit processes indicating 192 possible treatment train alternatives.

the preliminary treatment unit process, then exits to one of four unit processes (primary, flotation, etc.) followed by trickling filtration, filtration, and chlorination. As the selected unit processes produce sludge, the effluent is passed throught the two sludge divisions. This single treatment scheme represents 192 possible treatment trains combinations from the treatment scheme. 19

The user selects the unit treatment processes to include in the treatment scheme and arrays the selected processes into proper block location. The user is expected to be sufficiently conversant with the tech nical details to not only select and array unit processes but also to evaluate and modify as necessary, the technical details included in the analysis, i.e., biological oxygen demand (BOD) loading, average daily flow, etc. The user may select either a large facility analysis, flow greater than or equal to 0.5 million gallons per day (mgd), or a small facility analysis, flow less then 0.5 mgd. This decision affects the number of unit processes available for inclusion in the analysis. Once selection and array of unit treatment processes is complete CAPDET uses a complete enumeration approach to calculate cost and design details for all possible combinations of unit processes constructed into treatment trains. The treatment cost is calculated by assuming a typical configuration and method of construction for each unit process. Unit

 $^{^{19}\}mathrm{A}$ treatment train includes a single unit process for each block in the treatment scheme.

 $^{^{20}}$ The CAPDET treatment processes for both large and small facility analysis are included as Appendix B.

 $^{$^{21}\!\}mathrm{Detail}$ is calculated for all combinations but only the 100 least cost treatment trains may be saved for display.

cost supplied by the user, or defaulted by CAPDET, are used in calculating treatment cost. This estimating technique gives the user the ability to easily alter unit cost to site/geographic specific cost analysis. Where appropriate, the user may modify equipment and component service life to more closely simulate local conditions. All of the data entry and analysis are undertaken in a batch mode. As can be seen in the sample CAPDET output, Appendix A, extensive engineering cost and design detail are provided to the user.

If a treatment train were to be selected only on the basis of engineering cost analysis CAPDET supplies sufficient information to discriminate between treatment train alternatives. For developing countries a simple engineering cost analysis is insufficient for planning purposes and selection of appropriate technology is vital. Therefore, CAPDET can be very useful but it has several drawbacks; namely:

- 1. Sensitivity analysis is not available except as repeated batch runs.
- 2. The selection of technology is not subjected to any con straint on manpower and/or resources.

²² Corps of Engineers and Environmental Protection Agency, Computer-Assisted Procedure, (1979): 3-1.

 $^{^{23}}$ In a batch mode all data and selections of unit treatment processes are entered as a single step. Output follows automatically with no recourse to sensitivity analysis unless a second batch run is undertaken.

3. The computer charges for a computer time sharing system are substantial. 24

The first two disadvantages are by far the most important for planning in developing countries. The need to prevent inappropriate investment in water supply treatment/sanitation technology is well documented in the literature. 25

The need for sensitivity analysis has been cited by several authors²⁶. The level and application of the sensitivity analysis is left to the analyst to determine. Computer charges may be significant if it contributes to either inappropriate technology and/or insufficient sensitivity analysis. In summary, CAPDET represent the state-of-the-art

²⁴A typical problem could easily cost \$500 per run. Although this cost is insignificant in relation to level of investment, the cost could rise significantly if sensitivity analysis were performed. In developing countries, such a rise would be likely to sharply curtail the sensitivity analysis.

Supply: Economics and Policy in the Developing World, (Baltimore: John Hopkins University Press c 1976). Asit K. Biswas, "Environment and Water Development in the Third World," Journal of the Water Resources Planning and Management Division, American Society of Civil Engineers, Vol. 106, No. WR1, pp 319-332. W. L. Reyes, "Research in the Development of Appropriate Technology for the Improvement of Environmental Health at the Village Level in the WHO South-East Asia Region", Paper presented at the National Workshop on Research and Sanitation Decade, 1981-1990, Neeri, Nagpur: 21-22 November 1979. Reid and Coffey, eds., Appropriate Methods. H. M. Neghassi, "U.N. Water Conference: Scope for Transfer of Knowledge in the Action Plan", Journal of the WaterResources Planning and Management Divisions, American Society of Civil Engineers, Vol. 106, No. WR1, March 1980: 351-363.

Rossman, "Synthesis of Waste Treatment Systems": 149. Reid, Arnold, and Streebin, Workbook: 120-123, 281: International Bank for Reconstruction and Development/The World Bank, Appropriate Technology: A Planner's Guide, VOL.2: 1-5.

in terms of engineering design detail, engineering economic analysis, and complete enumeration of unit processes technology selection in water treatment and sanitation technology.

Exec-op

The philosphical set of EXEC-OP is very similar to CAPDET for unit process selection but differs significantly in the output produced and optimization technique employed. Figure 4 presents a typical block structure for EXEC-OP. Comparison to Figure 3, a typical CAPDET structure, indicates close similarity except that EXEC-OP includes recycling of sidestreams (R mode). Once the candidate unit processes are selected the input data is organized in a batch run very similar to CAPDET's input requirement. The level of detail to be provided by the user and the output produced during the EXEC-OP analysis are substantially smaller than CAPDET; however, the optimization technique used by EXEC-OP provides a more efficient analysis algorithm. The optimization technique used by EXEC-OP seeks an optimal but partial solution in contrast to a complete enumeration of all possible treatment trains. 27 Such a procedures results in a substantial decrease in computer cost compared to Whereas CAPDET uses life cycle cost as the design criteria CAPDET. EXEC-OP uses a system objective function composed of the weighted sum of individual criteria: 28

The solution technique employed by EXEC-OP, a "branch and bound" technique under linear programming, is covered in Appendix I.

Lewis A. Rossman, <u>EXEC-OP Reference Manual</u>, <u>Version 1.2</u>, Municipal Environmental Research Laboratory, <u>U.S. Environmental Protection Agency</u>, (Cincinnati, Ohio: 1980): 8-9.

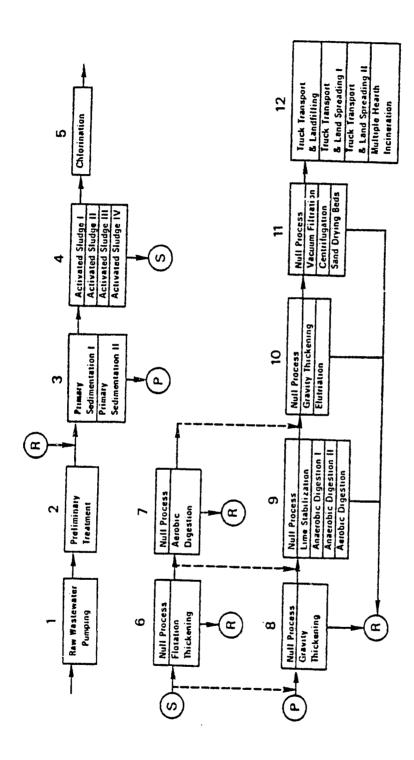


Figure 4. Block steucture for EXEC-OP.

$$V = {^{w_1}c_1}^{+} {^{w_2}c_2}^{+} {^{w_3}c_3}^{+} {^{w_4}c_4}^{-} {^{w_5}c_5}^{+} {^{w_6}c_6}$$

where V = System objective function.

- w_i = Weight for the ith criteria and i = 1 to 8.
- \mathbf{c}_1 = Total initial construction cost in million dollars.
- c_2 = Total annual operation and maintenance cost in million dollars of system influent.
- c₃ = Total equivalent annual life cycle cost, dollers/million gallons of system influent.
- c₅ = Total gross energy production, kwh/million gallons of system influent.
- c₆ = Total net energy consumption, kwh/million gallons of system influent.
- c_7 = Total sand area utilization, acres.
- c_8 = Systems undesirability index.²⁹

A combination of these criteria are then selected to form the system function. Once an objective function is constructed, the input data is assembled for a batch run. A typical output is shown as Appendix C and as can be seen the level of detail is much lower than CAPDET. 30 In summary the optimization technique employed by EXEC-OP and the multiobjective approach taken by EXEC-OP represent the state-of-the-art in the water treatment and sanitation technology selection models.

The undesirability index represents a summation of the individual undesirability values assigned to unit processes by the user.

³⁰ Rossman, "Synthsis of Waste Treatment Systems": 157-158.

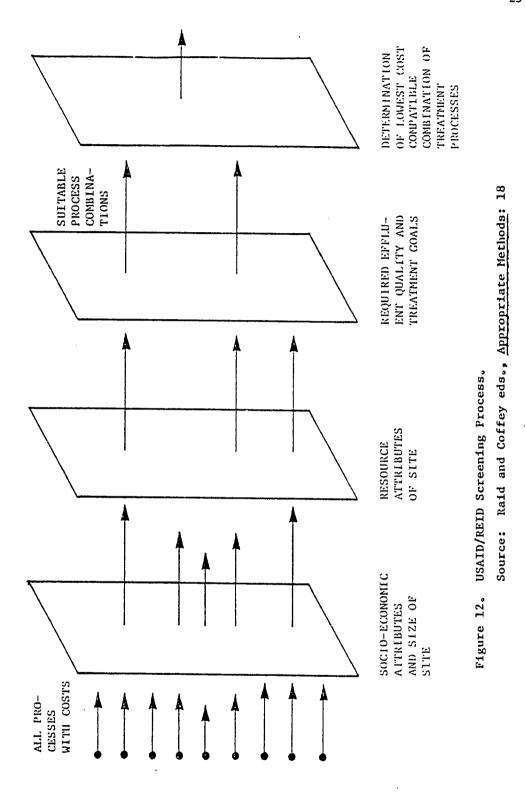
USAID/REID

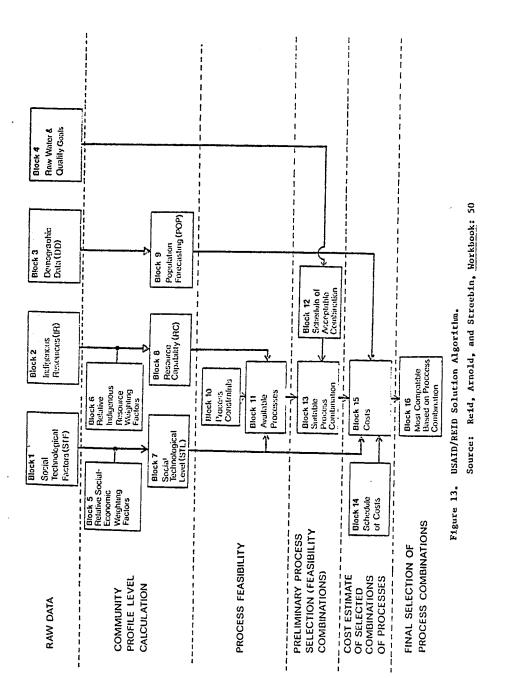
The USAID/REID model involves a significant shift in organization, optimization technique, and area of application. This model is developed primarily for use in developing countries in contrast to both CAPDET and EXEC-OP which have a developed country orientation. 31 The unit processes included in USAID/REID cover both the water and sanitation areas as can be seen in Appendix F. These unit processes are constructed into predetermined treatment trains which would provide a prescribed level of treatment criteria which must not be exceeded as a max-For example, if the coliform bacteria level of the raw water exceeds 200 most probable number (MPN) per 100 millimeter then water treatment process combinations \mathbf{W}_1 and \mathbf{W}_2 will not be available for use since the maximum level of coliform allowed by these combinations is ex-The treatment combinations are composed of unit treatment processes which are screened on the basis of availability in manpower and construction/maintenance resources. The USAID/REID screening process employs a questionnaire to identify the likely level of resources available to the local site. The levels are used to determine whether a particular resource, say professional labor, is available and, therefore, whether this availability/unavailability removes any unit treatment

 $^{31}$ The USAID/REID model has been tested for applicability in Oklahoma with qualified success in small towns and small, isolated, rural cities.

processes from consideration. All of this process is an attempt to identify those unit processes and, by default, those combinations of unit processes which would represent appropriate technology for the local site. Once the appropriate technology alternatives are selected by the screening process the alternatives are displayed by relative ranking cost ratios for both construction and maintenance. 32 Design detail is not available as an output from USAID/REID, however, the documentation supporting the model is very extensive with respect to design detail, cost equations, etc. USAID/REID can be solved manually, i.e., by pencil and paper, in addition to a computer solution. A sample computer run using the computer solution of USAID/REID is included as Appendix E. In summary USAID/REID provided the initial research effort in selection of appropriate technology for water treatment and sanitation technology in developing countries and represents the state-of-the-art in developing country selection models placing equal emphasis on water and sanitation technology. USAID/REID is lacking in the level of design and economic detail provided to the user. The computer cost associated with processing USAID/REID are minimal as can be seen in Appendix J. See Figures 12 and 13 for the USAID/REID solution process.

³² These relative cost ratios are responsive to both economics of scale and social-economic scaling. The cost data are presented as ratios due to the difficulties of using a general model for site specify cost estimates. A module exists within USAID/REID to respond to local input cost data during the computer analysis.





WBANK

The WBANK model is very similar in construction to USAID/REID, however, the emphasis shifts from engineering cost analysis to economic analysis. WBANK attempts to focus attention on the interdisciplinary nature of the investment, i.e., encompassing the areas of civil engineering, economics, internation finance, health and welfare, microbiology, and sociology. The model is intended specifically for developing countries and requires no computer for analysis. As Appendix G indicates the WBANK model is limited to sanitation only and the range of sanitation unit processes is heavily skewed toward the lower levels of technology in terms of complexity and resource requirements. Since the model is intended for application in rural areas, or "urban" as Davidson has defined rural villages, this range of technology is very appropriate.

The WBANK model differs significantly from the three previous models in several ways. First WBANK actively promotes an interdisciplinary approach to water and sanitation technology investments. As Figure 5 indicates the decision interaction should include sanitary

 $^{$^{33}\!\}mathrm{Documentation}$ for the WBANK model mentions water provision in several places but water treatment processes are not included in the solution algorithm.

³⁴Richard Feachem, Michael McGarry, and Duncan Mara, Water, Waste and Health in Hot Climates, eds., (Chichester: John Wiley and Sons, 1977): 216-217.

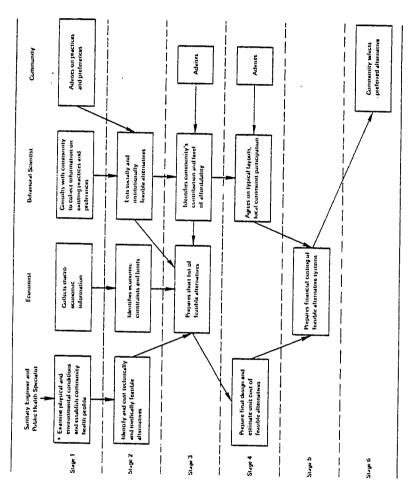


Figure 5. WBANK recommended structure for program planning.

engineer, public health specialist, economist, behavioral scientist, and community participation in an interdependent presentation of alternatives. The final decision should be made by the local community. Secondly, WBANK explicitely relates the provision of water treatment and sanitation technology to the health problems existing at the design site and to public health in general. 35 Thirdly, the WBANK model attempts to use economic costs as opposed to engineering cost analysis. Using economic costing requires that all cost to the economy be included in the analysis, each included cost must be evaluated using shadow prices, and that only future cost be included in the analysis rather than historical cost. WBANK specifically includes the cost of water for flushing and the cost of separate disposal for sullage where such disposal is required. cost items subjected to shadow pricing include unskilled labor, foreign exchange, opportunity cost of capital, and other direct inputs such as water, land, etc. WBANK includes a procedure for discounting both cost and capacity utilization to remove the bias toward large economies of scale type treatment technology when a future "design" population is used in the analysis. WBANK notes that engineering cost analysis often size water/sanitation investment to meet future demand. WBANK proposes

AIC =
$$\frac{\sum_{t=1}^{t=t} \frac{c_t^{+0}t}{(1+r)^{t-1}}}{\sum_{t=1}^{t=T} \frac{N_t}{(1+r)^{t-1}}}$$

 $^{^{}m 35}{
m The~health~aspect~of~water~and~sanitation~are~investigated}$ later in this chapter.

using an average incremental cost approach: 36

where AIC_{t} = The average incremental cost at time t.

t = Time in years.

T = Design lifetime in years.

 $C_{\underline{t}}$ = Construction costs incurred in year t.

 $\mathbf{0}_{t}$ = Incremental operation and maintenance cost incurred in year t.

 N_t = Additional people or households served in year t.

r = 0pportunity cost of capital expressed in percent times 10^2 .

Such an approach amounts to a variant of cost-benefit analysis with the difference that in this case the discounting takes places for additional population to be served in the future. The solution algorithm for the WBANK model is shown in Appendix $\rm H.~^{37}$

In summary, the WBANK model represents the state-of-the-art in suggesting the relationship between health and the selection of sanitation technology and in applying economic analysis to the selection of technology. WBANK is lacking in respect to coverage of both water and sanitation technology, in respect to the level of design detail presented as an output, and in respect to encouraging sensitivity analysis during selection.

International Banks for Reconstruction and Development. The World Bank, Appropriate Technology: 30-31.

³⁷ It should be noted that there is a single output for multiple unit processes, i.e., sewerage as an output represents selection of any type of sewerage unit process. Such a procedure does not represent selection of technology based on availability.

For selection models this literature review has included four state-of-the-art models; namely: CAPDET, EXEC-OP, USAID/REID, and WBANK. 38 Each model has been found to be superior in at least one aspect but flawed in its application to developing countries for one or more reasons. CAPDET is superior in the level of output provided and coverage of sanitation treatment technology. EXEC-OP is superior in the optimization technique employed during the analysis. USAID/REID is superior in terms of the coverage of both water and sanitation in addition to the attention devoted to the selection technology based on available resources. WBANK is superior in relating the selection of water/sanitation technology to public health and in advancing the use of economic policy analysis as opposed to engineering cost analysis, for decision making. Each model has been reviewed in sufficient detail to follow the operation of the model. In the next review section the relationship between public health and water/sanitation technology is investigated.

 $^{^{38}}$ Table 1 presents a brief comparison of the four models.

Water Sanitation, and Health

The major reason for a developing country to invest in water treatment/sanitation technology is the expected effect on public health. It has been estimated that between 25,000 and 36,000 people die per day due to the lack of safe drinking water and safe human excreta disposal. 39 The majority of these deaths are children. Figure 6 graphically depicts the human survival comparison against age for both developed and developing countries. In developed countries (A) relatively few deaths occur until the individuals reach the age of 50 where diseases of the arteries begin to have a significant impact. In developing countries (B) the increased death rate in the early years is due in large part to infectious diseases and malnutrition. In many cases, there is a vicious circular relationship between water, disease, sanitation, and water. For example, starting with contaminated water individuals receive intestinal infection which acerbates the effects of an initial infection and malnutrition generally leads to high levels of infective organisms being excreted into the water supply - the completed circle. It is quite clear that the age group most effected by improvements in health; i.e., the young may well

³⁹ Gene Dallaire, "U.N. Launches International Water Decade; U.S. Role Uncertain", Civil Engineer, American Society of Civil Engineers, Vol. 51, No. 3, March 1981: 59. J.T. Dale, "World Bank Shifts Focus on Third World Sanitation Projects", Journal of the Water Pollution Control Federation, Vol. 51, No. 4, April 1979: 663.

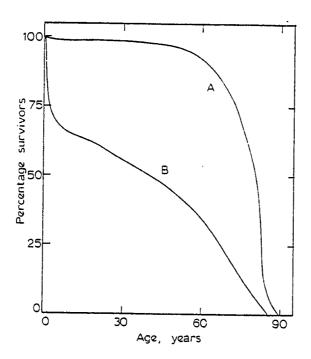


Figure 6. Human survival curves in (A) developed countries and (B) developing countries (Source: Feachem, McGarry, and Mara, Water, Waste and Health: 4).

lead to an increase in the population growth rate. ⁴⁰ Thw World Health Organization (WHO) indicates that only 38 percent of the 1975 population was adequately served with safe water and only 33 percent of the 1975 population were adequately served with safe sanitation. ⁴¹ Table 2 summarizes the world situation in terms of service adequacy for both safe water and sanitation. Tables 3 and 4 provide summeries of water and sanitation service in developing countries. As can be seen from this small sample the variation among regions is large but the variation among countries is very large; i.e., total population with access varies between 20 and 75 percent for regions and between 1 and 77 percent for countries. In the following pages of this subsection, the health data are developed, the water/sanitation treatment technology linked to health is investigated, and a classification scheme for disease and water/sanitation is presented.

The links between water and health can be traced to the relating of certain fevers to marshy areas by Hippocrates. By the mid 1800's the relationship between water and several diseases (Cholera/Typhoid, Filariasis, Malaria, Guinea Worms, and Schistosomiasis) were reported in the health literature. Today it is clearly understood that excreta is related to disease in two major ways. First, the agents of infection are passed in the excreta. Secondly, excreta encourages the breeding of insects which are vectors for diseases or transmit the infective agents

⁴⁰ Saunders and Warford, Village Water Supply: 73.

World Health Organizations, World Health Statistics Report:

⁴² Feachem, McGarry, and Mara, Water, Waste and Health: 5-6.

TABLE 2

Estimated Population Served by Adequate Water and Sanitation Facilities in 1975 (excludes China)

Item	Population S	Increase	
Tech	In Millions	AS (%)	Since 1970 (%)
Water Urban Rural Total	450 313 763	77 22 38	10 8 9
Sanitation Urban Rural Total	437 209 646	75 15 33	4 4 6

Source: World Organization, World Health Statistics Report: Vol. 29, No. 10 (Geneva: 1976): 570.

TABLE 3

Community Water Service in Developing Countries, by Region and Selected Countries, Excluding China, 1975 Data

Pagin	Urban Popul	lation With Ad	cess	Rural	
Region or Country	With House Connections (%)	Connections Stand Posts			Total Population With Access
Africa Americas Eastern	37 67	31 14	68 81	21 32	29 58
Mediterranean Europe South-East Asia Western Pacific	52 67 48 75	28 14 21 16	80 81 70 90	16 63 19 30	34 71 29 54
Total	57	20	77	22	38
Number of Countries	79	79	79	75	75
Chad Kenya Upper Volta	7 90 19	36 10 31	43 100 50	23 4 23	26 17 25
Rolivia Mexico Panama	3C 68 93	51 2 7	81 70 100	6 49 54	34 62 77
Bangladesh Indonesia Thailand	6 30 59	16 11 10	22 41 69	61 4 16	56 11 25

Source: World Health Organization, World Health Statistics Report, Vol. 29, No. 10 (Geneva: 1976): 571-579.

TABLE 4

Community Sanitation in Developing Countries, by Region and Selected Countries, Excluding China, 1975 Data

Region or	Urban Popula	ion With Acces	 ss	D	
Country	Connected to Sewer-Systems (%)	With House- Hold Systems (%)	Total	Rural Population With Access	Total Population With Access
Africa Americas Eastern	15 35	62 39	75 80	28 25	38 63
Mediterranean	10	53	63	14	27
Europe	21	17	38	18	27
South-East Asia	26	53	79	6	20
Western Pacific	24	57	81	43	58
Total	25	N/A	75	15	33
Chad	4	8	9	1	1
Kenya	42	56	98	48	55
Upper Volta	N/A	47	47	N/A	4
Bolívia	24	N/A	N/A	9	N/A
Mexico	29	N/A	N/A	14	N/A
Panama	72	6	78	76	77
Bangladesh	5	35	40	N/A	5
Indonesia	2	58	60	5	15
Thailand	N/A	58	58	36	40

Source: World Health Organization, World Health Statistics Report, Vol. 29. No. 10 (Geneva: 1976): 571-579.

mechanically. Any particular excreted load is subject to a group of transmission factors prior to providing an infective dose for a specific individual. These transmission factors include:

- Latency the delay interval between the excretion of an infective agent and its ability to infect. For example, many viruses, bacteria, and protozoa are immediately infective.
- Persistency interval between the excretion of an infective agent and it's death under normal conditions.
- Multiplication following excretion the reproductive ability of the infective agent in the environment.

The circular nature of this relationship is indicated in Figure 10.⁴³ A major element in this analysis is the varying nature of the levels necessary for an excreted load to be transmitted as infective. Additional complicating factors are the age of the individual exposed to the infective agent and immunity acquired over time. Age complicates transmission through the increased susceptability of the young and the benign attitude prevalent in many developing countries toward children's excreta. Water and sanitation technology is intended to interrupt the transmission by reducing the probability that an excreted load will be infective. It should be noted that regardless of the treatment prior to

⁴³ Kalbermatten, Juluis, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: Technical and Economic Options: 64.

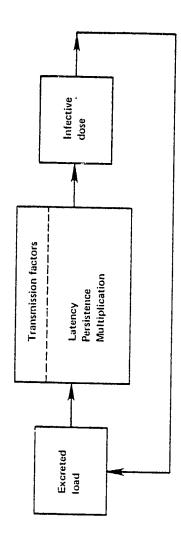


Figure 10. The relationship between excreta and infective dose for a given disease.

discharge of the final residual, either liquid or solid, many pathogens may survive for extended periods unless destroyed prior to discharge. Final residuals are often disposed as liquid or solid on soil, as liquid in a body of water, or as a liquid or solid on crops.

Table 5 indicates survival of the major pathogenic classifications in soil and applied on crops. The point being developed here in specific, i.e., treatment is a necessary condition for public health but may not be sufficient to ensure public health, is alluded, in general, by several authors and succinctly stated by Saunders and Warford, .."it is clear that while improved drinking is probably a necessary condition for the improvement of people's health, it is not a sufficient condition."⁴⁴

The attempts to quantify the relationship between water supply/sanitation technology and public health has not been successful for several reasons: 45

- 1. A lack of knowledge concerning the underlaying processes and disease mechanisms.
- A lack of specificity and knowledge concerning the role of exogenous variables.

⁴⁴ Saunders and Warford, Village Water Supply: 35.
Kalbermatten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Summary of Technical and Economical Options, Vol 1a: 21.

⁴⁵ Saunders and Warford, Village Water Supply: 35-39, 66-68. Feachem, McGarry, and Mara, Water, Waste and Health: 8, 240.

TABLE 5
Estimated Survival Time for Major Pathogenic Classifications

Pathogen	Survival Time
On Soil	
Virus Bacteria	Up to 6 months (generally 3 months) Up to 3 years (generally 2 months)
Protozoa Helminths	Up to 10 days (generally 2 days) Up to 7 years (generally 1 year)
On Crops	
Virus Bacteria	Up to 2 months (generally 1 month) Up to 6 months (generally 1 month)
Protozoa Helminths	Up to 5 days (generally 1 month) Up to 5 days (generally 1 month)

Source: Kalbermatten, Julius, Gunnerson, Appropriate
Technology for Water Supply and Sanitation: A Planners Guide,
Vol. 2 (Washington, D. C.: 1980): 180.

- Collinearity and interdependency among the social/ cultural/economic variables.
- 4. Systematic bias introduced by better housing, better nutrition, etc. on both time series and cross-sectional studies.
- Large sampling errors introduced in data gathering.
- 6. Various logistic problems, such as installation of technology neither implies adequate upkeep nor usage, seasonality, etc.

In addition, and possibly most important, it is not possible, nor would it be acceptable, to separately consider a disease relationship to either water or sanitation treatment while excluding the other. It is not possible to separate the interdependency between the two factors. 46 Although precise specification cannot be accomplished there exists a significant body of literature on the classification of infective agents and possible amelioration by water supply/sanitation technology. Two major classification schemes are useful in relating water supply/sanitation technology to public health; namely, (1) the mode of disease spread and (2) the pathogen causing the disease. Four elements comprise the pathogenic classifications:

1. Virus

⁴⁶ Feachem, McGarry, and Mara, Water, Waste, and Health: 8.

- 2. Bacteria
- 3. Protozoa
- 4. Helminth

The mode of spread classification also includes four elements: 47

- 1. Water-borne
- 2. Water-washed
- 3. Water-based
- 4. Water-related

Each pathogenic classification may exist within the separate "mode of spread" classification. A water-borne disease classification arises where an infection spreads through the water supply. A water-washed disease classification occurs where the disease can be traced to the lack of sufficient water for personal hygiene. A water-based disease classification occurs where a disease is transmitted through an aquatic invertebrate such as a snail. A water-related disease classification occurs when a disease is spread by insects that depend on the availability of water. Without water supply/sanitation technology fecal pollution from

⁴⁷ Much of the effort in this classification scheme is due to the works of Feachem, et al., at the Ross Institute of Tropical Hygiene, London School of Hygiene and Tropical Medicine.

individuals suffering from intestinal infections may contribute to infections by other individuals using the same water supply. Typhoid and cholera are devastating examples of this "common source outbreaks," classified as water-borne diseases. 48 The quantity of water available for personal hygiene is the critical criteria in water-washed diseases. Kalbermatten, Julius, and Gunnerson indicate that most health benefits from provisions of water will occur when delivery reaches the level of 30 to 40 liters per capita per day at the local site. 49 In water-based diseases parasite eggs, or larvae, reach water where a snail or crustacean serves as an intermediate host. The pathogenic agent is discharged from the intermediate host back to water which then causes a human disease. A typical example is schistosomiasis where the helminth resides in a snail intermediate to penetrating human skin during contact with snail infected water. Water-related diseases are indicated where insects such as flies or mosquitos breed in or near water. These insects are then vectors for a multitude of diseases. Typical examples are yellow fever caused by mosquitos and sleeping sickness caused by the tsetse fly. Tables 6 through 9 indicate the health aspects of each classification by both mode of spread and pathogen causing the disease. Each table gives both the disease name and the common name where appropriate in addition to the biological group and pathogenic agent. The percent reduction column indicates the expected reduction in frequency of occurence given adequate treatment for the water supply, i.e., the water is

⁴⁸ Feachem, McGarry, and Mara, Water Waste, and Health: 9.

⁴⁹ Kalbernatten, Julius and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Summary of Technical and Economic Options, Vol. 1a: 17.

ABLE 6

Poor sanitation, poor hygiene, vibrios survive in water up to 3 weeks Children, cool or cold hygiene, warm climate Poor sanitation, poor Poor sanitation, poor personal hygiene Poor sanitation, poor Preference or Comment Physical Environment Poor sanitation, poor Poor sanitation, poor Warm climate hygiene weather hygiene hugiene Reservoir Animal, Animal, Animal, Nan Man Man nan Man Man man เมลก Human contact, fecal Human contact, fecal Human contact, fecal Fecal contamination of a single source Transmission Mode Human contact, con-tamination of food contamination of a contamination of a contamination of a Contamination of Contamination of single source single source single source food or drink food or drink Water, etc. water, etc. or drink Unknown Reduction (%) Water-Borne Diseases 9 9 2 50 9 80 04 Gastroeteritis virus virus, enteroviruses Salmonella paratyphi type A and B, echotype B or rotavirus Pathogenic Agent Coxsackieviruses Hepatitis virus type A Gastroenteritis, Type A Salmonella typhi Poliovirus 1-3 Vibrio cholera Severe infantile Infections Hepatitis Typhoid or en-Leric fever Typhoid or en-teric fever Common Name Polio diarrhea Gastroenteritis, (some) infection Viral Hepatitis Gastroenteritis Enteroviruses Poliomyelitis Disease Paratyphoid infantile Typhoid Cholera Biological Bacteria Group Virus

Continued

TABLE 6 (Cont'd)

Water-Borne Diseases

ronnent Comment	ion, poor	ide rats, odents, cattle. mal diseasi	anima]	Su.	rated/low favors poor sani- hygiene	
Physical Environment Preference or Comment	Poor sanitation, poor hygiene	Animals include rats, mice, wild rodents, dogs, swine, cattle. Primarily animal disease	Primarily an animal disease	Common in young children	High-carbohydrated/low protein diet, favors development, poor sani- tation, poor hygiene	
Reservoir	Man	Animal	Rodents	Man	Man	Animals, man
Transmission Mode	Contamination of food, fingers, feces flies	Animal contact, ani- mal excreta contami- nation of food or driuk	Paritally cooked wild rodents, wild animal exercia contamination of food or drink, deerfly bite	Cyst contamination of food or drink	Cyst contamination of food or drink, flies may contribute	Cyst contamination of food or drink
Reduction (%)	50	80	40		90	
Pathogenic Agent	Shigella dysen- teriae, Shigella Sp.	Leptospirae Sp.	Francise Ila tularensis	Giardia lamblia	Entamocba histolytica	Balantidium coli
Сошноп Name	Bacillary dysentery	Infectious jaundice, Weil's disease, marsh fever, etc.	Tularaemia	Flagellate diarrhea		Balantidial dysentery
Disease	Shigellosis	Leptospirosis	Yersinosis	Giardiasis	Amebic dysentery	Balantidiasis
Biological Group				Protozoa		

Source: Feachem, McGarry, and Mara, eds., Water, Waste and Health: 6-16, 75-95.

Kalbermatten, et al., Appropriate Technology for Water Supply and Sanitation: A Planner's Guide: 7-19.

E. Janetz, J. I. Melnick, E. A. Adelberg, Review of Medical Microbiology (Los Altos: Lange, 1976): 203-231 368-380, 454-460, 492-524.

TABLE 7

Physical Environment Preference or Comment Crowding, malnutri-Not, humid climate, poor hygiene Hot, humid climate tion, cold climate Poor hygiene Poor hygiene Poor hygiene Animals, Reservoir Animal, Rodent Rat man Man Man Human contact, shared children under age 15 bourne contamination Transmission Mode Human contact, air-Rodent tick bite or crushing tick into Fecal contamination of food or drink Human contact with Human bitten by Arthropods (Lick, mite, etc.) shared cosmetics, Human contact or transfer bathroom towels Uncertain Water-Washed Diseases Reduction (%) 20 9 20 40 20 50 70 20 Chlamydiae trachomatis Rickettsia prowazekii R. typhia Trichophyton sp., Microsporum sp., Epidermophton Staphylococci, sp. Streptococci sp. Treponema pertenue Borrelia recurrentis Pathogenic Agent Mycobacteria leprae Ascaris lumbricoides flocrosum Varions Common Name Ringworm, Athlete's Frambesia Roundworm Typhus Foor Skin Sepsis and Relapsing Fever Rickettsosis Non-specific Ascariasis Disease Trachoma Dysentery Leprosy Tinea Yaws ulcers Biological Helminth Bacteria Fungus Miscel-lancous Group

Continued

TABLE 7 (Cont'd)

	Physical Environment Preference or Comment	Poor hygiene	
	Reservoir		
	Transmission Mode		
Water-Washed Diseases	Reduction (%)	70	80
Water-Was	Pathogenic Agent	Various	Various
	Сонипоп Name		
	Disease	Non-specific conjunctivitis	Scabies
	Biological Group		

Source: Feachem, McGarry, and Mara, Water, Waste, and Health: 6-16, 75-95.

Kalbermatten, ct al., Appropriate Technology for Water Supply and Sanitation: A Planner's Guide: 7-19.

Janetz, Melnick, Adelberg, <u>Medical Microbiology</u>: 169-184, 196-202, 225-244, 258-261, 492-512.

TABLE 8

		,							
	Physical Environment Preference or Comment			Rainy season			Slowly flowing water with non-smooth banks	Slowly flowing water with non-smooth banks	Slowly flowing water with non-smooth banks
	Reservoir	Snail, fish	Copepod, fish	Crustacean	Pig, snail	Animal, man	Snail	Snail	Snail
ses	Transmission Hode	Uncooked freshwater fish	Uncooked freshwater fish	Drinking water in- habited by C <u>yclops</u>	Man or pig-aquatic snail-aquatic vegetation-man	Animal, man-aquatic snail-crab or cray- fish-man	Penetrates skin in snail infested water	Penetrates skin in snail infested water	Penetrates skin in snail infested water
Water-Based Diseases	Reduction (%)			100					
Wate	Pathogenic Agent	Clonorchis sinensis	Diphyllobothrim latum	Dracunculus medinensis	Fasciolopsis buski	Paragonimus Westermani	Schistosoma haematobium	S. japonicum	S. mansoni
	Сонию Ваше	Chinese Liver fluke	Broad fish Lapeworm	Guinea worm	Giant intestinal fluke	Lung fluke	Bilharzia		
	Disease	Clonorchiasis	Diphyllobo- thriasis	Draconitiasis	Fasciolopsia- sis	Paragonimia- sis	Schistosomia- sis		
	Biological Group	Helminth							

Source: Feachem, McGarry, and Mara, Water, Waste and Health: 6-16, 75-95, 299-309.

Kalbermatten, et al., Appropriate Technology for Water Supply and Sanitation: A Planuer's Guide: 492-512.

	Physical Environment Preference or Comment	Rainy season, water storage containers, shade, warm climate	Rainy season, water storage container, shade, warm climate		Warm, humid climate below 6,000 leet altitude	River bank brush or lake shore brush		Rapidly flowing water
	Intermediate Host or Reservoir	Mosquito, monkey	Mosquito, monkey		Mosquito	Animals, man	Mosquito	Gnat, Fly
es	Transmission Mode	Bite of Nosquito (Aedes aegypti)	Bite of Mosquito (A. aegypti)		Bite of Mosquito (Anopheles)	Bite of Tsete Fly (Glossina palpalis)	Bite of Mosquito (Culicidae)	Buffalo gnat or black fly bite
Water-Related Diseases	Reduction (%)		01			80		20
Water-Re	Pathogenic Agent	Arbovirus group B (Dengue virus type 1-4)	Arbovirus group B (yellow fever virus)	Arbovirus	Plasmodia sp.	Trypanosoma rhodesiense, T. Bambiense	Wuchereria bancrofti, Brugia malayi	Onchocerca volvulus
	Соштоп Мате	Breakbone fever	Jaundice			Sleeping sickness	Elephantitis	River blindness
	Disease	Denegue fever	Yellow fever	Non-specific arboviral infections	Malaria	frypanosomia- sis	Filariasis	Onchocercia- sis
	Biological Group	Virus			Protozoa		Helminth	

Source: Feachen, McGarry, and Mara, Water, Waste and Health, 6-16, 75-95, 299-309.

Kalberwatten, et al., Appropriate Technology for Water Supply and Sanitation: A Planners Guide: 7-19.

Janetz, Belnick, Adelberg, Medical Microbiology: 352-367, 492-512.

safe for use. In many cases a safe water supply means adequate sanitation. Where such information has been indicated in the literature, the mode of transmission, intermediate host/reservoir, and a comment have been added to the table. The comment column is intended to highlight those elements favored by the pathogenic agent, or a contributing factor in the onset of the disease. Table 10 uses the same form as Tables 6 through 9 to indicate the pathogens found in human excreta with the exception that the percent reduction column is omitted from Table 10. The percent reduction column is omitted due to the interdependent nature of water treatment and adequate sanitation. In summary this section has reviewed the health conditions in developing countries which relate to water treatment and sanitation. It has been found that a significant portion of the population in developing countries are without adequate water and/or sanitation. The following section attempts to trace the investment necessary to break the water-sanitation-disease-malnutrition cycle and to indicate the benefits to be derived in this type of infrastructure investment.

FABLE 10

Excreta
Human
Ξ.
Found
Pathogens

į				·····				
	Physical Environment Preference or Comment	Warm climate		Poor sanitation, poor hygiene, warm climate	Poor sanitation, poor hygiene		Poor sanitation, poor hygiene, vibrios survive in water up to 3 weeks	Poor sanitation, poor hygiene
	Intermediate Host or Reservoir	Man		Man	Han	Animals, man	nan	Man
mii Dacieta	Transmission Mode	Human contact, fecal contamination of food	Unknowa	Human contact, fecal contamination of a single source - (water, etc.)	Fecal contamination of a single source (water etc.)		Human contact, fecal contamination of a single source (water, etc.)	Fecal contamination of a single source (water, etc.)
5111247 IIIIIIII III 511100 - 511245 - 1124	Pathogenic Agent	ECMO virus, coxsackievirus	Gastroenteritis type B, rotavirus	Poliovirus 1-3	Hepatitis virus Type A		Vibrio cholerae	Escherichia coli
	Common Name		Infantile diarrhea	Polio	Infectious Hepatitis	Infantile diarrhea		
	Disease	Non-specific enterovirus infection	Gastroenteri- tis, infantile	Poliomyelitis	Viral Hepatitis	Campylo- bacterial diarrhea	Cholera	Gastroenteri- tis
	Biologicat Group	Virus				Bacteria		

TABLE 10 (Cont'd)

	Physical Environment Preference or Comment	Poor sanitation, poor hygiene	Poor sanitation, poor hygiene	Poor sanitation, poor hygiene	Poor sanitation, puor hygiene	Poor sanitation, poor hygiene	Primarily an animal disease			High carbohydrate/low protein diet favors development, poor hygiene
	Intermediate Host or Reservoir	Animal, man	Animal, man	Man	Animal, man	Animal, man	Rodents	Animal, man	Animal, man	Man
luman Excreta	Transmission Mode	Contamination of food or drink	Contamination of food or drink	Contamination of food or drink	Contamination of food or drink	Contamination of food or drink	Partially cooked wild rodents, wild animal excreta, contamina- tion of food or drink, deerfly bite	Various	Cyst contamination of food or drink	Cyst contamination of or drink, flies may may contribute
Pathogens Found in Human Excreta	Pathogenic Agent	Salmonella paratyphi	S. typhimurium	Shigella dysenteriae, Shigella sp.	Salmonella typhi	Vibrio sp.	Francisella Lularensis	Yersinia sp.	Balantidium coli	Entamorba histolytica
	Сошпоп Nате	Typhoid or enteric fever	Food poising- ing	Bacillary Dysentery	Typhoid or enteric fever	Diarrhea	Tularemia		Balantidial dysentery	
	Disease	Paratyphoid fever	Salmonellosis	Shigellosis	Typhoid Fever	Vibrial diarrhea	Yersinosis	Non-specific yersinosis	Balantidiasis	Amebic dysentery
	Biological Group						-		Protozoa	

ontinued

ABLE 10 (Cont'd)

Fish
Uncooked fish (mullet)
lleterophyes heterophytes
Intestinal fish fluke of man
Hetero- phyiasis

ontinued

TABLE 10 (Cont'd)

	Physical Environment Preference or Comment	Warm, humid climates					Slowly flowing water with non-smooth banks	Slowly flowing water with non-smooth banks	Slowly flowing water with non-smooth banks
Pathogens Found in Munan Excreta	Intermediate Host or Reservoir	Soil	Rodent, man			Animal, man snail, crab	Snail	Snail	Snail
	Transmission Mode	Through skin, infected soil, contaminated water	Infected insects from rats or mice	Uncooked fish	Uncooked fish	Animal or man to aquatic snail to crab or crayfish	Larvae penetrate skin in snail-infested waters	Larvac penetrate skin in snail-infested water	Larvae penetrate skin in snail-infested water
	Pathogenic Agent	Ancylostoma duodenale, Necator americanus	Hymenolepis sp.	Metagonimiasis Yokogawai	Opisthorchis Felineus, O. viverrini	Paragonimus Westermani	Schistosoma haematobium	S. japonicum	S. mansoni
	Common Name		Dwarf tapeworm	Intestinal fish fluke of man	Asian liver fluke	Lung fluke	Bilharzia worm, blood fluke	Japanese blood fluke	Manson's blood fluke
	Disease	Hookworm	Hymenalep- iasis	Metagonimia- sis	Opisthor- chiasis	Paragoni- miasis	Schisto- somiasis	Schistoso- miasis	Schistoso- miasis
	Biological Group	-	· . ·						

TABLE 10 (Cont'd)

	Physical Environment Preference or Comment	Warm humid climates			Soil
	Intermediate Host or Reservoir		Cattle	Pig	
uman Excreta	Transmission Mode	Larvae penetrate skin through human con- tact, possibly through dog-man con-	Uncooked beef	Uncooked pork	Ingestion of worms from feces-contaminated soil
Pathogens Found in Human Excreta	Pathogenic Agent	Strongyloides stercoralis	Taenia saginata	T. solium	Trichuris trichiura
	Common Name	Threadworm	Beef Lapeworm	Pork Lapeworm	Whipworm
	Disease	Strongyloid~ iasis	Taeniasis	Taeniasis	Trichuriasis
	Biological Group				

Source: Feachem, McGarry, and Mara, Water, Waste and Health: 6-16, 75-95, 299-309.

Kalbermatten, et al., Appropriate Technology for Water Supply and Sanitation: A Planner's Guide: 7-19.

Janetz, Melnick, Adelberg, Medical Microbiology: 169-184, 196-244, 258-261, 352-380, 454-460, 492-524.

The Economics of Water and/or Sanitation Investments

In the spring of 1977 the United Nations sponsored a conference at Mar del Plata, Argentina concerning the provision of safe water and adequate sanitation to those people without either or both. At the time the number of individuals without adequate water/sanitation was estimated at 1.07 billion excluding China. The provision of adequate water and sanitation was estimated to cost \$60 billion for an adequate water supply and up to \$600 billion for adequate sanitation. 50 The magnitude of this investment is large and it seems reasonable to ask what benefits will accrue from the proposed investment. Although history is replete with reference to the necessity of providing potable water and adequate sanitation there are great ambiguities concerning the benefits accruing to such provision. Since reliable benefit quantification is generally not available, water/sanitation investment programs are often compared on the basis of qualitative benefits and/or the life cycle cost of the alternate treatment schemes where the costs are estimated by engineering cost accounting. The qualitative benefits are generally considered to be improvements in health, economic development, and income redistribu-Since the benefit analysis basically reduces to a subjective tion. judgement concerning improved health it becomes of paramount importance

⁵⁰Kalbermatter, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Planners Guide: 1.

that the selected technology be operable under local conditions and acceptable to local users. The World Bank has defined such technology as follows: "The most appropriate technology is defined as that which provides the most socially and environmentally acceptable level of service at the least economic cost."51 If one looks at the historical development of water and sanitation treatment technology there has been an interdependent evolution of two factors: treatment goals and treatment technology. Over time treatment technology has become more sophisticated and treatment goals more stringent in addition to the identification additional pollution constituents to be removed from the wastewater. Treatment technology has followed the familiar S curve evolution of invention, innovation, displacement. Figure 7 applies the S curve analysis to water treatment using filtration technology as an example. $^{5\dot{2}}$ As increased efficiency of removal was required filtration technology moved from slow sand, then to rapid sand, and finally to multimedia/settling tubes/poly electrolytes filtration. Figures 8 and 9 indicate the movement that has taken place in water/sanitation pollution removal goals over time. Clearly both treatment goals and treatment efficiency can have a strong positive correlation with time; however, the point arises as to whether developed and developing countries are moving along the same time path or along a different time path with respect to develop-If developing countries are moving along the same time path but merely displaced on the development curve then the use of treatment

⁵¹Kalbermatten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Planners Guide: 3.

 $^{^{52}\}rm{Reid}$ and Coffey, eds., Appropriate Methods: 30. See Chapter II and VI for a complete discussion of the technology issue.

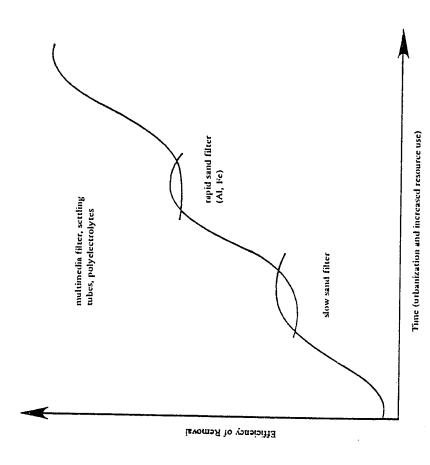


Figure 7. Development of water treatment technology.

Source: Reid and Coffey, eds., Appropriate Methods: 21,

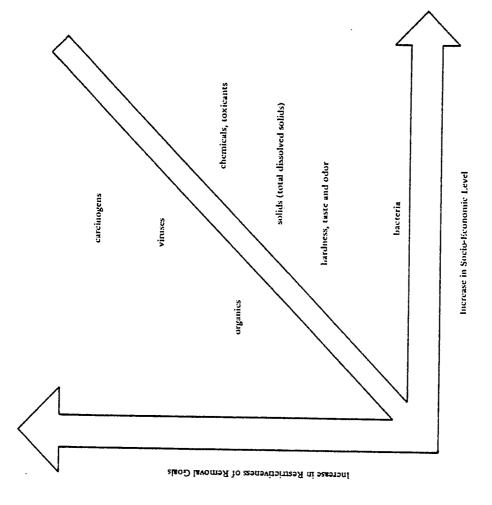


Figure 8. Constituents to be removed in water treatment.

Source: Reid and Coffey, eds., Appropriate Methods: 19.

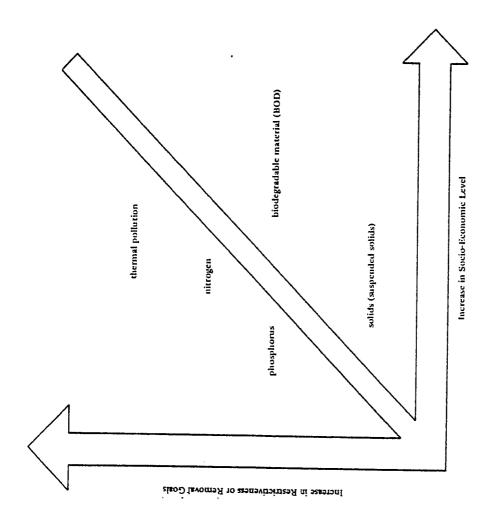


Figure 9. Constituents to be removed in wastewater treatment.

Source: Reid and Coffey, eds., Appropriate Methods: 18,

technology would be heavily oriented toward previous experiences in developed countries during their developing stage. If developing countries are moving along a different time path then regressive technology applications may not suffice as appropriate treatment. In displaced time path development, water/sanitation technology application might well find "high" technology as viable solutions for developing countries. In many developing countries the national economy has a dichotomous nature; i.e., there is one part of the economy developing in step with developed countries while a second part of the economy is developing in step with conditions existing over 50 years ago in the United States. Available evidence indicates that water treatment/sanitation technology selection in developing countries will require both "high" technology and retrogressive technology. $^{5\dot{3}}$ This analysis would indicate that a dual economy may have sectors which are proceeding along a continuous development time path while other sectors are moving along a discontinuous development time path. In either case the selection of technology must be appropriate to the local conditions if it will facilitate a development plan. The remainder of this section will focus on the data relative to the level of investment expected during the Water Decade, the nature of the relationship between water/ sanitation investment and the expected health benefits, and the economic impacts of the investment.

The level of investment required to adequately treat water and provide sanitation to the world's needy has been estimated by several

⁵³Reid and Coffey, eds., Appropriate Methods: 28-33.
Kalbermatten, Julius and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Planner's Guide: 9.

groups; the World Bank, the World Health Organization (WHO), etc. Typical of the data is Table 11 which indicates the United Nation's estimate of the fund necessary to meet the Water Decade goals. As can be seen the WHO goals of 100% of population served would require a disbursement of \$51.4 billion on water treatment and \$32.3 billion on The World Bank has placed the disbursement level at \$60 billion for water treatment and between \$300 and \$600 billion for sanitation. Both of these calculations exclude the People's Republic of China (PRC) which may include an additional one billion people who must be supplied with water treatment and sanitation technology. It seems unreasonable that long-term planning in international organizations can ignore the needs of the PRC. It seems more reasonable that funding levels through the year 2,000 will address the issue of adequate water treatment and sanitation for PRC in addition to the remainder of the world. If the investment were undertaken during the Water Decade such an inclusion could double the investment levels needed to provide adequate water treatment and sanitation to the world. Regardless of the eventual level of investment in water and sanitation technology there is ample evidence, excluding the PRC, regarding historical data on disbursement of funds for water and sanitation investment. 54 Tables 12 and 13 indicate the investment undertaken in water and sanitation by selected regions and countries of the world. The data is displayed to emphasize the variation between internal and external financing in addition to the urban/rural split. The WHO report calls for an allocation

 $^{^{54}{}m The}$ data available from the PRC is not extensive. Perhaps the lack of data invites the exclusion of the PRC.

ABLE 11

Investment Required to Meet Targets in 1990 and Population Data (Millions of 1977 U.S. \$ and % of Population)

	Commu	nity Wat	Community Water Supply	y	Сот	Community Sanitation	nitation	
Item	U	Urban		Rural	n	Urban		Rural
	House Connection	Stand Pipe	Total	Total	House Connection	Home System	Total	Total
Percentage of Population Served in 1975	57	20	77	22	25	50	75	15
Percentage of Population Served in 1990	27	643	100	100	25	75	100	100
Investment Required to Meet Targets in 1990	34,500	16,900	16,900 51,400 40,800	40,800	13,900	18,440	18,440 32,340 8,400	8,400

Source: United Nations "Report on Community Water Supplies," United Water Conference, Mar Del Plata, Argentina, 14-25 March 1977, Report E/Conf. 70-14 (New York: 1977): 25.

of investment between urban and rural of 61 and 39 percent respectively. A glance at Tables 12 and 13, the percent urban to total investment columns, indicates that such a policy would be a deviation from practice for all regions except Africa and South-East Asia (mean = 23.1 rural, standard deviation = 14.4). Montanari estimates that the Word Bank disbursed just over one billion dollars on water and sanitation projects during the period 1963 to 1978. The provision of the funds indicated above is a monumental task which will require international cooperation beyond a simple "call to arms." Such cooperation will occur only through an understanding of the likely economic effects. The next section of this review will investigate the economic effects of water/sanitation investment.

For development economics a fundamental question concerns effective quantification for the economic cost and benefits related to a particular investment in public goods. There are several ways to measure economic benefits as summarized in Table 14. None of the methods provide a flawless analysis procedure but there are four common problems inherent in the analysis. First, there are multiple infective sources, or transmission modes, for many diseases. This multiplicity dilutes the ability to specify benefits occuring to a particular health project. Second, there are a variety of physical and cultural factors at local sites which tend to compound the analysis of alternatives.

⁵⁵F. W. Montanari, "World water supply and sanitation decade -a multi-billion dollar public works program," American Public Works Reporter, (June 1979): 24.

⁵⁶Saunders and Warford, Village Water Supply: 34-35.

1971 - 1975
Supplies During 1977 U.S. \$)
Community Water (In Millions of
Investments in

		(in militals of 1977 U.S. \$)	10 51101	1977 0.	رې .د		
Region or	Nati	National	Exte	External			
Country	Urban	Sub- total	Urban	Sub- total	Total	to Total	& Urban to Total
Africa	134.7	228.7	114.5	170.0	356.7	42.9	62.8
Americas	2,106.4	2.010.9	309.1	342.4	2,357.2	14.5	100.0
Eastern Mediterranean	1,337.1	1,793.8	53.8	138.8	1,932.6	7.2	73.0
Europe	889.4	1,157.2	1.0	1.0	1,155.5	60.	17.1
South-East Asia	593.9	1,074.9	111.4	142.9	1,217.7	11.7	57.9
Western Pacific	240.5	289.8	9.001	102.3	384.6	26.6	86.9
Total	5,302.0	6,555.3	690.4	897.4	7,444.3	12.1	80.4
No. of Countries	63	99	99	65	62	79	
Chad	6.	1.0	3.1	3.1	4.1	75.6	97.6
Kenya	10.1	22.3	8.0	10.0	32.3	24.8	56.0
Upper Volta	9.	1.1	9.0	13.0	14.1	63.8	68.1
Bolivia	9.4	11.3	31.4	32.1	43.4	12.4	94.0
Mexico	203.7	356.5	1	1	356.5	0.0	,
Рапама	20.4	26.0	25.0	28.3	54.3	52.1	83.6
Bangladesh	51.1	72.0	15.0	31.8	103.8	31.6	63.7
Indonesia	43.4	53.3	20.0	23.8	17.11	30.9	82.2
Thailand	100.8	153.6	75.0	76.0	229.6	33.1	9.9/
							•

Source: World Health Organization, World Health Statistics Report, Vol. 29, No. 10 (Geneva 1976): 581-583.

~	
TABLE	

_		1
Investments in Excreta Disposal Facilities Ouring 1971 - 1975		r
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å		ŀ
=	(In Millions of 1977 U. S. \$)	1
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	Nati	National	External	rnal			
Region or Country	Urban	Sub- total	Urban	Sub- total	Total	% External Regional to Total	% Urban Regional to Total
Africa	44.8	47.0	7.4	7.4	54.4	13.6	96.0
Americas	1,272.7	1,187.8	63.3	62.2	1,250.0	1	1
Eastern Mediterranean	805.1	749.1	8.9	26.6	175.7	ŧ	•
Europe	448.4	9.406	2.0	2.0	500.4	7.	90.0
South-East Asia	53.5	59.2	6.4	9.9	65.8	10.0	91.0
Western Pacific	112.9	118.4	23.4	23.6	142.0	16.7	96.0
Total	2,737.4	2,666.2	111.4	128.4	2,788.4	9.4	98.2
No. of Countries	59	58	09	09	58	-	
Chad	,	'	,	,	1	1	,
Kenya	21.6	21.6	6.	6.	22.5	4.0	100.0
Upper Volta	,	ı	1	,	ı	1	1
Bolivia	7.4	10.4	s.	.5	10.9	4.6	72.5
Mexico	624.5	626.3	,	,	626.3	ı	•
Panama	0.6	10.0	2.6	2.6	12.6	50.6	92.1
Bangladesh	-:	89.	5.0	5.2	6.0	86.7	85.0
Indonesia	e.	4.8	9.	9.	5.4	11.11	16.7
Thailand	52.8	53.3	'	;	53.3	,	•

TABLE 14

Economic Benefit Measurement and Associated Analysis Problems

The state of the s	and Associated Analysis Problems
Valuation Measure	Analysis Problems
Willingness to pay	 Demand is a function of education and income, both interdependent. Water/sanitation is an investment and consumption good. Questionnaire/interview may introduce bias through the structure of questions.
Economic value of increased, and/o healthier, lifespan	1. Considers income generation only, the value or quality of life is not included. 2. Assumes GNP maximization is society's goal.
Present value of output generated minus consumption	Considers only income generation (see above). Assumes GNP maximization is society's goal.
Implicit value from previous gover mental health programs	n- 1. Politics generally most decisive factor in determining the quantity and location of health investments.
Aggregate death and disability premiums	Insurance may reflect value to beneficiaries. Ignores those individuals without sufficient disposable income to participate in insurance.
. Socio-economic quality of life index methods	Generally not suitable for micro or project level analysis. Data is difficult to gather.
Cost effectiveness methods	1. Cannot get specific cost-benefit relationship.
Cost-benefit analysis	1. Cost, and/or service rates, maybe reduced for political reasons. 2. Macro-economic goals such as employment may not be reflected. 3. All benefits must be the same for all alternatives. 4. External benefits are often excluded in the analysis. 5. Misleading results may occur when projects are mutually exclusive.

Source: Saunders and Warford, Village Water Supply: 47-53. John A. Sinden and Albert C. Worrell, Unpriced Values: Decisions Without Market Prices, (New York: Wiley, 1979): 303-363.

Third, the removal of <u>all</u> pathogenic agents associated with water/ sanitation investments would be prohibitively expensive. This results in a compromise in terms of health and compounds the analysis of alternatives. Fourth, attempts to tradeoff between cost and benefits involves quantification of "better" health. At the present time such quantification is not possible. Saunders and Warford summarize the problem as follows:

An improvement in water supply and sanitation can generate interrelated improvements in health, income, and social welfare. Although such benefits are used to justify massive investments expenditures, in practice they are hard to identify and harder to measure. While it is possible to make rational decisions about unquantifiable goals or benefits if their economic costs are known, even this information is often unavailable.⁵⁷

These factors combined to prevent a direct analysis link between economic output and improved health. Several authors indicate that adequate water and sanitation is a necessary but not sufficient condition for economic development. The Feachem, McGarry, and Mara study indicates there are immediate goals to be accomplished, three stages of benefits to be derived, and complementary inputs necessary for facilitating the goals/benefits (see Tables 15 and 16). Saunders and Warford separate

⁵⁷Saunders and Warford, <u>Village Water Supply</u>: 31.

⁵⁸Feachem, McGarry and Mara, <u>Water</u>, <u>Waste</u>, and <u>Health</u>: 78, 137-8. Saunders and Warford, <u>Village Water Supply</u>: 61.

Immediate Aims	Stage I Benefits	Stage II Benefits	Stage III Benefits
Improved Water:	Save time	Labor release	Higher cash incomes
Quality	Save energy	Crop innovation	Increased and more
Quality	Improved health	Crop improvement	reliable subsistence
Availability		Animal husbandry innovation	Improved health
Reliability		Animal husbandry improvement	Increased leisure

Source: Feachem, McGarry, and Mara, Water, Waste and Health: 79-80.

TABLE 16

Complementary Inputs Necessary for the Achievement of the Various Aims and Benefits Setout in Table 15

Aim or Benefit	Complementary Inputs or Prerequisite Conditions
Immediate Aims	Active community participation and support. Competent design. Adequate facilities for operation and maintenance. Appropriate technology unilized.
Stage I Benefits	New supply used in preference to old. New supply closer to dwellings than old. Water use patterns changes to take advantage of improved quantity, availability and reliability. Hygiene changed to utilize improved supply. Other environmental health measures taken. Supply must not create new health hazards (e.g., mosquitos breeding sites).
Stage III Benefits	Good advice and extension services must be provided by government personnel concerned with agriculture, animal husbandry, cooperatives, marketing, education, credit, etc.
Stage III Benefits	Water supply development must be just a single component of an integrated rural development program which has the active support of the local community.

Source: Feachem, McGarry, and Mara, Water, Waste, and Health: 77-80.

the macroeconomic effects into three areas: economic growth, redistribution of income, and balance of payments. 59 A water supply/sanitation program will lead to economic growth if the external funds would not have been received otherwise, if the country is not fully employing indigenous resources, and if the decrease in savings from within country increases aggregrate demand. Redistribution of income will occur if government revenues are derived from taxation. Balance of payments problems may arise in developing countries where capital is scarce and unskilled labor is relatively cheap. The positive short run effects are increased irrigation of vegetables, increased local fish farming, and increased livestock watering. If individuals must contribute funds to the cost of the project then disposable income may be reduced and a negative short run effect will be realized. The positive long run effects include direct economic effects, reduction in mortality, reduction in morbidity, reduction in disease spread, increased time for work and leisure, decrease labor cost due to disease averted, and decreased migration from rural to urban where rural investment is undertaken. direct economic effects include increases in the economic infrastructure, increases in economic activity, and increases in property tax revenue. Unfortunately no direct link has been established between economic output and health at the project level. There are two ways to measure the effect of reduction in mortality. The first method stresses changes in gross output for a given untimely death. The second method attempts to measure the change in net output, gross output minus

⁵⁹Saunders and Warford, <u>Village Water Supply</u>: 56-61.

consumption, for a given untimely death. The first method stresses gross output as a societal goal while the second method stresses consumption as a social goal. Reduction in morbidity results from decreases in worker absentee rates, increased worker productivity, and decreased earnings due to home care. There is a synergic effect if investment in education is coordinated with the investment in water supply/sanitation. For developing countries the effect of underemployment and unemployment may negate the benefits due to reduction in morbidity. Reduction in disease spread occurs through two mechanisms: reduction in the level of pollution reduces transmission and increased body resistance to an infective dose. Once the disease spread is hampered the benefits are gained through reduction in mortality, etc. The provision of more convenient water supply/sanitation generally reduces the amount of time spent in collection of water for household usage. The collection of water is generally the work of women and children in developing countries with the result that increases in available time may not significantly affect benefits if there is substantial under/unemployment. Economic costs may be averted if the water supply/sanitation investment results in reduced expenditures on health or medical expenses. Rural migration to urban areas may be reduced if the water supply/sanitation is directed to rural areas. The World Bank study, in addition to Saunders and Warford, finds no evidence for health/medical cost averted or significant effects on migration. It is unfortunate that the practical aspects of benefit estimation are not possible. The situation is illustrated by the following:

A major theme of this book is the extreme difficulty of predicting the effects of investment in rural water supply and sanitation. A satisfactory basis on which to allocate funds to the sector as a whole is therefore lacking, as well as a method of ranking projects within the sector. . . but at the present intuition is the primary guide as to the merits of competing projects. 60

The relationship of benefits to investment is not only tenuous but some ramifications are, in fact, distinctively negative (e.g., the provision of additional unskilled labor is likely to acerbate labor conditions in dual economies where unskilled labor is generally underemployed and over supplied). In summary, at the present time it is possible to discuss the theoretical aspect of the benefits resulting from the provision of adequate water and sanitation but it is not possible to quantify the basic relationships.

⁶⁰Saunders and Warford, <u>Village Water Supply</u>: 164.

Summary

This literature review has considered three main areas of technology selection models, the relationship between water supply/sanitation and health, and the relationship between investment water supply/sanitation and economic benefits. The four technology selection models individually provided both advantages and disadvantages. None of the current models were found perfectly tuned to conditions in developing countries. It is quite clear that a significant investment in water supply and sanitation will be undertaken in the 1980's. It cannot clearly be established what relationship exists between this type of investment and public health. In addition, even if the investment/public health data existed it would not be possible to segregate the effects so that economic benefits could be quantified in a meaningful fashion. It is quite clear that a link between adequate water supply and sanitation and public health exists in the aggregrate. It is also clear that investments in this type of infrastructure provide a necessary but not sufficient condition for economic development. Until the separate linkages can be established a need exists to provide a technology selection model which facilitates planning in developing Since benefit analysis basically reduces to a subjective countries. judgement concerning public health it becomes of paramount importance that the selected technology be operable under local conditions (i.e., there is a need to encourage the selection of appropriate technology).

Since the selection will be based on qualitative benefits and estimates of life cycle cost associated with alternate treatment schemes, there is a need for the planning process to evaluate the sensitivity to design factors during the selection process. The following chapter develops a selection model which responds to these planning needs in developing countries.

CHAPTER III

MAPMAT METHODOLOGY

Introduction

MAPMAT represents an attempt to focus high technology, in the form of hardware and software (information, etc.), on the problem of selecting appropriate technology. Previous sections of this paper have indicated the need for the selection of appropriate technology in water treatment and sanitation technology and the investment necessary to mitigate the related health problems in developing countries. Several technology selection models have been reviewed to indicate the basis from which MAPMAT arises. MAPMAT intends to fulfill the following design criteria:

- The selection of water treatment and/or sanitation technology which is appropriate to local resource availability.
- The selection of water treatment and/or sanitation technology which is responsive to local health conditions and social/cultural customs.

- 3. The selection methodology includes interactive sensitivity analysis to facilitate the planning process.
- 4. The selection framework includes a common interaction reference for an interdisciplinary group of planners.
- 5. The selection methodology incorporates the technology appropriate to both urban and nucleated village conditions in developing countries.
- The selection methodology addresses the issue of using economic cost analysis as opposed to engineering cost analysis.
- 7. The selection methodology provides engineering design level data using telecommunication.
- 8. The selection methodology incorporates multiobjective optimization rather than selection of the least cost alternative.

Criteria 1, 2, 3, 4, and 5 are fulfilled using an enhanced combination of the USAID/REXO and WBANK selection models. Criteria 6 fulfills the philosophical intent of WBANK with respect to economic costing of alternatives. Criteria 7 is fulfilled using an automated telecommunications link to CAPDET. The fulfillment of Criteria 8 is closely connected to the optimization technique of EXEC-OP. The design of MAPMAT, and by implication the fulfillment of the design criteria,

represents a logical extension of the previous work in the area and a merging of the elements into an intergrated system. The following paragraphs will discuss MAPMAT in terms of technology selection, optimization procedure and unit process cost, sensitivity analysis, telecommunication module and the statistical module.

MAPMAT Screening Algorithm

The thrust of MAPMAT is to provide a tool for knowledgeable planners. The planner is expected to be able to select combinations of treatment technology which will fulfill treatment and health goals. The telecommunication link to CAPDET allows a check of the engineering design to ensure that treatment and health goals are accomplished. MAPMAT considers four main areas of constraints: technical, social/cultural, resource, and health. Technical constraints include:

- 1. Population density.
- 2. Water quantity requirement.
- 3. Sludge disposal requirement.
- 4. Sewage connection requirement.
- 5. Sullage disposal requirement.
- Height of groundwater restriction.
- 7. Water connection requirement.

The population density technical constraints attempts to

eliminate technologies that become inappropriate as population growth occurs. In general this is due to the nature of the technology. For example, one of the lowest levels of sanitation technologies, ventilated improved pit laterine, becomes inappropriate as population density rises due to the inability, and rising unavailability, of land to adequately degrade the toxic materials. As the population density rises there would be less land available for digging laterines and the health hazard would rise as the available land must be reused sooner. The result is that some technologies become inappropriate after a certain population density. 1

The water quantity technical constraint indicates the quantity of water required, in liters per capita per day (LPCD), to properly maintain the technology. This level varies based on the basic design of the technology with some technologies requiring no water while others may require 75 LPCD for proper operation.

The sludge disposal technical constraint indicates that the treatment technology will require the disposal of sludge at the local site. This disposal represents a need to access the treatment physically in addition to the need for adequate disposal following collection. As an example the septic tank technology requires periodic desludging to maintain proper operation while the nature of the ventilated improved pit laterine removes the need for sludge disposal.

The sewerage connection technical constraint indicates if the basic nature of the technology requires access to a conventional sewer

¹The technical constraints section follow closely the WBANK and USAID/REID formulations and the work by Feachem, McGarry, and Mara.

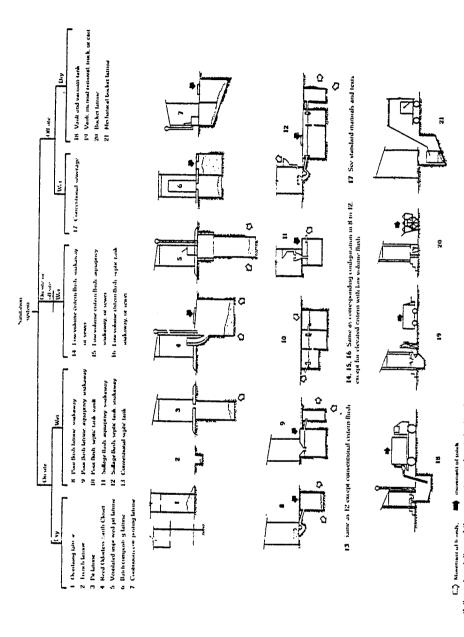
for proper operation. Certain technologies such as a sewered pour-flush toilet requires the existence of a sewer system while other technologies, such as the rotating biological contactor, assume the existing of water-bourne sewage collected to a central point. By implication, of course, the central collection would occur as a result of conventional sewerage connections.

The sullage disposal technical constraint indicates the necessity of providing on-site disposal of sullage. Certain technologies, e.g., septic tank treatment, can accommodate sullage disposal as an integral element of the operation of the technology while other technologies, e.g., pour-flush toilets may require the addition of separate sullage disposal ability to insure adequate operation.

The height of groundwater technical constraint recognizes that certain sanitation technologies may create a problem of contamination if the effluent leaches into the local water supply. In general these possible contaminations will occur where the design of the technology uses the soil as a soakaway (see Figure 11 for examples of soakaways used with sanitation technology).

The final technical constraint, site water connection, indicates the level of water connection required for the proper operation of the technology. The levels are no water connection required, a standpipe connection in the near vicinity, a yard connection at the dwelling,

²The WBANK study indicates that the conveyence of conventional sewage is the primary advantage of conventional sewer technology over on-site disposal technology. The disposal of sullage represents much of this conveinience. See Kalbermattten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: Technical and Economic Options: 17-18.



and a house connection.

The second major technology constraint is the social and cultural area which includes the following subdivisions:

- 1. Anal material usage restriction.
- 2. Visible excreta restriction.
- 3. Fly or mosquito inhibitor restriction.
- 4. Humus handling, or reuse, restriction.
- 5. Level of user education required.
- 6. Level of infrastructure required to organize operation and maintenance.

The anal material usage social/cultural constraint indicates the effect of various commonly used materials on the operation of the technology. Several sanitation technologies cannot accommodate cleansing materials such as mudballs, corn cobs, grain or cement sacking, etc. The double-vault composting toilet cannot tolerate an excessive quantity of water usage. The WDANK study indicates a maximum of 10 LPCD for this type of technology. The use of water as an anal cleansing material would restrict the use of such technology in many developing countries where most anal cleansing is accomplished by water rinse.

The visible excreta social/cultural constraints indicates the disfavor which many societies, both developed and developing, have with

visible excreta. If the viewing of adult excreta is objectional, individuals may refuse to use the technology even if the only alternative is defectation in the open. Objection to the sight of excreta would destroy the usefulness of an aquaprivy regardless of the nonviolation of other constraints.

The fly/mosquito inhibitor social/cultural constraint indicates those technologies which may provide especially favorable habitate for various water-related diseases such as yellow fever, etc. The technologies affected, e.g., ventilated improved pit laterines for sanitation and pretreatment for water supply, may produce favorable conditions for disease transmission unless an inhibitor, such as an insectcide or mechanical cover, can be included with the technology. The willingness and ability of the local user to effectively employ the inhibitor becomes critical to the success of the technology.

The humus handling, or reuse, social/cultural constraint indicates those technologies where the local individual is likely to be required to dispose of a residual generated by the technology. The sanitation technology ventilated improved double pit laterine represents a technology which could required local participation in removal/disposal of the residual produced during the composting. If there is local resistance to the use of the residual in agricultural endeavors then these technologies may not be viable alternatives. In addition if there is local resistance to the handling of the residual, the technologies are not likely to be appropriate to local conditions.

The level of user education social/cultural constraint indicates that some technologies will require a low level of instruction for local users while other technologies will require a high level of user education if the technology is to be adequate to local conditions.³ Some technologies simply require a greater comprehension by the user of the health-treatment relationship to be useful. Conventional sewage represents a case where almost no significant education is required since the transport system moves the potential hazard to a concentrating location. The ventilated improved pit laterine requires a low level of education for adequacy, however, the design nature of the double-vault composting toilet requires a knowledge of the proper mix of organic materials to be supplied, etc., if the technology is to be successful.

The level of infrastructure social/cultural constraint indicates the necessity to provide a local organizational network, or induce individual responsibility, to operate and maintain the technology. In the case of an containment filter for water supply technology or a Reed Odorless Earth Closet for sanitation technology, once the user is properly educated in the usage the level of organization effort necessary to maintain the technology properly is low, however, a sanitation technology such as vault and cartage or a water supply technology such as chlorination require a high level of local infrastructure to operate and maintain the technology. This may indicate a higher operation cost but volunteerism could be a significant factor in offsetting such cost. The salient point is that it is the infrastructure which must exist as an effective network to support local development rather than the relatively higher cost of operation.

³User education represents a complementary investment in this case, however, the critical emphasis here is not the additional investment which must be undertaken but the actual complexity, and therefore, precariousness, of the technology to the level of education provided to, and accepted by, locals.

The third major area of constraint is resources. The resource constraints can be subdivided into the following:

1. Labor

Construction (Unskilled)
Operation and (Semi-skilled)
Maintenance (Skilled)
(Professional)

2. Equipment

Electrical Laboratory Electronic

3. Supplies

Chemicals
Process
Operation and Maintenance
Laboratory

4. Energy

Electrical Other (gasoline, diesel, etc.)

5. Miscellaneous

Land Organic Matter (straw, leaves, etc.)

The labor resource constraints indicates the necessity for four types of labor:

- 1. Unskilled, e.g., common laborer.
- Semi-skilled, e.g., apprentice electrician.
- Skilled, e.g., electrician
- 4. Professional, e.g., engineer

The technologies are constrained differently depending on the nature of the requirement: construction or operation and maintenance. In general sanitation and water supply technologies require a higher level of skill in construction compared to operation. The second resource constraint is equipment. The subdivisions of this constraint include:

- 1. Electrical equipment, e.g., pumps, motors, etc.
- 2. Laboratory equipment, e.g., balance, disk washer.
- 3. Electronic equipment, e.g., pH meter, calculator, computer.

Except for labor and land, all resource constraints are intended for operation and maintenance. This reflects the fact that construction materials are often available from the major urban areas, provided by the funding agency, or connected to the foreign exchange component of funding through an international lending organization. These subdivisions are based on the technical details of the various treatment technologies.

The supplies resource constraints indicate the major elements of supplies needed for the operation and maintenance of sanitation and water supply technology. The subdivisions for this constraint include:

- 1. Chemical supplies, e.g., lime, chlorine.
- 2. Process supplies, e.g., pipe, valves, tubing.

- Operation and maintenance supplies, e.g., sand, gravel, water.
- 4. Laboratory supplies, e.g., test tubes, filter paper.

All of the operation and maintenance resources are expected to be readily available or will be continuously supplied by a central agency to the local site. The supplies resource constraint would be especially critical due to the high levels of continuing usage.

The energy resource constraint indicates the usage of electrical power or other energy medium such as gasoline, diesel, etc. for operating vehicles, etc. The levels of the electrical subdivision reflect an adaptation of the Metcalf and Eddy, Inc. analysis of pumping station design:⁴

Class	Capacity range
None Low (small) Medium (intermediate) High (large)	Lights only or none 200-700 gpm 700-10,000 gpm 15 million gallons per day

The levels of the other energy subdivision indicate the likely need for the use of vehicles in the operation of the technology.

⁴Metcalf and Eddy, Inc., <u>Wastewater Engineering</u>: <u>Collection</u>, <u>Treatment</u>, <u>Disposal</u> (New York: McGraw-Hill, 1972): 204.

The miscellaneous resource constraint indicates the relative level of land required for the technology construction and operation in addition to the requirement for organic matter such as straw, leaves, etc., to be used in the operation of the technology. The land requirement reflects the general parameters of the separate technologies as follows:⁵

Class	Requirement for Land (acres)
Low Medium High	Less than one-tenth of an acre Between one-tenth and one-half an acre Greater than one-half acre

The organic matter subdivision of the miscellaneous resource constraint reflects the requirement for compost balancing materials in sanitation technologies.

The fourth and final major constraint classification relates to the possibility of certain technologies to favor the development of related health problems. There are three major subdivision in this classification: helminth, insect, and heavy metals. All of these restrictions are interdependent with social/cultural habits but rely primarily on the connection between a hazardous entity, unfavorable health

These divisions are based loosely on the design recommendations for sand filters by Fair and Geyer, and the design of activated sludge processes by Metcalf and Eddy, Inc. See Gordon M. Fair and John C. Geyer, Elements of Water Supply and Waste-Water Disposal, (New York: John Wiley and Sons, 1958): 369. Also see Metcalf and Eddy, Wastewater Engineering: 519-522.

conditions in the local area, and technology design. For the helminth major classification there are three subdivisions:

- Food transmission where the helminth related disease is transmitted by the human consumption of raw or partially cooked meat, i.e., fish, beef, pork, crab, etc. Examples of these diseases include clonorchiosis and diphyllobothriasis.
- 2. Water transmission where human contact with snail/host residing in water leads to the diseases; e.g., dracontiasis or schistosomiasis.
- 3. Soil transmission where human contact with excreta contaminated soil allows transmission. In general the helmiuth eggs mature in the soil until passage to the human.
 Examples of these deseases include ascariasis and hookworm.

Certain technologies, no treatment or pretreatment for water supply and aquaculture or land treatment for sanitation, may actually be hazardous to health if local sites experience specific health conditions. If in the local area raw or partially cooked meat, i.e., fish, beef, pork, crab, etc. will be consumed and there commonly exist helminth related diseases such as clonorchiosis and diphyllobothriasis then several sanitation and water supply technologies may acerbate local health

conditions. For example water supply technologies no treatment and pretreatment in addition to sanitation technologies, vault and cartage, aquaculture, land treatment, and trickling filtration provide excellent transmission mediums for the helminths or their eggs. The use of these technologies might easily be technically sufficient but inappropriate to local conditions.

The water transmission subdivision indicates the importance of the possible transmission of this helminth by several technologies. Schistosomiasis is transmitted by the penetration of human skin during contact with snail-infested waters where the snail serves as an intermediate host. These helminths prefer slowly flowing water/wastewater with non-smooth banks. If the helminths exist, or could easily be imported from other regions, certain sanitation technologies; e.g., aquaculture, and water supply technologies; e.g., no treatment, may improve the likelihood of transmission. Such transmission would mean inappropriate technology due to the health constraints.

The final helminth subdivision, soil transmission, indicates those technologies which might provide favorable habitat for the transmission of the related diseases. As an example the Ascaris lumbricoides helminth lays eggs which are excreted and mature in soil. Human contact with the soil, or fecal contamination of food or drinks, results in transmission of the desease ascariasis. The water supply technologies providing favorable conditions for transmission of these type of diseases are no treatment and pretreatment. Aquaculture, sludge drying

⁶See Tables 6-9 for more complete coverage of these diseases.

beds, sludge lagoons, land treatment, and trickling filtration are sanitation technologies which may provide favorable habitat for the transmission of the eggs.

The second major health constraint relates to diseases arising from insect transmission. These deseases may be transmitted mechanically, i.e., the insect has physical contact with the contaminate then spreads the contaminate by physical contact with the human's body or food, or by biting the human. Houseflies and blowflies are examples of the mechanical transmission insects. The mosquitoes and gnats are examples of the insect bite transmission. The mechanical transmission insects may transmit any of the sanitation related diseases (see Table 10) with the genus Musca and genus Chrysomyia most important as vectors. The biting insects transmit several diseases such as yellow fever, malaria, elephantiasis, and sleeping sickness (see Tables 6 through 10). If environmental conditions are favorable to the insects, generally a warm humid climate is preferred, then certain water supply, e.g., no treatment, and sanitation technologies, e.g., ventilated improved pit latrine, sludge drying beds, will be inappropriate technologies for local conditions.

The final major classification under health constraints relates to the transmission of diseases by heavy metals being deposited in the soil and/or by vegetables produced for human consumption. Lead poisoning represents an example of this type of health problem. The sanitation technology land treatment could explicitly contribute to unhealthly conditions. If the compost is used for agricultural purposes such sanitation technologies as double-vault composting toilet and thermophilic composting could transmit heavy metals.

In summary MAPMAT uses a screening algorithm to indicate those technologies which may not be appropriate for local usage due to technical constraints, social/cultural constraints, resource constraints, and health constraints. The following section will discuss the technology selection algorithms, optimization technique, and development of the cost ratios.

MAPMAT Technology Selection Algorithm, Optimization Technique, and Cost Ratios

Having established the parameters to be included in the MAPMAT screening of technology it is necessary to establish the water supply and sanitation technology to be included, the optimization technique used in MAPMAT, and the cost ratios used during optimization. The water supply and sanitation technologies included in MAPMAT are shown in Table 17. Brief descriptions are included as Appendix K to provide sufficient information for comparison. As can be seen in Table 17 MAPMAT includes 29 sanitation technologies and 11 water supply treatment technologies ranging from the lowest level; i.e., a pit latrine in sanitation and no treatment in water supply technology, to the highest technology level; i.e., rotating biological contactor in sanitation technology and desalting in water supply technology. Tables 18 through 21 correlate the sanitation technologies to the screening process used in the initial step of MAPMAT. Tables 22 through 25 correlate the water treatment technologies to the MAPMAT screening process. Tables 18 and 22 relates the technologies, sanitation and water treatment, to the technical constraints used in MAPMAT. For the first constraint there are three quantity breaks for maximum population density: less than or equal to

 $^{^{7}}$ Figure 11 includes drawings for the lesser known sanitation technologies.

TABLE 17
Legend for Tables 18 Through 24

	begend for lables to inrough 24
Acronym	Sanitation Technologies
VIPL	Ventilated Improved Pit Latrine
VIDPL	Ventilated Improved Double Pit Latrine
ROEC	Reed Odorless Earth Closet
ST	Septic Tank
DVCT	Double Vault Composting Toilet
PFT	Pour Flush Toilet
PFT.SEW.SB	Pour Flush Toilet, Sewered, Small Bore
PFT.ST	Pour Flush Toilet, Septic Tank
AP	Aquaprivy
AP.SULLAGE	Aquaprivy, Sullage
AP.SEW.SB	Aquaprivy, Sewered, Small Bore
V&C	Vault and Cartage
COMM	Communal Facilities
COMM.SEW	Communal Facilities, Sewered
, AC	Aqua Culture
LAG.WSP	Lagoons, Waste Stabilization Ponds
TC	Thermophilic Composting
HRTC	High Rate Thermophilic Composting
PC	Primary Clarification
SDBED	Sludge Drying Beds
SDLAG	Sludge Drying Lagoons

Continued

TABLE 17 (Cont'd)

	TABLE 17 (CONE. d)
Acronym	Sanitation Technology
ALAG.Ext	Aerated Lagoons, Extended
CHLOR	Chlorination
LT	Land Treatment
RBC	Rotating Biological Contactor
AS	Activated Sludge
TF.STD	Trickling Filtration, Standard
TF.HR	Trickling Filtration, High Rate
IMHOFF	Imhoff Tank
	Water Supply Technologies
NT	No Treatment
PT	Pretreatment
SSF	Slow Sand Filter
RSF	Rapid Sand Filter
T&O	Taste and Odor
DFILT	Disinfection Filter
CFILT	Containment Filter
SOFT	Softening
DSALT1	Desalting, Softwater
DSALT2	Desalting, Brackish
	Tables 18 - 25 Mnemonics
NA	Not Applicable
Y	Yard connection for water
н	House connection for water
S	Standpost connection for water

TABLE 18 Sanitation Technology Technical Constraints

	Site Water Connection Required	None	None	None	и, и	None	None	None	S,Y,R	S,Y,H	۳,۲	Ν,Ν	None	Yes	Yes	None	None	None	None	=	=	=	=	=	: =	:=	: =		:=	=
	Height of Groundwater A Problem	Yes	Yes	Yes	Yes	No No	No	S S	Yes	Yes	Yes	No.	ol:	ᅆ	Se Se	Yes	No	o _N	°Z	No	- 9	No	N _o	2	Š	N.	No.	2	No.	No
	Sullage Disposal Required	Yes	Yes	Yes	S,	Yes	Yes	cN.	Yes	Yes	0	No.	Yes	S N	Š	S.	No	٠ و	No	No No	S S	No No	No	No	No	No	No.	No	N _o	No No
onstraints	Sewage Connection Required	S.	No	No	2:	Q:	€,	Yes	9 2	8:	ON ;	Yes	No.	No.	Yes	Ş	N _o	Ç.	S.	yes	yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
recunical constraints	Site Sludge Disposal Required	No	Yes	Yes	Yes	res	Yes	res	ies	S = A	S .	Yes:	Yes	Yes	CZ;	- 2	Yes	Yes	Yes	Yes	Yes.	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
	Water Required, LPCD	,	ı	' ,	4.5		ວູ	4:3	,	 	? •		(xeur) or	5 5	c	ı	1	1 2	250	250	007	720	250	250	250	250	250	250	250	250
	Haximum Population Density, People/ Hectare	£300	2300	1300 2300	009×	0090	0097	0007	000=	0094	009	009	000	000	0007	000=	000	000	000	000	000	000	2000	009<	>600	009<	009<	009<	009<	009<
	Sanitation Technology	VIPL	VIDEL	ROEL	TVVI	222	PFT SFU SR	PFT ST		AP. SHLLAGE	AP CEU CR	UE. UEC	COMM	CONN CENT	CUIII. SEN	1 4 5 11611	Jew. nwn	11011	1	פטוננו	Chick	Outros	A.I.Au. EAI	CHLOK		RBC	AS	TF.STD	TF. HR	FIIIOFF

TABLE 19

			_								_					_																
	Level of Infrastructure Required to Organize Operation and Maintenance		MOT	Fow	Low	Nedium		118 111	MO'1	Modern	1150 11111	MOT W		ned 1 un	1181	11184	1811 1811	ilg ili	Mediun	High	118111	fledium	Medium	Fedrum	Fledium	High	Medium	High	High	Medium	Medium	High
nts	Level of User Education Required	K. d.		ned tun	Medium	Very Low	. 4051	Marking.	100	30	Mediam	30	101	**************************************	Word Street	HEAT DATE	Acr	2 7	22.	O T	2 7	2 7	2 2	2 2	2 7	ON :	ON:	No.	No No	No.	No	No
al/Cultural Constrai	Humus Handling or Reuse Restriction	No	2 2 2	:	ON	So	Yes.	ı ç	2	No	Yes	No	S.N.	2 × 4 ×	i d	2 2	2	ž	2 2	- C.S.	20.22	2	200	2			2 ;	œ;	No	ON	S.	No
Sanitation Technology Social/Cultural Constraints	Fly or Mosquito Inhibitor Required	Yes	247	9 - 2	S :	No	2	No	No	No	Yes	No	CN	Si	Yes	ON	Yes	Yes	Vec	S. S.	. S	, A	, , ,	Yes	2) A	SLI N	S	ON.	Yes	Yes	S.C.
Sanita	Visible Excreta Restriction	Yes	Yes			02	Yes	No	Š	ON.	Yes	Yes	Yes	Yes	No.	No	No	o _N	Ž.	2	Š	No.	No	No	No.	ž	2 2		2 2	œ :	2 :	2
* *************************************	Anal Haterial Usage Restriction	ON	- Se	- S	2	•	(120)	Yes	Yes	Yes	ON:	No	No	SN.	Yes	Yes	ž	Nc.	- Se	No	No	N.	ž	28	ž		No.			130	2 2	28
	Sanitation Technology	VIPL	VIDF1.	ROEC	5	.c.		J.Hd	PFT. SEW.SB	PFT.ST	AP	AF. SULLAGE	AP. SEW. SB	V&C	CONM	COMN. SEW	AC	LAG. WSP	TC	HRTC	DC	SDBED	SDLAG	ALAG. EXT	CHLOR	::	KBC	ASS	Tr. S.A.	015: 11 01 31.	IMICE	1 JOHN F
<u>_</u>		_	_	-	_					_				_		_		_		_	_	_			_		_			_		_

TABLE 20 Sanitalion Technology Resource Constraints

	Misc.		Yatter	—basd—	 	 	┤ ┤┋	****	low	med	r wor	Town	ed	low	med	med	low	Continued
	Energy			-Electi		 	' 			low		300	low					•
vi	Supplies		tion & enance	stace stace andsh todsd—	 		- - ×	: ×	×	×	×	< ×	: ×	×	×	×	×	
Resource Constraints	Equipment		Louic	—Elect —Labor —Elect:			* 	: ×	×			×		×		*	×	
Reso	Labor	Construction Operation & Maintenance	killed	—2kille —Duski —brote —2kill			× × ×	×	×	× : × : × :	« « »	× : ×	× × × ×	×	×	× × ×	× × ×	
						Sanitation Technology	ł	VIDRI.		מו			_		:11	5.B	V&C	



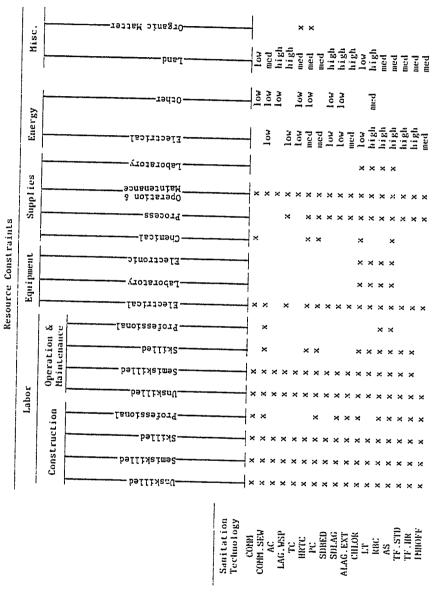


TABLE 21

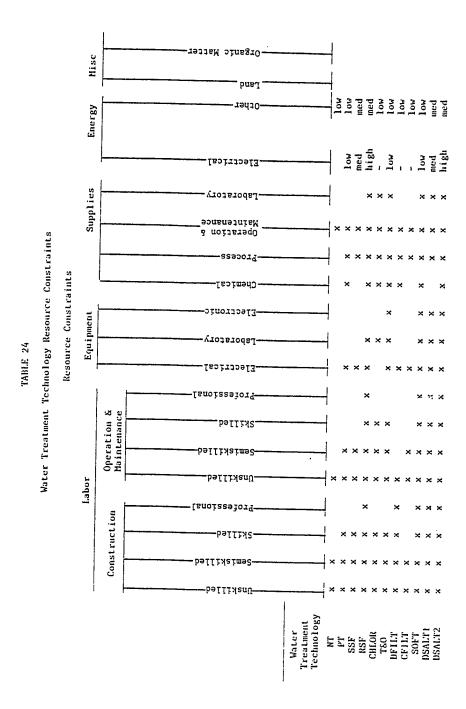
			Heavy Metal Restriction	×	××	×
ıts		Insect	Filariasis Restriction (Cockroach, Fly, Mosquito)	××× ×	××	×× ×
olth Constrain	straints		Ascaris, Hookworm, Tapeworm		× ×	×× × ××
Sanitation Technology Health Constraints	Health Constraints	Helminth	Schistosomiasis Restriction		×	×× ×
Sanitati			Raw or Half-Cooked Neat Restriction (Fish, Beef, Pork, Crab, etc.)		× ×	× ××
			Sanitation Technology	VIPL VIDPL ROEC ST ST BVCT PFT PFT PFT.SEW.SB PFT.ST	AP.SEW.SB AP.SEW.SB OKC COHH.SEW AC LAG.WSP TC IRTC	SDBED SDBED SDLAG ALAG. EXT CILOR I.T RBC AS TF. STD TF. IR

FABLE 22

	Site Water Connection Required	NA NA NA NA NA NA NA
	Height of Groundwater a Problem	NA NA NA NA NA NA NA
	Sullage Disposal Required	NA NA NA NA NA NA NA NA
nstraints	Sewage Connection Required	NA NA NA NA NA NA NA
Water Treatment Technology Constraints	Site Sludge Disposal Required	No Yes Yes No Yes Yes Yes Yes
Water Trea	Water Required, LPCD	NA NA NA NA NA NA NA
	Maximum Population Density, People/Hectare	009 009 009 009 009 009 009 009
	Water Treatment Technology	NT PT SSF RSF CHLOR T&O DFILT CFILT SOFT DSALT1 DSALT2

TABLE 23

Infrastructure Required to Organize Operation & Maintenance Level of Low Medium Hedium High High Low Low High High Level of User Education Required Water Treatment Technology Technical Constraints Humus Handling or Keuse Restriction Social and Cultural Constraints Fly or Mosquito Inhibitor Required Yes No No No No No No No Visible Excreta Restriction Anal Material Usage Restriction Water Treatment Technology NF PT SSF SSF CRILOR TSO DF1LT CF1LT SOFT BSALT1



			Heavy Metal Restriction	
traints		Insect	Filariasis Restriction (Cockroach, Fly, Mosquito)	××
gy Health Cons	raints		Ascaris, Hookworm, Tapeworm Restriction	××
water Treatment Technology Health Constraints	Health Constraints	Helminth	Schistosomiasis Restriction	×× ×
Water			Raw or Half-Cooked Meat Restriction (Fish, Beef, Pork, Crab, etc.	××
			Water Treatment Technology	NT PT SSF RSF CHLOR T&O DFILT CFILT SOFT DSALT1

300, less than or equal to 600, and greater than 600 persons per hectare. Most of the technologies require a population density greater than 600. To some extent this division reflects a movement from rural area technologies at the low density to the urban area technologies at the high density. The second constraint of both Tables 18 and 22 relate the technologies to the water required for proper operation. The V&Ctechnology represents a maximum level of water rather than a minimum since a level of water usage greater than 10 lpcd will disrupt the proper operation of the technology. The water treatment technologies do not require a minimum amount of water. The sewered sanitation technologies such as LAG, WSP, SDBED, RBC, etc. require a certain water volume to maintain flow in the sewer, e.g., 250 to 500 lpcd, thus, the sewered technologies have been set at a minimum level of 250 lpcd. The third technical constraint relates the sanitation and water supply technologies to the necessity of on-site disposal/removal of sludge. As can be observed in Tables 18 and 22 most technologies require on-site sludge disposal/ removal, the exceptions being those technologies such as ventilated improved pit latrines and aquaculture which include disposal/ removal as an integral part of the technology. The communal facilities sewered represents an anomaly in this area since it would not of itself require desuldging but by implication the sanitation technology, other than aquaculture, chosen to treat the liquid wastewater would produce a sludge requirement. It would be expected that the cognizant planner would construct a treatment train which included a desludging require-The fourth technical constraint of Tables 18 and 22 relate the ment. respective technologies to a requirement for a sewer connection. water treatment technologies are not affected by this requirement but

many sanitation technolgies explicity include the sewer, e.g., the small bore sewered aquaprivy, or imply the existence of a sewer system, e.g., a standard trickling filter. The fifth technical constraint of Tables 18 and 20 relate the sanitation and water treatment technologies to a requirement for a separate sullage disposal. Sullage, i.e., vegetable waste, laundry and dish water, etc., are handled as an integral part of a sewered sanitation system but the non-sewered technologies require local sullage disposal. The WBANK documentation indicates that the disposal of sullage is the prime reason for having sewered systems. 8 The sixth technical constraint of Tables 18 and 22 relate the height of the groundwater to the various technologies. The water treatment technologies do not adversely affect the groundwater by inducing contamination. Certain sanitation technologies are prone to contaminate the groundwater if the groundwater table is near the surface or soil conditions are conducive to contamination. In general these technology use the soil as a primary or secondary source of organic matter decomposition so that conditions conducive to groundwater contamination reduce the appropriateness of these technologies. The final technical constraint relates the water supply/sanitation technologies to the level of need for a water connection. Clearly the water supply technologies will not require a water connection, however, most of the sanitation technologies do require some type of water connection. The types of connections are

⁸Kalbermatten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: Technical and Economic Options: 114.

none, standpost (S), yard (Y), and house (H). 9 If a technology requires Y or H, a septic tank for example, then local conditions must be able to support at least a yard water connection for proper operation of the technology. This would rule out the use of a septic tanks system in cases where local site water connections were likely to be none or a standpost.

The second major selection area of MAPMAT are the social and cultural constraints. These constraints are operative where a particular technology would be violating local customs. In such a case the technology may be technically adequate but totally inappropriate for local use. Tables 19 and 23 indicate the dependency between the sanitation and water supply technologies, respectfully, and the social/cultural constraints. The first social/cultural constraint indicates where the use of certain type anal cleansing material may impede the use of a particular sanitation technology. Dry materials such as mudballs, sacking, and corncobs may clog certain technologies such as the pour flush toilet series. A common anal cleanser in many parts of the world is water, however, the double vault composting toilet would be intolerant to a high water volume. The result is that local usage of certain anal cleansers may be inappropriate for use with several sanitation technologies. The second social/cultural constraint relates the various

⁹A standpost may serve a very small village or urban neighborhood while a yard connection brings water near the house but not inside. A house connection is obvious.

 $^{^{10}\}text{Clearly}$ the technology could be entirely viable in a different setting with different customs.

¹¹Water supply technology would not be subject to this constraint.

sanitation technologies to local customs which shun the open presence of excreta. Many cultures place a very high negative value on the sight of excreta and any technology, such as a pit latrine., which subjects the user to continual awareness of the excreta will be less than effective in providing adequate sanitation. MAPMAT screens out those technologies which might be offensive to local customs based on the fact that some sanitation technologies include visible excreta as an integral part of operation. The third social/cultural constraint relates the necessity of providing fly or mosquito inhibitor for certain technologies. There are two elements to this constraint. First local users may be required to safely use an insecticide or other inhibitor to control certain insects. If the local population cannot or will not maintain this responsibility certain sanitation technologies such as the pit latrines and aquaprivy may not be viable. The second element of this constraint deals with certain technologies being conductive to insect breeding and the spread of disease through insect vectors. If local custom does not emphasize the hazards due to insect contaminant of food and/or drink, and a technology will provide favorable conditions for the propagation of the insect, then there is a high liklihood that the technology will be inappropriate to local conditions. The fourth social/cultural constraint deals with the use of humus produced by composting technologies. This constraint does not apply to the water supply technologies or most of the sanitation technologies, however, it is critical for those technologies which produce humus. Local individuals must be willing to handle the humus and, in general, the humus should be viewed as a valuable reclaimed resource by the local user. Unless these two conditions are fulfilled a technology which produces humus from composting will not be

viewed with favor by the local user. MAPMAT considers this disfavor as likely to cause the technology to be inappropriate. The fifth social/ cultural constraint is the level of user education required to operate the technology. For the sewered sanitation alternatives such as primary clarification and activated sludge, this constraint does not apply since these technology do not require user interaction. For the remaining technologies, a level of very low indicates that users will need at most a short introduction to using the technology. A user education level of low indicates that the user must not only understand how to use the technology but what must be done to avoid disrupting the operation of the technology. For example, a pour flush toilet septic tank cannot tolerate bulky materials such as corncobs, etc. to maintain proper conditions. A user education level of medium indicates that the relationship between the use of the technology and possible disease spread must be understood by the users. If an insufficient volume of water is used to maintain the water seal the pour flush toilet will not operate properly and insects may propagate diseases. A high level of user education is indicated where the user must clearly understand the operation and maintenance of the technology. The double vault composting toilet requires a limit on the volume of liquid added to the compost and the addition of organic materials to aid composting in a fairly fixed ratio. The user must be educated to deal with the proper carbon/nitrogen ratios, the various sources of these materials, etc. The final social/ cultural constraint is the level of infrastructure required to operate and maintain the respective technologies. A low level of infrastructure indicates that local users, possibly with wide spaced central agency

help, can adequately maintain the technology. A medium level of infrastructure indicates that a local individual or group must assume the responsibility of overseeing maintenance of the technology. For example if septic tanks are selected as the sanitation technology to be used in a rural village then a local group will need to assure desludging and disposal authority. Without the local infrastructure, MAPMAT considers that the technology has a high probability of being inappropriate. A high level of infrastructure indicates that a local individual, or group, will be required full time to assure the proper operation and maintenance of the technology.

The third major selection area of MAPMAT are the resource constraints. Details of the constraints have been covered previously, but Tables 20 and 24 relate the various resource constraints to the sanitation and water supply technologies, respectfully. Each technology which requires the listed resource is shown with an x, and a blank indicates that the resource is not normally required. MAPMAT compares local conditions to this resource requirement/technology matrix in order to screen out technologies which would be inappropriate to local conditions on the basis of resource availability.

The final major selection area of MAPMAT is the health constraints. The health constraints can be divided into three major subdivision: helminth, insect, and heavy metals. The helminth subdivision is further divided into food transmission, water transmission, and soil transmission. Tables 21 and 25 relate the water supply and sanitation technologies to the health constraints. If raw meat is consumed in the local area then the sanitation technologies V&C, AC, LT, and trickling filtration may encourage the helminths which can be transmitted in this

The water supply technologies, no treatment and pretreatment, involve the same risk in terms of the food transmission health constraints. The same two water supply technologies in addition to RSF, DFILT, and CFILT are suspect when water transmission helminths are indicated in the local area. The slow sand filter has the advantage of removing helminth ova due to the extended time period between backwashing, but the rapid sand filtration requires a much quicker periodicity on backwashing. This quicker backwashing results in viable helminth transmission. The sanitation technologies aquaculture, rotating biological contactor, and activated sludge may provide a favorable environment for the water transmitted helminths. The soil transmitted health constraint applied to the sanitation technologies aquaculture, sludge drying beds, sludge drying lagoons, land treatment, standard trickling filtration, and high rate trickling filtration in addition to the water supply technologies of no treatment and pretreatment. The insect transmission health constraint applies to the two ventilated improved pit latrines, Reed Odorless Earth Closet, double vault composting toilet, vault and cartage, aquaculture, lagoons/waste stabilizations ponds, sludge drying beds, sludge drying lagoons, and land treatment sanitation technologies, in addition to the water supply technologies of no treatment and pretreatment. The heavy metal health constraint applies to the double vault composting toilet, thermophilic composting, and land treatment sanitation technologies.

The selection process of MAPMAT relies on a question/response algorithm to establish the appropriateness of a water supply and/or sanitation technology. For the technologies listed in Tables 20 through 25, MAPMAT asks one or more questions for each column to establish the

on/off condition relative to the technology vector. If a technology is constrained by an on condition, or a level condition, which is not fulfilled, then MAPMAT considers that technology unavailable at the local site. For example, if the water available at a local site amounts to 12 lpcd or less, then such sanitation technologies as communal, primary clarifier, etc. would not be available. If the level of land available at the local site were to be medium, then all technologies which had high land requirements would be unavailable. MAPMAT expects the user to be acquainted with the local site and knowledgable concerning the water/sanitation field; however, it is not expected that the user be an engineer. The intent of MAPMAT is to eliminate those technologies which are inappropriate at a local site by a systematic analysis of local data. Once the basic data is collected, sensitivity analysis can be performed to check the analysis. The first step of MAPMAT is shown as Equation 1 below. ¹²

$$\frac{\underline{A} \ \underline{N'}}{\text{otherwise}} = \frac{\underline{N'N}}{jkt} = 1$$

$$\text{otherwise} B_{jke} = \phi$$
(1)

where

 $\underline{\underline{A}}$ represents a row vector of resources available to the jth treatment technology as determined by the I questions. The vector element a_{ij} , indicates the Bernoeulli condition on resource availability for the jth treatment technology and ith question.

 $^{^{12}\}mathrm{A}$ summary of the equations used in MAPMAT is shown in Table 26.

 ${f N}$ represents a raw vector of resources required by the jth treatment technology. The vector element ${\bf n}_{ij}$ indicates the Bernoulli condition on resource requirements for the jth treatment technology and the ith question.

 $\frac{B}{jkt}$ represents the Bernoulli condition of the j the treatment technology in kth stage at time t.

Equation 1 determines the availability of a treatment technology in respect to the local data, the A vector, and the technology requirement, the N vector. The result is either an on condition, $B_t=1$, or an off condition, $B_t=0$, based on the inner product comparisons. The second step of MAPMAT is to help the user construct alternate treatment schemes to be used in the analysis . Alternate treatment schemes are constructed by the user with available treatment technology being selected to fill blocks in a treatment scheme. The treatment scheme may include one or more treatment technologies. There must be at least one treatment technology selected for each stage included in the treatment train. This condition is expressed as Equation 2.

$$\sum_{j=1}^{J} B_{jkt} = 1 \text{ and } B_{jkt} = 0 \text{ or } 1$$
 (2)

Equation 2 insures that a treatment technology will be used only once in a particular stage and that each stage included will have a treatment technology assigned to that stage. In the third step of MAPMAT the reduction of the waste constituent is calculated for each stage at a

particular time period. At the present time there are two waste constituents to be calculated: biological oxygen demand (BOD) for sanitation technologies and the count of coliform bacteria for water supply technologies. In both cases these pollutants are used as a relative measure of the efficiency of the technology in comparisons to the rest of the technologies. The site disposal sanitation technologies: VIPL; VIDPL; ROEC; ST; DUCT; PFT; PFT; SEW.SB; PFT, ST; AP; AP.SULLAGE; AP.SEW.SB; V&C; COMM; COMM.SEW; AC are assumed to provide adequate disposal if all MAPMAT constraints are met and the technology is properly operated. The relative efficiencies of these technologies are 100% efficient. As a comparison the waste stabilization pond provides 50% reduction of BOD. The sanitation technology CHLOR provides a very low BOD reduction, maybe 1%, however, the primary reason for chlorine disinfection is to destroy coliform bacteria. Sludge drying beds and lagoons provide a negligible amount of BOD reduction although these technologies are valuable in respect to sludge dewatering. The coliform standard for water supply technologies results in the same type of situation with respect to the technologies T&O, DSALT1, and DSALT2. The primary purpose of these technologies is the removal of taste/odor and the desalting of water. Clearly the selection of a single criteria to measure efficiency results in exception to the reduction criteria. MAPMAT recognizes these technologies as being developed for special purposes within a treatment scheme and, as such, they are included in the list of alternatives unless they violate a MAPMAT constraint. During the third step MAPMAT allows the setting of a treatment goal on BOD removal and/or coliform removal which must not be exceeded. If a selected treatment scheme would not reduce

one or both of the waste constituents below, the treatment goal MAPMAT flags that scheme as inappropriate. Equation 3 and 4 are used by MAPMAT to accomplish step three.

$$L_{vtk+1} = \sum_{j=1}^{J} B_{jkt}(1-R_{vj}) L_{vtk}$$
(3)

$$Q_{vt} \le L_{vtk=0} - \sum_{k=0}^{k-1} (L_{vtk} - L_{vtk+1})$$
 (4)

where $L_{\rm vtk}$ represents the vth waste load in the kth stage at time t. The two waste constituents are BOD, given in milligrams/ liter, and coliform given in most probable number per 100 milliliters.

 $R_{\nu j}$ represents the reduction efficiency, i.e., the percent reduction of the νth waste constituent by the jth treatment process. The waste remaining as a percentage is $1\text{--}R_{\nu j}.$

 \mathbf{Q}_{vt} represents a treatment goal for the vth waste constituent at time t.

Equation 3 establishes the waste constituent load at each stage in the process. A selected technology which is available, the B_{jkt} , produces

transformation by a fixed percentage, the $(1-R_{vj})$ term, for an initial waste load, L_{vtk} . The resultant waste load is $L_{vt\ k+1}$ following treatment. Equation 4 sets the treatment goal, Q_{vt} , constraint so that the k stages of waste removal must satisfy the goal. The fourth step of MAPMAT is the calculation procedure used in producing the value of an objective function for each treatment scheme. A cost effectiveness approach is taken during optimization to reflect the fact that at the present time an informed judgment represents the best available criteria for treatment scheme selection once inappropriate technology is removed from consideration. MAPMAT allows the user to construct weighting factors, or cost effectiveness factors, to be used in evaluating the various alternate treatment schemes. A decision not to use weighting factors represents placing equal weights on the treatment schemes or placing equal weight on the effectiveness criteria for each treatment technology. Equations 5 and 6 fulfill the fourth step of MAPMAT.

$$0_{j} = \sum_{j=1}^{J} \sum_{k=1}^{K} \sum_{t=1}^{T} \sum_{z=1}^{Z} \frac{1}{M_{i}} \cdot B_{jkt} \cdot \frac{C_{zjkt}}{(1+D)^{t}}$$

$$+ \sum_{j=1}^{J} \sum_{t=1}^{T} \frac{1}{M_{i}} \cdot B_{jkt} \cdot \frac{P_{jt}}{(1+D)^{t}}$$
(5)

$$\sum_{i=1}^{1} M_{i} = 1 \text{ and } 0 \le D \le 1.00$$
 (6)

¹³Kalbermatten, Julius and Gunnerson, Appropriate Technology
for Water Supply and Sanitation: A Summary of Economic and Technical
Options: 85, 9, 13, 33-34. Saunders and Warford, Village Water Supply:
9, 55. Feachem, McGarry, and Mara, Water, Waste and Health: 94.

where

- $^{0}\mathbf{i}$ represents the value of the objective function for the ith treatment scheme.
- $\mathbf{M}_{\hat{\mathbf{I}}}$ represents the weighting or cost-effectiveness factor, for the ith treatment scheme.
- Cjktz represents the zth cost element for the jth treatment
 technology used in the kth stage at time t. There are
 two cost elements: capital cost and operation/maintenance cost.
- D represents the discount factor to be used during present value calculations. In general, this would be the economic opportunity cost of capital for the country.
- P_{jt} represents a penalty cost for the jth treatment technology at time t. The penalty derives from a design flow in respect to complete treatment, i.e., the disposal of sullage, water used as a transport medium, disposal of a residual, etc.

Equation 5 indicates the objective function to be calculated for each user selected treatment scheme. For every technology which is selected in addition, not previously rejected by MAPMAT as inappropriate for the local site, i.e., the $B_{\mbox{jkt}}$ equals one, the discounted present value of

construction and operation/maintenance is calculated as the ${\it C}_{\rm zjkt}$ term. In like fashion, a discounted penalty cost term is calculated, the $P_{\mbox{\scriptsize jt}}$ term. Certain technologies realize an external cost which must be appended to the capital and operation/maintenance cost if an economic cost analysis is to be prepared. If the nature of a technology does not provide for sullage disposal and sullage disposal will be required then a penalty cost must be added to the objective function. The water transport of waste in sewers represents a cost of sanitation for those technologies which are designed around sewers. Disposal of residuals, such as dried sludge and humus, may represent penalty cost if local conditions do not support reuse of these materials. During the questioning MAPMAT flags these types of events so that penalties may be appended if the technology is selected. Having calculated the basic cost ratios to be used in the comparison, MAPMAT activates the weighting, or costeffectiveness technique to calculate the factor $\mathbf{M}_{\underline{\mathbf{i}}}$. MAPMAT interrogate interactively until the $\mathrm{M}_{\dot{1}}$ are established for all treatment alternatives. At this point all information needed to construct objective function values for each treatment scheme is available to MAPMAT. Equation 6 insures that the weighting factors add to unity and the discount factor is restricted to a reasonable value. MAPMAT finishes step four by displaying the objective function information for all user selected alternatives. The fifth step of MAPMAT allows the user to alter a condition within MAPMAT's analysis to check the results based on a new parameter value or a different response to a specific question. For example having MAPMAT perform the initial analysis, the user may be interested in the effect of a community education program which improves the local infrastructure and increases the awareness of

TABLE 26

$$\underline{A} \underline{N}' = \underline{N}' \underline{N} \text{ for } B_{jkt} = 1$$
 (1)

otherwise $B_{jkt} = \phi$

$$\sum_{j=1}^{J} B_{kjt} = 1 \text{ and } B = \phi \text{ or } 1$$
 (2)

$$L_{vtk+1} = \sum_{j=1}^{J} B_{jkt}(1-R_{vk}) L_{vtk}$$
 (3)

$$Q_{vt} \leq L_{vtk=0} - \sum_{k=0}^{K=1} (L_{vtk} - L_{vtk+1})$$
(4)

$$0_{i} = \sum_{j=1}^{J} \sum_{k=1}^{K} \sum_{t=1}^{T} \sum_{z=1}^{Z} \frac{1}{M_{i}} \cdot B_{jkt} \cdot \frac{c_{zjkt}}{(1+D)^{t}}$$
 (5)

+
$$\sum_{j=1}^{J}$$
 $\sum_{t=1}^{T}$ $\frac{1}{M_i}$ · B_{jkt} · $\frac{P_{jt}}{(1+D)^t}$

$$\sum_{i=1}^{I} M_{i} = 1 \text{ and } 0 \le D \le 1.00$$
 (6)

- where \underline{A} represents a raw vector of resources available to the jth treatment technology as determined by the I questions. The vector element a_{ij} indicates the Bernoulli condition on resource availability for the jth treatment technology and the ith question at time t.
 - $\underline{\underline{N}}$ represents a raw vector of resources required by the jth treatment technology. The vector element n_{ij} indicates the Bernoulli condition on resource requirements for the jth treatment technology and the ith question at time t.
 - $B_{
 m jkt}$ represents the Bernoulli condition with respect to the availability of the jth treatment technology in the kth stage of the treatment scheme at time t.
 - Lutk represents the uth waste load in the kth stage at time t. The two waste constituents are BOD, given in milligrams per liter, and coliform, given in most probable number per 100 milliliter.
 - $\rm R_{\rm vj}$ represents the reduction efficiency; i.e., the percent reduction of the Vth waste constituent by the jth treatment process. The waste remaining as a percentage is 1-R_{\rm vi}.

- $\boldsymbol{Q}_{\text{Vt}}$ represents a treatment goal for the vth waste constituent at time t.
- $\mathbf{M}_{\mathbf{i}}$ represents the weighting or cost-effectiveness factor for the ith treatment scheme.
- C_{zjkt} represents the zth cost element for the jth treatment technology used in the kth stage at time t. There are two cost elements: capital cost and operation/maintenance cost.
- D represents the discount factor to be used during present value calculations. In general this would be the economic opportunity cost of capital for the country.
- P_{jt} represents a penalty cost for the jth technology at time t. The penalty derives from a design flow in the technology in respect to complete treatment, i.e., the disposal of sullage, water used as a transport medium, disposal of a residual, etc.

the health/water/sanitation trilogy. MAPMAT will automatically rerun the analysis given only this change to the social/cultural constraints. As a second example, if an initial solution were based on constructing all technology in the first three years and the user wished to investigate an additional treatment scheme with construction of a pour-flush toilet in year 1, addition of a septic tank system in year 5, and addition of a small bore sewer in year 10. MAPMAT would automatically

generate an objective function for the new alternative. Once the sensitivity analysis is completed MAPMAT can provide two additional services. First, a commercial telecommunications package titled "ASCII Express II" may be used to link to CAPDET. Many of the technologies are part of the small facility wastewater treatment processes included in CAPDET.

The telecommunications module provides a empty buffer which can store the works session on CAPDET, list the work session to a printer, and execute a plethora of friendly telecommunication services. The second additional service provided by MAPMAT is a multiple regression package entitled "HSD Regress." The regression package provides the ability to handle up to 25 variables and 300 cases per variable in a single analysis. Data can be stored on diskette, edited, plotted, transformed, and/or submitted to the regression package. The cost ratio approach for MAPMAT will not be sufficient for actual comparison within a country. These ratios are intended for demonstration only and represent the best knowledge available for generic cost estimation. The regression package allows the user to get local data which can be substituted for the MAPMAT cost ratios once statistical analysis is completed on the data. Table 27 summarizes the literature dealing with cost estimating equations for water supply and wastewater treatment. Most of the equations take the form

log Y = log a + b log Q

where Y is the cost to be estimated, Q is the design capacity, and regression parameters are a and b. MAPMAT uses cost ratios in the analysis procedures simply because an adequate way to provide generic cost

ABLE 27

Emphasis	Model	Reference
1. Wastewater	General Form: Y = a X ^b	Michel, "Costs"
Treatment Plant	Estimator: log Y = log a + log X	
	where Y = capital or operation and maintenance cost in dollars or manhours X = plant load in MCD or PE a ₁ b = parameters	
2. Wastewater Conveyance System	Pipeline General Form: $C/L = K + \alpha D^B$	Tyteca, "Cost functions"
	where C = total capital cost, \$ L = length of pipe, meters K = fixed cost D = diameter, meters \$\beta\$ = parameter	
	Pumping station $C = K' + vW\delta$	
	where K' = fixed cost, \$ W = horsepower v, δ = parameters	
3. Waste Treatment Plants	General Form: $\ln y = b_0 + b$, $\ln X_1 + b_2 \ln X_2 + b_3 \ln X_3 + b_4 \ln X_4$	Shah and Reid, "Techniques for"

	Reference	Clark and Goddard, cost" Clark, "Cost and Pricing"	
TABLE 27 (Cont'd)	Nodel	where Y = construction cost per design NGD X ₁ = design FE X ₂ = design flow in NGD X ₃ = design BOD of influent in NG/1 X ₄ = BOD removal efficiency b ₀ through b ₄ = parameters General Form: C = a ₀ + a ₁ P + a ₂ PD + a ₃ D + a ₄ SW +a ₅ S ₀ + A ₆ Q ₁ + a ₇ Q ₂ where C = cost per 1,000 gailons of water P = population served PD = population density in person per square P = population density in person per square D = average daily demand, NGD SW = supplier of water, utility is primary or secondary source SO = source of water, surface or ground A ₀ , a ₁ , a ₂ , a ₃ , a ₄ , a ₅ , a ₆ , a ₇ = parameters Q ₁ and Q ₂ = dummy variables which indicate a good or bad rating for utility	
	Emphasis	4. Water Supply	

	Reference								Rajagopal, et.al.,	water				
TABLE 27 (Cont'd)	Model	Capital Cost	$ACC = a_Q AD ^D Q^C$	Operating Cost	$AOC = d \left(n_h \right)^{c} \left(n_g \right) \left(Q \right)^{F}$	where ACC = annual capital cost, \$ AD = annual depreciation AOC = annual operating cost, \$ Dmh = labor cost, dollars per hour	$H_{mg} = productivity$, manhours per 1,000,000 gals.	a Through F = parameters	Septic Tanks	$S_{\rm T}$ (Q1) = $a_{\rm o}$ + $a_{\rm 1}$ Q1 + $a_{\rm 2}$ TK + $a_{\rm 3}$ TF + $a_{\rm 4}$ D4	Wells	$W_C (Q2) = b_0 + b_1 (Q2 + b_2 W)$	where ST (Q1) = Total septic tanks installation cost during quarter Q1, \$. Q1 = Quarter number, 1962 base year TK = Septic tank size. eallons	TF = Tile feet, linear feet DW = Number of dry wells of 600 gallons each
	Emphasis	5. Water Supply							6. Rural Wastewater and Water Supply					

	Reference		Smith, "Costs"		Logan, et.al., "An	Analysis			Klemetson and Grenney, "Physical	
TABLE 27 (Cont'd)	Hodel	WC(Q2) = Total well installation cost during Q2, \$ Q2 = Quarter number, 1958 base year Q2 = Quarter number, 1958 base year WB = well depth, feet a ₀ through a ₄ = parameters b ₀ through b ₂ = parameters	General Forms: $Y = a X^b$ Estimator: log Y log a + b log X	where Y = construction or operation/maintenance cost in thousands of \$ per MDG X = Plant size, MGD a,b ≈ parameters	General Form: $Y = a X^b$	Estimator: log Y = log a + log X	where Y = construction or operation/maintenance cost in thousands of \$ per MDG X = plant size, MGD a,b = parameters	Construction, Operation/Maintenance for Plants and Truck Sewers	General Form: Y = K X ^d or ln Y = a+b ¹ ln X	
	Emphasis		Wastewater Treatment		Water Treatment				Wastewater Treatment	
			٠.		æi				6	

	Reference	•		Clark, "Small Water"	Ocanas and Hays,	
TABLE 2/ (Cont.d)	Hode]	where Y = total cost of capacity X, in \$ for treatment plants and lift stations, \$ per cile trunk sewers K = cost coefficient X = capacity, Hgd G = economics of scale parameters, 0≦0≦1 Power cost for lift and pumping	where Y = A A H where Y = Cost of pumping a flow of X to a height of H, \$ K = Cost coefficient X = flow rate, HGD G = economics of scale H = effective pumping head, ft.	General Form: $C_c = \alpha Q_n$ where $C_c =$ total capital cost, in 1,000 \$ $Q_n =$ design capacity, MGD	$\alpha,\beta=parameters$ Construction and operation/maintenance cost for water supply, pipelines, and wastewater treatment General Sorm: $Y=\alpha, Q\beta$	where Y = total cost in \$1,000 Q = design capacity, MGD α , β = parameters
	Emphasis			10. Small Water Systems	II. Water Supply and Wastewater Treatment	

	Reference		EPA, "Construction Costs for Municipal	EPA, "Estimating Costs"	EPA, "Analysis of Operations"
TABLE 27 (Cont'd)	Model	Pumping Operation/Haintenance Cost General Form: $Y = \alpha H^{BQV}$ where $Y = \text{annual pumping cost}$ $H = \text{pumping heat, ft.}$ $Q = \text{design flow, HGD}$ $\alpha, B, V = \text{parameters}$	General Form: Y = a Q ^b Estimator: log Y = log a + b log Q where Y = process cost, in millions \$ Q = design flow, HGD a,b = parameters	General Form: Y = a Q ^b Estimator: log Y = log a + log Q where Y = process cost, in thousands \$ Q = design flow, in HGD a,b = parameters	General Form: Y = a Q ^b Estimator: log Y = log a + log Q where: Y = total of O&H cost, millions \$ Q = actual flow, HGD a,b = parameters
	Emphasis		12. Municipal Wastewater	13. Wastewater Treatment	14. Operation and Maintenance

estimator for developing countries does not exist. The most extensive attempt to provide generic estimators is covered in the USAID/REID documentation. He are been authors indicate the cost estimation problem with respect to developing countries. The cost ratios used in MAPMAT are based on U.S. data adjusted using best professional judgment concerning economics of scale to be expected in developing countries. Tables 28 and 29 include the cost ratios used in MAPMAT. There are two sections of cost: (1) construction, and (2) operation and maintenance. Both sections are subject to two step function factors which may cause economies or diseconomies. The first economic/diseconomy factor relates to the level of infrastructure expected at the local site. The rationalization behind the use of this factor is that within a developing country there are four levels of communities in terms of infrastructure: 17

Level I where the infrastructure is dependent on imported employment; agriculturally oriented with a very small or non-existent local market economy; and/or very low levels of education, few high school or college graduates unless

¹⁴ Reid and Coffey, Appropriate Methods: 97-166.

Reid, Arnold, and Streebin: Appropriate Methods, Workbook: 208. Saunders and Warford, Village Water Supply: 123-138, 158-161.

¹⁶Kalbermatten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Summary of Technical and Economic Options: vi.

The four levels of communities follows closely the development by USAID/REID. See Reid and Coffey, Appropriate Methods: 68-72.

TABLE 28

Sanitation Cost Ratios by Infrastructure and Population Levels

Infrastructure Level									
	7			int	rastruo	ture Lev	/el		
Sanitation Technology	Population Level		Construction Cost Factor			Operation and Maintenance Cost Factor			
recimorogy	rever	1	II	III	IV	I	II	III	IV
VIPL	I II III IV	.44 .41 .38 .35	.55 .51 .47 .44	.69 .64 .59 .55	.86 .80 .74 .68	.02 .02 .02 .02	.03 .02 .02 .02	.03 .03 .03 .03	.04 .04 .03 .03
VIDPL	I III III IV	.88 .82 .76 .71	1.10 1.02 .95 .89	1.38 1.28 1.19 1.10	.172 1.60 1.48 1.38	.04 .04 .04 .03	.05 .05 .04	.06 .06 .05	.08 .07 .07
ROEC	IV III I	.87 .57 .38 .25	.81 .53 .35 .23	.75 .50 .33 .22	.70 .46 .31 .20	.04 .04 .03 .03	.04 .03 .03 .02	.04 .03 .03 .02	.03 .03 .02 .02
ST	I III IV	.64 .60 .55 .52	.60 .55 .52 .48	.55 .52 .48 .45	.52 .48 .45 .41	.17 .16 .15 .14	.16 .15 .14 .13	. 15 . 14 . 13 . 12	.14 .13 .12
DUCT	II III IV	.79 .54 .37 .25	.83 .56 .38 .26	.86 .59 .40 .27	.90 .61 .42 .28	.17 .12 .08 .05	.18 .12 .08 .06	.19. .13 .09 .06	.19 .13 .09 .06
PFT	I III IV	.21 .19 .18 .17	.19 .18 .17 .15	.18 .17 .15 .14	.17 .15 .14 .13	.09 .08 .07	.09 .08 .08	.08 .08 .07	.08 .07 .07 .06
PFT.SEW.SB	IV III I	.51 .48 .44 .41	.74 .69 .64	1.07 1.00 .93 .86	1.55 1.44 1.34 1.25	.21 .20 .19 .17	.31 .29 .27 .25	.45 .42 .39 .36	.65 .60 .56
PFT.ST	IV III II	.85 .79 .74 .68	.79 .74 .68 .64	.74 .68 .64	.68 .64 .59	.26 .24 .23 .21	.24 .23 .21 .20	.23 .21 .20 .18	.21 .20 .18 .17

TABLE 28. Continued

bld 20. Continued									
	T	Т		In	rastru	ture Le	vel		
Sanitation Technology	Population Level		ruction			Operation and Maintenance Cost Factor			
		I	II	III	IV	I	II	III	IV
AP	I III IV	2.52 2.34 2.18 2.02	2.34 2.18 2.02 1.88	2.18 2.02 1.88 1.75	2.02 1.88 1.75 1.63	.10 .09 .09 .08	.09 .09 .08 .07	.09 .08 .07	.08 .07 .07 .06
AP.SULLAGE	IV III II	3.78 3.51 3.27 3.03	5.45 5.07 4.72 4.39	7.88 7.33 6.82 6.34	11.39 10.59 9.85 9.16	.15 .14 .13 .12	.21 .20 .18 .17	.31 .29 .27 .25	.44 .41 .38 .36
AP.SEW.SB	I III III	6.29 5.85 5.44 5.06	9.09 8.45 7.86 7.31	13.14 12.22 11.36 10.57	18.98 17.65 16.42 15.27	.25 .23 .21 .20	.35 .33 .31 .29	.51 .48 .44 .41	.74 .69 .64
V&C	I II IV	.28 .28 .28 .28	.28 .28 .28 .28	.28 .28 .28 .28	.28 .28 .28 .28	.30 .30 .30 .30	.30 .30 .30	.30 .30 .30	.30 .30 .30 .30
COMM	I III IV	.38 .35 .33 .30	.47 .44 .41 .38	.59 .55 .51 .47	.74 .69 .64 .59	.15 .14 .13 .12	. 19 . 18 . 17 . 15	.24 .22 .21 .19	.30 .28 .26 .24
COMM. SEW	I II III IV	.94 .88 .81 .76	1.36 1.27 1.18 1.09	1.97 1.83 1.70 1.58	2.84 2.64 2.46 2.29	.38 .36 .33 .31	.55 .51 .48 .44	.80 .74 .69 .64	1.15 1.07 1.00 .93
AC	IV III III	No cost	data a	vailab]	Le				
LAG.WSP	IV III II	3.00 1.17 1.50 2.00	1.45 1.67 1.70 2.50	1.90 2.07 2.22 3.50	2.15 2.35 3.35 4.00	2.62 2.50 2.10 1.00	4.21 4.10 3.17 2.83	7.42 7.21 5.33 4.67	10.63 10.31 7.50 6.50
TC	IV III III	No cost	data a	vailabl	.e				

TABLE 28. Continued

TABLE 28. Continued									
				Inf	rastru	ture Le	evel		
Sanitation Technology	Population Level		Construction Cost Factor			Operation and Maintenance Cost Factor			
recumorogy	PEAST	I	II	III	IV	I	II	III	IV
HRTC	I III III IV	No cos	t data	availab	le				
PC	IV III I	2.89 7.52 9.07 10.55	3.13 8.15 9.94 11.18	3.38 9.78 10.70 11.45	3.62 10.41 11.00 11.82	10.31 9.62 9.17 9.08	12.21 11.19 10.86 10.57	14.10 13.15 12.56 11.33	16.00 14.92 14.52 14.10
SDBED	IV III IV	6.68 9.57 13.73 17.37	5.87 8.04 11.70 15.23	5.07 7.21 9.67 13.08	4.26 6.98 8.64 11.94	50.25 46.46 39.25 37.80	43.13 43.13 34.58 32.90	41.96 34.58 27.63 26.96	38.24 32.85 25.47 21.31
SDLAG	IV III II	38.30 30.73 23.78 19.29	27.30 25.82 22.50 17.03	25.00 20.91 18.22 15.78	20.29 16.00 14.94 12.52	72.69 39.54 30.67 28.60	79.40 46.77 36.28 33.83	86.10 54.00 40.95 39.07	92.81 61.23 47.50 44.30
ALAG.EXT	I III III	12.33 15.50 17.16 17.23	14.48 17.26 18.40 19.57	16.00 18.20 19.64 20.90	17.00 19.15 21.88 23.24	20.56 16.06 10.50 8.40	29.75 26.48 14.72 10.30	37.94 30.89 18.95 14.27	45.13 37.31 23.17 15.20
CHLOR	IV IV IV	1.32 8.60 10.23 16.84	1.21 7.91 11.37 13.50	1.11 7.22 15.20 10.17	1.00 6.53 16.84 9.18	18.62 13.25 6.19 5.80	19.26 14.45 6.88 5.07	20.66 13.00 9.24 5.33	26.86 11.54 10.18 3.60
LT	I III III	15.70 12.67 10.23 8.25	17.55 14.08 11.37 9.19	23.00 18.94 15.20 12.23	26.00 20.86 16.84 13.58	9.42 7.69 6.19 4.95	10.46 10.30 6.88 5.52	14.07 11.29 9.24 7.34	15.50 12.35 10.18 8.15
RBC	I II III IV	58.50 49.50 37.93 28.94	43.04 39.40 35.70 27.25	32.72 31.70 28.71 21.91	25.60 21.90 20.48 15.64	29.38 27.15 25.24 23.93	27.35 23.36 20.31 17.71	22.42 18.75 16.20 14.32	15.40 13.45 13.37 10.21

TABLE 28. Continued

	Infrastructure Level								
Sanitation Population	Const	Construction Cost Factor				Operation and Maintenance Cost Factor			
Technology	Level	I	II	III	IV	I	II	III	IV
AS	IV III I	48.10 42.00 30.30 23.31	37.24 32.00 29.00 21.82	30.00 27.00 23.22 18.34	25.00 20.00 18.00 15.85	17.88 14.92 13.90 13.67	22.75 18.97 17.67 17.39	27.63 23.03 21.43 21.11	32.50 27.08 24.83 24.20
TF.STD	IV III I	34.64 28.09 27.99 23.32	34.97 32.02 29.50 24.27	35.30 34.95 30.90 25.21	38.63 35.88 31.00 26.16	8.75 6.23 5.33 5.10	14.00 9.97 8.53 8.13	19.25 13.72 11.72 11.17	24.50 17.46 14.92 14.20
TF.HR	I II III IV	53.85 40.00 27.11 20.11	40.03 37.00 23.51 18.86	23.78 31.00 19.90 17.62	20.25 20.00 17.68 16.37	32.00 16.15 14.20 11.75	33.00 20.64 16.44 16.07	34.00 25.13 21.14 17.93	36.00 29.62 25.83 19.80
IMHOFF	I III IV	20.00 24.52 28.11 30.38	17.28 19.52 21.28 27.28	16.45 19.02 20.04 24.17	11.62 18.53 18.80 21.07	46.25 39.38 38.58 18.70	55.35 45.87 41.80 24.60	63.46 53.36 42.03 26.50	72.56 61.85 42.25 31.40

TABLE 29
Water Treatment Technology Cost Ratios by Infrastructure and Population Level

and Population Level									
	 			Infras	tructure	Level			
Water Treatment Technology	Population Level	<u></u>	Construction Cost Factor Opera				tion and Maintenance Cost Factor		
	DCVCI	I	II	III	IV	I	II	III	īv
NT	I III IV	3.16 2.70 1.64 1.44	2.94 2.43 1.47 1.38	2.15 1.48 1.51 1.21	1.50 1.37 1.14 1.10	2.51 1.75 1.42 1.00	2.80 2.01 1.95 1.78	5.04 3.01 2.50 1.95	4.00 3.53 2.75 2.43
PT	I III IV	2.00 2.20 2.50 2.74	2.20 2.50 2.75 2.96	2.57 3.00 3.01 3.48	3.00 3.25 3.50 4.00	6.54 6.74 6.83 6.93	7.03 7.17 7.33 7.63	7.51 7.67 7.83 7.93	8.00 8.15 8.33 8.53
SSF	IV III II	13.16 11.29 9.59 7.90	14.77 13.48 11.45 9.43	15.38 14.68 13.31 10.97	16.00 15.00 14.17 12.50	2.66 2.94 3.05 3.33	5.11 5.71 6.01 6.37	5.55 6.05 7.86 9.44	6.00 6.45 10.42 12.50
RSF	I III IV	23.38 22.00 16.42 15.50	20.31 19.89 16.00 8.77	16.66 14.45 9.20 6.00	12.80 11.00 7.58 5.30	3.60 4.90 6.58 11.17	4.08 8.27 9.25 15.78	5.27 9.93 11.91 20.39	7.60 10.45 14.58 25.00
CHLOR	I III IV	4.32 3.81 3.48 3.16	3.21 3.57 3.04 2.91	3.11 2.94 2.71 2.65	3.00 2.80 2.57 2.40	18.58 18.47 18.08 16.50	15.72 15.65 14.91 12.33	12.86 12.54 12.35 12.17	11.75 11.42 10.58 10.00
T&O	IV III II	105.16 91.34 75.59 61.76	93.00 80.44 66.71 54.57	80.50 70.53 57.82 47.39	70.00 59.63 48.92 40.20	46.82 51.00 87.50 97.50	84.22	43.66 47.56 80.95 90.95	42.08 45.84 77.67 87.67
DFILT	IV III III	31.60 30.25 29.95 29.32	29.17 28.55 28.26 28.10	26.94 26.74 26.38 26.23	24.31 24.12 24.01 23.75	28.08 27.78 27.13 26.98	25.45 25.17	23.76 23.25 22.89 22.56	21.60 20.64 20.19 20.04
CFILT	IV III II	No data	availabl	e at the	i present				

TABLE 29. Continued

			IADLE 25	. Conti					
				Infras	structure	Level			
Water Treatment	Population	Constr	uction (ost Fact	or	Operation and Maintenance Cost Factor			
Technology	Level	I	II	III	IV	I	II	III	IV
SOFT	IV III I	150.99 115.86 60.79 47.90	110.47 90.49 52.01 30.87	70.95 65.12 48.23 27.83	41.43 39.75 25.45 22.80	29.86 38.48 44.50 54.50	28.85 37.52 42.67 52.69	27.85 36.57 40.83 50.87	26.84 35.61 39.00 49.06
DSAUT1	IV III I	163.71 146.94 127.70 83.52	158.11 129.63 112.88 73.95	116.00 117.31 97.06 65.37	105.00 95.00 84.24 57.80	16.46 24.77 32.67 42.67	15.91 25.17 31.22 41.22	15.35 23.92 29.78 39.73	14.80 22.26 28.33 38.33
DSALT2	IV III I	153.24 120.04 106.50 80.78	118.00 105.53 95.42 75.17	103.00 91.01 81.31 66.46	82.35 77.50 69.21 56.80	31.32 37.87 65.10 66.33	30.27 36.59 62.97 70.72	29.21 35.31 60.78 65.11	28.16 34.03 58.58 59.50

from a "volunteer" type organization. Virtually 100% of local employment is agricultural. A rural village is an example.

- 2. Level II where the infrastructure is dependent on the imported employment of scientific and technical people, but produces managers, operators, low level teachers, etc. to support a low to medium size market economy. Approximately 50% of the local population derives a livelihood from agriculture. The secondary and primary schools are developed but the quality of instruction may be very variable. An example is the rural town or small city.
- 3. Level III where the infrastructure has available scientists, engineers, and other professionals, but imports almost all research professionals. Primary and secondary school systems are well developed with generally good.

 . teachers. There may be a local college available. Less than 25% of the population will be engaged in agriculture or agriculture related enterprise. An example is a large but isolated city, possibly a regional center of commerce.

4. Level IV where the infrastructure closely resembles a large city in a developed country. Significant portion of the population finish primary and secondary schools. Research professional are readily available and high technology is also available. An example is the national capital of a developing country.

The second economy/diseconomy factor relates to the population size of the design site. In general this factor reflects the effects of scale on the treatment cost. There are four scale levels utilized by MATMAT:

- 1. Level I: population ≤2,500
- 2. Level II: $2,500 < population \le 15,000$
- 3. Level III: $15,000 < population \leq 50,000$
- 4. Level IV: population >50,000

In summary, MAPMAT uses cost ratios which may be useful for comparison and demonstration purposes but cannot be used for estimation purpose. Two sources exist to get around the cost estimation problem. First local cost data may be developed and substituted for MAPMAT's cost ratios. Secondly, local cost may be used with CAPDET once MAPMAT has been used to construct treatment schemes which are appropriate to the local site. In either case, the regression package and the telecommunications link to CAPDET should be useful to the user. A final note is

¹⁸ Kalbermatten, Julius, and Gunnerson, Appropriate Technology for Water Supply and Sanitation: A Planner's Guide: 27-40.

¹⁹Feachem, McGarry, and Mara, <u>Water</u>, <u>Waste and Health</u>: 134.

Summary

This chapter has presented the details of a model designed to aid in the planning process in developing countries, MAPMAT. The area of interest is the selection of appropriate technology in water supply treatment and sanitation technology. The approach taken by MAPMAT is to use an interactive sequence of questions about local conditions to remove from consideration treatment technology which would be inappropriate for local use. Inappropriateness could occur due to the violation of technical, social/cultural, resource, or health constraints. The remaining treatment technologies are arrayed by the user into treatment schemes. MAPMAT then uses sensitivity analysis and cost effectiveness analysis to investigate the alternatives available to the user. MAPMAT has available a telecommunications link to CAPDET and a multiple regression package for the user's convenience. The design of MAPMAT concentrated on eight major criteria:

- 1. The selection of appropriate technology for water supply and/or sanitation.
- The selection of water supply/sanitation technology which
 is responsible to local health conditions and social/
 cultured conditions.

- 3. Methodology which includes interactive sensitivity analysis.
- 4. The methodology provides a common framework of analysis for interdisciplinary planning.
- The range of technology included must cover both rural nucleated villages and urban areas in developing countries.
- 6. The methodology uses an economic cost approach.
- 7. The methodology allows engineering design detail to be provided by telecommunications.
- The methodology incorporates multiple objective optimization.

MAPMAT incorporates all of these criteria into its design. The foundation on which MAPMAT builds is the previous models developed in this area: (1) CAPDET and EXEC-OP for developed country applications, (2) USAID/REID and WBANK for developing countries applications. In the following chapter, data gathered by the USAID/REID project is used to verify the operation of MAPMAT.

CHAPTER IV

A TEST OF MAPMAT

Introduction

The intent of MAPMAT's design is an interactive framework for analysis of water supply and sanitation investment in developing countries. MAPMAT will have fulfilled that intent if planners in developing countries and members of international lending organizations find the approach taken by MAPMAT to be useful and to facilitate selection of appropriate technology. Thorough testing of MAPMAT will require extended usage in developing countries and a committment to collecting economic cost data to be used in analysis. A limited test, and insight into the application, of MAPMAT can be gained by comparison to a similar model, USAID/REID, which has been field tested in Panama and Indonesia. AID sponsored a four month field test of USAID/REID in both Panama and Indonesia in late 1979 and early 1980. Teams of University of Oklahoma engineers, under the direction of Professor George W. Reid, gathered data from varied test sites in Panama and Indonesia. The USAID/REID model was tested with this varied data and although there were minor definational problems the USAID/REID model was found to be an excellent

first generation model. The USAID/REID model and the field test results were presented in one week seminars held in several countries: Panama, Indonesia, the Philippines, Peru, Thailand, etc. The data gathered in the USAID/REID field test is used to test MAPMAT and to compare the output of MAPMAT to the output of USAID/REID. The following sections will discuss the operation of MAPMAT, the results derived by using the Panama and Indonesia data as input to MAPMAT, and conclusions and recommendations.

Operation of MAPMAT

MAPMAT has six integral operating sections plus two utilities. The two utilities are intended to allow multiple regression analysis on cost data and provide a telecommunication link to CAPDET. Both of these utilities are peripheral to MAPMAT's operation and will not be discussed here. The central sections of MAPMAT are:

- MAPMAT.MAIN
- 2. MAPMAT.AVAIL
- 3. MAPMAT.OPTIMIZE
- 4. MAPMAT.COST.RATIO
- MAPMAT.EFFECTIVENESS
- 6. MAPMAT.SENSITIVITY

Initial entry into MAPMAT occurs via a greeting program called MAPMAT.HELLO. The greeting program automatically runs the main menu program called MAPMAT.MAIN. The purpose of the main menu program is to provide user interaction among the separate programs. The programs are written separately due to size of MAPMAT, approximately 95,000 bytes of

code and cost ratio data, and to increase the efficiency of interactive usage. Once data is prepared by one of the programs MAPMAT automatically stores the data in a temporary file for further processing and, at user option, the file may be saved permanently. MAPMAT.MAIN guides the user to select a program for use. Appendix L includes the output generated by MAPMAT.HELLO and MAPMAT.MAIN.

MAPMAT.AVAIL second major section of MAPMAT, uses a questionnaire approach to identify technologies which may be inappropriate for the design site. There are 37 questions which correspond to the columns of Tables 18 through 21 for sanitation technology and Tables 22 through 25 for water supply technology. The answers to these questions determine whether a specific technology would be appropriate to local conditions and therefore is considered available by MAPMAT. Once the 37 questions are completed MAPMAT indicates the available technologies and, at the users option, the answers given to the questions and/or the questions which caused a particular technology to be unavailable. MAPMAT.AVAIL then produces a temporary disk file which contains the technology mnemonics, the technology availability, and response to questions. Appendix M contains the output generated by MAPMAT.AVAIL. The last four pages of Appendix M details the available technologies: ST, PFT.ST, and AP. SULLAGE for sanitation and SSF, DFILT, and CFILT for water supply. The technologies are arrayed against question numbers with an "x" indicating that the question response caused the particular technology to be unavailable. The zero or one preceeding the technology label indicates the on/off condition, O if off, one is on. The final section of Appendix M indicates the response given to the 37 questions.

The user has the option to permanently store the data generated by MAPMAT.AVAIL. The user finishes the MAPMAT.AVAIL section by returning to the main menu.

The third major section of MAPMAT is the optimization section, MAPMAT.OPTIMIZE. The purpose of this section is the construction of treatment trains from the available processes and checking to see that treatment goals, if set, are fulfilled. On entering MAPMAT.OPTIMIZE the user can select three options for data entry:

- 1. retrieve data stored temporarily by MAPMAT,
- 2. retrieve data stored permanently under a file name,
- 3. data which the user generates at this point.

The first two options use data stored on disks by MAPMAT.AVAIL. The data are retrieved from the disks and used to construct treatment trains. From the list of available technologies the user selects those technologies which will be used to construct treatment trains. Up to 99 stages can be included in a single treatment train and up to 999 treatment trains can be constructed. To allow for sequential investment programs MAPMAT.OPTIMIZE asks the user to specify the year in which a technology will be available. By specifying an entry in year 10 the user can investigate sequential investment starting in year one with an additional technology entered in year 10. Treatment goals and load can be entered by the user and MAPMAT will use internal percent reduction calculations to check each treatment train for compliance with the goal.

At the present time BOD reduction is the only goal of sanitation technology, while fecal coliform count is the only goal of water supply treatment. If a treatment train exceeds a goal, then the user is informed of both goal and existing load. The train may be included or excluded at the user's option. Finally a summary of the treatment train data is printed and the user is returned to the main menu. The treatment trains and year of availability are stored in a temporary data file and can be permanently stored at the user's option. A sample computer output is included as Appendix N.

The fourth section of MAPMAT is the cost ratio section, MAPMAT.COST.RATIO. The purpose of this section is to use the stored data to calculate per capita cost ratios for the selected treatment trains. Upon entry the user provides analysis data such as the existing population growth rate, the period of analysis to be used in discounting, and the opportunity cost of capital. The population values are used to establish economy/diseconomy information to be used by MAPMAT.COST.RATIO during the cost calculations. The average population over the life of the project is used in this analysis rather than the design population or the initial population. MAPMAT.COST.RATIO next presents descriptions of the four infrastructure levels which help to determine the economy/diseconomy data. The user must select an infrastructure level which is the best approximation of the design site. Once this information is entered MAPMAT.COST.RATIO moves to the cost calculations. Two options for data retrieval are using a temporary file stored by MAPMAT or data retrieval using a permanent file stored by MAPMAT. The indicated data is retrieved and the cost ratios are presented for each stage and for the train as a whole. Since the total

values are presented as integers, the rounding error may cause values less than one to be reported as zero. Once MAPMAT.COST.RATIO completes printing the cost ratio data, the user is again returned to the main menu. A sample computer output for MAPMAT is the multi-objective optimization analysis using a pairwise comparison technique for cost effectiveness analysis. The purpose of this section is to allow the user to determine the relative importance of several factors which relate to the decision but cannot be quantified strictly in terms of cost. As an example, the data generated by Appendices L through 0 are used to demonstrate the technique. Four treatment trains have been stored and upon entry to MAPMAT.EFFECTIVENESS are identified as trains 1 through 4.

- the effectiveness of the alternative trains in using local labor,
- 2. reliability of the selected trains,
- 3. the social acceptance of the selected treatment trains,
- 4. the reliance on imported material of the selected trains.

Relative weights are assigned pairwise starting at the top of the list of effectiveness measures. For example, local labor use might be deemed twice as important as reliability. Reliability could be three times as important as social acceptance, etc. From these relative importance figures MAPMAT produces normalized relative weights for the included

effectiveness measures. The same procedure is used for each effectiveness measure, but each alternative is ranked pairwise against the other alternatives. MAPMAT produces normalized relative rates for the alternate treatment trains for each selected effectiveness measure. MAPMAT uses the normalized weights between the effectiveness measures, and the normalized weights between the alternatives for each effectiveness measure, to construct a total weighted average effectiveness:

$$TE_{j} = \sum_{i=1}^{I} A_{ij} \cdot M_{i}$$

where TE_j = total effectiveness for the jth alternative,

 A_{ij} = normalized weight for the jth alternative within the ith effectiveness measure,

 M_{1} = normalized weight for the ith effectiveness measure.

The user has three options for cost data entry:

- a temporary data file constructed by MAPMAT,
- a permanent data file constructed by MAPMAT,
- 3. local entry of data.

Once the data are entered MAPMAT produces a summary of the cost effectiveness by dividing each cost by the ${\rm TE}_{\rm j}$ calculated above. Appendix P includes a sample computer output for MAPMAT.EFFECTIVENESS.

The final major section of MAPMAT is the sensitivity analysis, MAPMAT.SENSITIVITY. The purpose of this section is to allow the user to modify a data element and investigate the effect of the change. Upon entry the user selects the area of data which will be modified. The modification takes place and the user receives the output appropriate to the solution. An example is included as Appendix Q. Inspection of the summary information in Appendix M indicated that question 12 caused several sanitation technologies such as VIPL to be inappropriate. Question 12 attempts to discover what level of training will be available at the design site. Several technologies require an extensive knowledge on the part of the user to be effective. If a local group, or a non-local group, will not supply that training then these technologies are likely to be inappropriate. For this example a low level of training was initially expected at the local site, but if an international lending organization will include funds for training then these technologies become available as shown in Appendix Q. The user could then link back using the main menu to rerun the optimization, cost ratio, and cost effectiveness sections.

In summary, the operation of MAPMAT is segmented into several sections which generate data files that are stored for further processing. A complete run of the model, excluding the communication and statistical utilities, is included as Appendices L through Q. In the following sections the use of MAPMAT is demonstrated using data from the USAID/REID field test in Panama and Indonesia.

MAPMAT Results for Panama and Indonesia, Data Gathered by the USAID/REID Project

Two member teams were dispatched from the University of Oklahoma to spend approximately three to four months in Panama and Indonesia. The major effort of these teams was to be the collection of data and analysis of the data using the USAID/REID model. The teams had daily contact and involved local government individuals in the collection and analysis of data. In Panama five test sites were selected for investigation:

	City	Province	1979 Population
1.	David	Chirique	50,890
2.	Santiago	Veraquas	21,840
3.	Penonome'	Cocle'	9,490
4.	Las Tablas	Los Santos	5,700
5.	Bocas del Toro	Bocas del Toro	2,700

Water supply treatment analysis was completed for David, Santiago, and Bocas del Toro. 'Wastewater treatment analysis was completed for David, Peneonome', and Las Tablas. The following output was derived from the USAID/REID model:

City	Unit Process Availability	Combinations Availability
David	No Treatment (NT) Pre-Treatment (PT) Slow Sand Filter (SSF)	1. RSF,c + D 2. RSF,a + D 3. PT + RSF,c + D

Cont'd.

City	Unit Process Availability	Combinations Availability
	Rapid Sand Filter, conventional (RSF,c) Rapid Sand Filter, Advanced (RSF,a) Softening (SOFT) Disinfection (D) Taste, Odor (T&O) Desalting - Salt (SALT1) Desalting - brackish (SALT2) Containment Filter (CFILT)	4. PT + RSF,a + D
Santiago	NT PT SSF D T&O CFILT	None

By making available professional operation and maintenance labor, the following process and combinations occur:

	RSF,c RSF,a	1. RSF,c + D 2. RSF,a + D 3. PT + RSF,c + D 4. PT + RFF,a + D
Bocas del Toro	PT D	None

By providing professional labor as above the same unit processes and combinations would be available.

Using data derived from the USAID/REID project MAPMAT provided the following output:

City	Technologies Available	Combinations Available
David	RSF CHLOR T&O	Any combination selected up to 99 stages and 999 treatment trains

Cont'd.

City	Technologies Available	Combinations Available
	DFILT	Examples:
	CFILT	1. CFILT + RSF + CHLOR
	SOFT	2. RSF + CHLOR
	DSALT1	3. RSF + DFILT
	DSALT2	4. DSALT1 + CHLOR
		5. CFILT + DFILT
		6. CFILT + RSF in year 1
		+ DFILT in year 5
Bocas del Toro	NT	Any combination
	DFILT	Examples:
	CFILT	1. DFILT in year 1 +
		DFILT in year 10
		2. NT + DFILT
		3. NT + CFILT

The Santiago output provided no significant information increase for the comparison and therefore is omitted from the analysis. In comparison, it can be seen that MAPMAT indicates fewer technologies available. Analysis of the MAPMAT.AVAIL output indicated that the prevalence of helminths in the local area was significant in reducing the number of available technologies. In addition the lack of professional labor for operation and maintenance reduced the number of technologies available.

This is in keeping with the intent of MAPMAT to flag inappropriate investment. Appendix R includes the summary information from MAPMAT.AVAIL, MAPMAT.OPTIMIZE, and MAPMAT.COST.RATIO. for David. The same information is included as Appendix S for Bocas del Toro. It should be noted that MAPMAT produces cost ratios of zero when data is not available. The wastewater output of USAID/REID field test in Panama included the following:

Wastewater Treatment

City	Unit Process Availability	Com	binations Availability
David	Primary, conventional (PC) Primary, Stabilization Pond (SP)	1. 2.	OC + IMHOFF SP + DILUT
	Sludge, conventional (S,c) Sludge, advanced (S,a) Sludge, combined (IMHOFF) Secondary, standard filter (STD)	3. 4. 5. 6.	PC + EXT
	Secondary, high rate filter (HR)	7.	PC + S,c + HR
	Secondary, Activated Sludge (AS)	8.	PC + S,c + AS
	Secondary, Extended Aeration (EXT)	9.	Pc + IMHOFF + D
	Disinfection (D) Aqua culture (AQ) Dilution (DILUT) Individual (INDIV1) Individual, advanced (INDIV2)	11. 12. 13. 14.	PC + S,c + D SP + D PC + INHOFF + STD + D PC + S,c + STD + D PC + S,c + HR + D PC + D + EXT
Penonome'	PC SP S,c S,a IMHOFF STD EXT D AQ DILUT INDIV1 INDIV2	2. 3. 4. 5. 6. 7. 8. 9. 10.	SP + DÍLUT PC + IMHOFF + STD PC + EXT PC + S,c + STD PC + IMHOFF + D PC + S,c + D

```
LAS TABLAS

Same

as

2. PC + S,c + D

Penonome'

3. SP + D

4. PC + IMHOFF + STD + D

5. PC + S,c STD + D

6. PC + EXT + D
```

Using the data from the USAID/REID project MAPMAT supplied the following output:

City	Technology Availability	Treatment Trains
David	ROEC ST PFT PFT.SEW.SB PFT.ST COMM COMM.SEW TC HRTC PC CHLOR RBC AS TF.STD TF.HR IMHOFF	Examples with year of construction under the technology 1. ROEC 1 2. ST 1 3. PFT + PFT.ST + PFT.SEW.SB 1
Penonome'	VIPL VIDPL ROEC PFT PFT.ST AP	Examples: 1. PFT + PFT.ST 1 5 2. VIPL 1 3. VIDPL 1 4. ROEC 1 5. PFT 1 6. AP 1 7. VIDPL + PFT + PFT.ST 1 5 10 8. ROEC + PFT + PFT.ST 1 5 10

Las Tablas is not included here since it did not provide significant additional information. As can be seen from the above, the technology available for David are substantially the same for MAPMAT and USAID/ The flexibility of MAPMAT in constructing treatment trains is apparent for both David and Penonome'. Appendices T and U include the summary output from MAPMAT. Certain treatment trains are eliminated from consideration due to faulty data input by the user, i.e., specifying a construction date beyond the analysis period, etc. The David output from MAPMAT is illustrative of the difference in comparison to USAID/REID. Treatment trains 4, 5, and 6 allow the user to investigate sequential investment, and in the case of train 4, the technology moves from on-site to sewered. In addition, MAPMAT includes a larger number of technologies than USAID/REID so that additional flexibility is provided. In the case of Penonome', the differences are quite striking. USAID/REID has available almost the full range of sanitation, while MAPMAT indicates only on-site alternatives be included as appropriate to local conditions. Analysis of the response to the questions indicates that several technologies were made unavailable on the basis of judgmental answers by the user. Since the pertinent information is not available, the output from MAPMAT cannot be verified. Clearly, MAPMAT is sensitive to the quality and quantity of the input data. This sensitivity is the intent of MAPMAT since the interactive flexibility allows rapid additional analysis that should be sensitive to local conditions. Of course, MAPMAT may be too sensitive, but only full information tests will decide this issue. It should be noted that at the time of the USAID/REID field tests, only communal septic tanks were in use at Penonome'.

The Indonesia field test of USAID/REID covered four sites in West Java:

Test Site	Location	District	Population
TS1 TS2 TS3	Desa Bongas Desa Nanjung Desas Wetan and Kulon	Bandung Bandung Majalengka	11,175 5,236 24,625
TS4	Four Desas in Karawang	Karawang	79,680

Two of the Indonesian test sites are selected for comparison, TS2 and TS4.

The USAID/REID model indicates the following output based on the test site data:

Test Site	Unit Process Availability	Combinations Available
TS2	NT PT SSF D T&O CFILT	1. NT 2. NT + D 3. SSF 4. PT + SSF 5. PT + SSF + D 6. CFILT 7. T&O
TS4	NT PT SSF RSF,c RSF,a SOFT D T&O SALT1 SALT2 CFILT	1. NT 2. NT + PT 3. SSF 4. PT + SSF 5. CFILT 6. RSF,c + D 7. PT + RSF,c + D 8. RSF,a + D 9. PT + RSF,a D

Using the USAID/REID data, MAPMAT generated the following output.

Water Supply Treatment

Test Site	Technology Availability	Treatment Train
TS2	NT PT DFILT CFILT	Any combination of the available technologies
TS4	NT PT SSF RSF CHLOR T&O DFILT CFILT SOFT DSALT1 DSALT2	Any combination

As in the Panama test data, both USAID/REID and MAPMAT select approximately the same technologies for a large city. MAPMAT gives the user more flexibility in constructing alternate treatment trains. For rural villages and towns, MAPMAT tends to be conservative in selecting technology compared to USAID/REID.

The sanitation section of USAID/REID resulted in the following output for the Indonesian test sites:

Sanitation Technology

Test Site	Unit Process Availability	Combinations Available
TS2	PC SP S,c S,a IMHOFF STD EXT D	1. PC + IMHOFF 2. PC + S,c 3. SP 4. PC + IMHOFF + STD 5. PC + EXT 6. PC + S,c + STD 7. S,c 8. Any one of 1 to 7 + D

Sanitation Technology - Cont'd.

Test Site	Unit Process Availability	Combinations Available
	AQ DILUT INDIV1 INDIV2	9. AC 10. DILUT 11. SP + DILUT 12. INDIV1 13. INDIV2
TS4	All process available - see David, Waste section.	See David

Using the data from the USAID/REID project, MAPMAT produced the following output:

Sanitation Technology

Test Site	Technology Availability	Treatment Train
TS2	VIPL VIDPL ST PFT PFT.SF SP AP.SULLAGE	Any combination
TS4	PFT PFT.SEW.SB AP AP.SULLAGE AP.SEW.SB COMM COMM.SEW LAG.WSP TC HRTC PC SDBEDS SDLAG A.LAG.EXT CHLOR LT RBC AS TF.STD TF.HR IMHOFF	Any combination

These results confirm the previous findings that MAPMAT is more conservative than USAID/REID on technology availability for small towns and rural villages, but very similar on large cities. MAPMAT is more flexible in construction of treatment trains. Summary results from MAPMAT are included as Appendix V for TS2 and Appendix W for TS4.

CHAPTER V

CONCLUSIONS AND RECOMMENDATIONS FOR FUTURE RESEARCH

The purpose of this research has been the synthesis of economics and civil engineering in a planning model which would be appropriate to developing countries. The model developed, MAPMAT, attempts to interface the areas of economic planning, economic cost effectiveness analysis, and public health economics with the civil engineering areas of water supply treatment and sanitation technology. The coming decade, i.e., the Water Decade, is likely to see a very large investment in water supply treatment and sanitation technology for developing countries. Unless the technology selected is appropriate to local conditions, the level of investment may not be effective in improving public health in developing countries. It is desirable in economic developmental planning that infrastructure investment funds be expended in an efficient manner and be allocated to maximize societal welfare. In general, quantifiable benefits are compared to project cost in choosing among competing investments. Unfortunately, the benefits which accrue to an investment in water supply/sanitation cannot be adequately quantified at the present time. In such circumstances, the appropriateness of technology to local conditions becomes critical. The model developed during this research represents a new economic planning model to be

used in the selection of water supply treatment and sanitation technology. The following paragraphs will indicate the approach used in developing the model and recommendations on future research.

Since the intent of MAPMAT included a synthesis of civil engineering and economics the first step in the development of MAPMAT was a literature review pertinent to the areas of interest. The review concentrated on water supply treatment and sanitation selection models and the interdependent links between the provision of these technologies and economic development. The second step in the development of MAPMAT included the design of the model and implementation on a microcomputer. A test of MAPMAT, using data previously collected by a USAID/University of Oklahoma project, completed the development of the model. MAPMAT's basic technology selection structure is derived from the previous technology selection models: CAPDET, EXEC-OP, USAID/REID, and WBANK. Economic planning elements were added to MAPMAT to produce the final form. Once the design of MAPMAT was completed, a microcomputer was chosen for implementing MAPMAT's design. The hardware configuration selected for MAPMAT included an Apple II Plus microcomputer with approximately 64,000 bytes of random access memory, the Apple disk operating system version 3.3, and the BASIC language version called Applesoft. Peripheral hardware included a Sanyo monitor, two Micro-Sci disk drives, a Mountain Hardware CPS Multifunction board, a D.C. Hayes modem, and an Intragral Data Systems Model 560G printer. The implementation of MAPMAT required over 90,000 bytes of information be stored on magnetic diskette. The final step in the development of MAPMAT

included a test of MAPMAT using data collected by the USAID/University of Oklahoma project. The test results from MAPMAT were compared to results generated by USAID/REID. That comparison indicated that MAPMAT included a broader range of options for planning purposes and a more conservative technology process for small towns and rural villages.

Although MAPMAT fulfills the intent of its design, there are several areas which would benefit from further research:

- Sets of cost data should be developed for all planning units which intend to use MAPMAT for cost estimation. The cost data included in MAPMAT is useful for demonstration only.
- 2. MAPMAT, and it's developing country predecessors USAID/REID and WBANK, are sensitive to the definition and interpretation of terms used during the questionaire response and technology selection processes. Perhaps a universal set of questions/terms could be established rather than depend on the modeler's particular definition of terms.
- 3. The relationship between an investment in public water treatment and/or sanitation technology should be investigated thoroughly. No satisfactory method currently exists to quantify benefits related to these types of infrastructure investment.
- 4. The type of simulation included in EXEC-OP and CAPDET should be explicitly included in MAPMAT's design. Possibly one of the

new 16 bit microprocessor microcomputers with extended memory could be used to create a model which would not require a telecommunications link to CAPDET.

- The concept of economic cost should be extended in MAPMAT's design to allow for additional policy options. This should be a joint or subsequent activity with the development of cost estimation data.
- 6. MAPMAT should be tested in several developing countries and its design altered to match conditions specific to each country where it is used for planning.

These recommendations for future research do not attempt to be an exhaustive list of possible alterations. Rather it is anticipated that these recommendations will provide a starting point for future research.

MAPMAT represents a significant addition to the interdisciplinary area that encompasses water treatment and sanitation technology from civil engineering and economic development from economics. MAPMAT encourages the selection of appropriate technology and provides the most comprehensive selective algorithm for water treatment/sanitation technology appropriate to developing countries. MAPMAT promotes systems analysis, cost effectiveness analysis, and multi-objective planning in an interactive sensitivity framework. MAPMAT systematically includes both economic costing and local health conditions in the technology selection process. In conclusion, MAPMAT represents a new model to be incorporated into economic development planning for developing countries.

Despite the fulfillment of design intent and the technical contribution to the interdisciplinary area covered by MAPMAT, only time and usage will indiciate if MAPMAT has fulfilled it's philosophical intent. Should this intent be fulfilled, then economic conditions and public health will be significantly improved by the Water Decade investment in water treatment and sanitation technology.

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APPENDIX A

CAPDET EXAMPLE PROBLEM OUTPUT

PRIMARY CLARIFICATION

SIDEWATER DEPTH

10.0 FEET

END

DRYING BEDS

RAINFALL

6.0 INCHES/MONTH

END

TITLE

EXAMPLE PROBLEM

LIQUID LINE

BLOCK PRELIM

BLOCK PRIMAR

BLOCK STEP A TRICKL

BLOCK CHLORI

SECONDARY SLUDGE LINE

BLOCK A MIX

PRIMARY SLUDGE LINE

BLOCK AEROBI ANAERO

BLOCK DRYING

BLOCK HAULIN

WASTE INFLUENT

AVERAGE FLOW 10.0 MGD

BOD5 300.0 MG/L

OIL AND GREASE 0.0 MG/L

DESIRED EFFLUENT CHARACTERISTICS

UNIT COSTS

BUILDING 42.0 \$/SQFT

EXCAVATION 1.75 \$/SQFT

WALL CONCRETE 275.00 \$/CUYD

SLAB CONCRETE 230.00 \$/CUYD

MARSHALL AND SWIFT 545.00

SMALL CITY EPA INDEX 140.00

END

CONTROL CARDS

LIST 4 TRAINS

PRINT TRAIN NO 1

OUTPUT QUANTITIES

GO I=6.625 30 YEARS

COST ANALYSIS INPUT PARAMETERS

INTEREST RATE 6.625 PERCENT

PLANNING PERIOD 30 YEARS

WAGE RATE 7.50 \$/HOUR

UNIT PRICES AND COSTS INDICES

I BUILDING	42.00	\$/SQ FT
I EXCAVATION	1.75	\$/CU YD
I WALL CONCRETE	275.00	\$/CU YD
I SLAB CONCRETE	230.00	\$/CU YD
I MARSHALL AND SWIFT INDEX	545.00	
D CRANE RENTAL	67.00	\$/HR
I EPA CONSTRUCTION COST INDEX	140.00	
D CANOPY ROOF	15.75	\$/SQ FT
D LABOR RATE	13.40	\$/HR
D OPERATOR CLASS II	7.50	\$/HR
D ELECTRICITY	.04	\$/KWHR
D CHEMICAL COSTS		
LINE	.03	\$/LB
ALUM	.04	\$/LB
IRON SALTS	.06	\$/LB
POLYMER	1.62	\$/LB
D ENGINEERING NEWS RECORD COST INDEX	2886.00	
D HANDRAIL	25.20	\$/FT
D PIPE COST INDEX	295.20	
D PIPE INSTALLATION LABOR RATE	14.70	\$/HR
D EIGHT INCH PIPE	9.08	\$/FT
D EIGHT INCH PIPE BEND	86.82	\$/UNIT
D EIGHT INCH PIPE TEE	128.49	\$/UNIT
D EIGHT INCH PIPE VALVE	1346.16	\$/UNIT

EXAMPLE PROBLEM

TRAIN NO 1

LIQUID PREL 0 PRIM 0 TRIC 0 CHLO 0

SECONDARY A MI 0

PRIMARY ANAE O DRYI O HAUL O

CAPITAL COST \$9,536,401.

OPERATING MAINTENANCE COST \$343,240.

EQUIVALENT ANNUAL COST \$1,202,058.

TRAIN NO 2

LIQUID PREL O PRIM O TRIC O CHLO O

SECONDARY A MI 0

PRIMARY AERO O DRYI O HAUL O

CAPITAL COST \$9,232,032.

OPERATING MAINTENANCE COST \$377,476.

EQUIVALENT ANNUAL COST \$1,216,207.

TRAIN NO 3

LIQUID PREL O PRIM O TRIC O CHLO O

SECONDARY A MI 0

PRIMARY AERO O DRYI O HAUL O

CAPITAL COST \$16,131,672.

OPERATING MAINTENANCE COST \$891,186.

EQUIVALENT ANNUAL COST \$2,332,480.

TRAIN NO 4

LIQUID PREL O PRIM O TRIC O CHLO O

SECONDARY A MI 0

PRIMARY ANAE O DRYI O HAUL O

CAPITAL COST

\$17,570,674.

OPERATING MAINTENANCE COST

\$901,397.

EQUIVALENT ANNUAL COST

\$2,469,677.

EXAMPLE PROBLEM

TRAIN NO

1

INFLUENT

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)		(MG/L)
MAXIMUM	10.0000	SUSPENDED	200.00	BOD5	300.00	TKN	45.00
AVERAGE	10.0000	VOLATILE	60.00 %	BOD5S	75.00	хнз	25.00
MINIMUM	10.0000	SETTLEABLE	15.00	COD	500.00	N02	.00
				CODS	400.00	моз	.00
TEMP	18.0 C	OIL & GREASE	.00	P04	18.00		
PH	7.60	CATIONS	160.00				
		ANIONS	160.00				

VOLUME (GAL/D)	.00	.00
% SOLIDS	.00	.00
% VOLATILE	.00	.00

EXAMPLE PROBLEM TRAIN NO 1

MECHANICALLY CLEANED BAR SCREEN

D	BAR SIZE	.250+00	IN
D	BAR SPACING	.150+01	IN
D	SLOPE OF BARS FROM HORIZONTAL	.300+02	DEG
	HEAD LOSS THROUGH SCREEN	.206-01	FT
D	APPROACH VELOCITY	.250+C1	FPS
D	AVERAGE FLOW THROUGH VELOCITY	.250+01	FPS
D	MAXIMUM FLOW THROUGH VELOCITY	.300+01	FPS
	SCREEN CHANNEL WIDTH	.616+01	FT
D	AVERAGE CHANNEL DEPTH	.100+01	ፑፐ

EXAMPLE PROBLEM

TRAIN NO 1

AERATED GRIT CHAMBER

	MAXIMUM FLOW	.154+02	CFS
	AVERAGE FLOW	.154+02	CFS
	MINIMUM FLOW	.154+02	CFS
	TEMPERATURE	.180+02	DEG C
D	MAXIMUM FLOW THROUGH VELOCITY	.113+00	FPS
D	AVERAGE FLOW THROUGH VELOCITY	.113+00	FPS
D	SIZE SMALL, PART, 100% REMOVED	.200+00	MM
D	SPECIFIC GRAVITY OF PARTICLE	.265+01	
D	NUMBER OF UNITS	.200+01	
	MAXIMUM FLOW/UNIT	.770+01	CFS
D	WIDTH OF CHANNEL	.170+02	FT
D	DEPTH OF CHANNEL	.400+01	FT
	LENGTH OF CHANNEL	.170+02	FT
	SETTLING VELOCITY OF PARTICLE	.785-01	FPS
D	DETENTION TIME	.250+01	MIN
	VOLUME OF GRIT	.400+02	CUFT/DAY
D	AIR SUPPLY	.300+01	CFM

EXAMPLE PROBLEM TRAIN NO 1

COMMINUTION

D NUMBER OF UNITS

DRUM DIAMETER

DRUM RPM

AVERAGE SLOT WIDTH

.200+01 UNITS

.250+02 INCHES

380+00 INCHES

AVERAGE SLOT WIDTH .380+00 INCHES

HORSEPOWER/UNIT .150+01 HP

STANDARD WEIGHT .579+01 FEET

STANDARD NET WEIGHT .210+04 POUNDS

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)		(MG/L)
MAXIMUM	10.0000	SUSPENDED	200.00	BOD5	300.00	TKN	45.00
AVERAGE	10.0000	VOLATILE	60.00 %	BOD5S	75.00	кни	25.00
MINIMUM	10.0000	SETTLEABLE	15.00	COD	500.00	N02	.00
				CODS	400.00	иоз	.00
TEMP	18.0 C	OIL & GREASE	.00	P04	18.00		
PH	7.60	CATIONS	160.00				
		ANIONS	160.00				

	PRIMARY	SECONDARY
VOLUME (GAL/D)	.00	.00
% SOLIDS	.00	.00
% VOLATILE	.00	.00

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)		(MG/L)
MAXIMUM	10.0000	SUSPENDED	212.69	BOD5	312.19	TKN	49.25
AVERAGE	10.0000	VOLATILE	59.80 %	BOD5S	88.31	инз	27.36
MINIMUM	10.0000	SETTLEABLE	15.00	COD	527.36	NO2	.00
				CODS	422.89	иоз	.00
TEMP	18.0 C	OIL & GREASE	.00	P04	18.91		•
PH	7.60	CATIONS	160.00				
		ANIONS	160.00				

	PRIMARY	SECONDARY
VOLUME (GAL/D)	.00	.00
% SOLIDS	.00	.00
% VOLATILE	.00	.00

CIRCULAR CLARIFIER

D	SURFACE OVERFLOW RATE	.100+04	GAL/DAY/SQFT
	SURFACE AREA	.100+05	SQ FT
I	SIDE WATER DEPTH	.100+02	FEET
	DETENTION TIME	.180+01	HOURS
	SOLID LOADING	.177+01	LB/SQFT/DAY
D	WEIR LOADING	.150+05	GAL/DAY/FT
	WEIR LENGTH	.667+03	FEET
	VOLUME OF SLUDGE PRODUCED	.294+05	GAL/DAY
D	SUSPENDED SOLIDS REMOVAL	.580+02	PERCENT
D	BOD REMOVAL	.320+02	PERCENT
D	COD REMOVAL	.400+02	PERCENT
D	TKN REMOVAL	.500+01	PERCENT
D	P04 REMOVAL	.500+01	PERCENT
Ÿ	* * * * * * * * * * * * * * * * * * * *	* * * *	* * * * * * * * * * * * *

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR SEDIMENTATION

CIRCULAR CLARIFIER

PRIMARY CLARIFIER

EXCESS CAPACITY FACTOR .100+01

CALCULATED SURFACE AREA .100+05 SQ FT

ADJUSTED SURFACE AREA .100+05 SQ FT

AVERAGE DAILY WASTEWATER FLOW .100+02 MGD

NUMBER OF CIRCULAR CLARIFIERS 2

NUMBER OF BATTERIES 1

SURFACE AREA PER UNIT .500+04 SQ FT

DIAMETER OF UNIT .800+02 FEET

EARTHWORK REQUIRED .128+06 CU FT

SIDEWATER DEPTH .100+02 FEET

THICKNESS OF THE SLAB .104+02 INCHES

WALL THICKNESS .120+02 INCHES

TOTAL WALL CONCRETE REQUIRED .600+04 CU FT

TOTAL SLAB CONCRETE REQUIRED .101+05 CU FT

MAINTENANCE MANPOWER REQUIRED .564+03 MAN-HOURS/YR

OPERATION MANPOWER REQUIRED .102+04 MAN-HOURS/YR

ELECTRICAL ENERGY REQUIRED .101+05 KWHR/YR

LIQUID CHARACTERISTICS

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)		(MG/L)
MAXIMUM	10.0000	SUSPENDED	89.33	BOD5	240.55	TKN	48.16
AVERAGE	10.0000	VOLATILE	59.80 %	BOD5S	88.31	NH3	27.36
MINIMUM	10.0000	SETTLEABLE	.00	COD	485.57	NO2	.00
				CODS	422.89	МОЗ	.00
TEMP	18.0 C	OIL & GREASE	.00	P04	17.96		
PH	7.60	CATIONS	160.00				
		ANIONS	160.00				

SLUDGE CHARACTERISTICS

	PRIMARY	SECONDARY
VOLUME (GAL/D)	29371.00	.00
% SOLIDS	4.00	.00
% VOLATILE	59.80	.00

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D SOLID PRODUCTION RATE .650+00 LB/LB BOD5
D HYDRAULIC LOADING RATE .750+00 GPM/SQ FT

D RASCHIG RINGS MEDIA (1-1/2 INCH)

D SPECIFIC SURFACE AREA .300+02 SQ FT/CU FT

REACTION RATE CONSTANT .205=02

RECIRCULATION RATIO .362+00

TOTAL HYDRAULIC LOADING RATE .102+01 GPM/SQ FT

DEPTH OF FILTER TOWER .238+02 FEET

NUMBER OF STAGES 2

SURFACE AREA OF FILTER .926+04 SQ FT

MEDIA VOLUME .440+06 CU FT

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR TRICKLING FILTER

NUMBER OF TOWERS 4

VOLUME PER FILTER TOWER .116+06 CU FT

DIAMETER OF FILTER TOWER .786+02 FEET

TOTAL NUMBER OF POSTS 345

TOTAL LENGTH OF PRECAST BEAMS .105+05 FEET

TOTAL REINFORCED WALL CONCRETE .395+05 CU FT

TOTAL REINFORCED SLAB CONCRETE .130+05 CU FT

TOTAL EARTHWORK REQUIRED .247+06 CU FT

ELECTRICAL ENERGY REQUIRED .192+06 KWHR/YR

OPERATIONAL MANPOWER .520+03 MAN-HOURS/YR

MAINTENANCE MANPOWER .407+03 MAN-HOURS/YR

EXAMPLE PROBLEM TRAIN NO 1

SECONDARY CLARIFIER

CIRCULAR CLARIFIER

SOLIDS LOADING RATE .107÷02 LB/SQFT/DAY

D SURFACE OVERFLOW RATE .800+03 GAL/SQFT/DAY

DETENTION TIME .202+01 HOURS

D WEIR OVERFLOW RATE .150+05 GAL/FT/DAY

D TANK SIDEWATER DEPTH .900+01 FEET

WEIR LENGTH .908+03 FEET

VOLUME OF WASTED SLUDGE .160+05 GAL/DAY

D UNDERFLOW CONCENTRATION .300+01 PERCENT

TOTAL SURFACE AREA .125+05 SQ FT

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR SEDIMENTATION

CIRCULAR CLARIFIER

SECONDARY CLARIFIER

EXCESS CAPACITY FACTOR .100+01

CALCULATED SURFACE AREA .125+05 SQ FT

ADJUSTED SURFACE AREA .125+05 SQ FT

AVERAGE DAILY WASTEWATER FLOW .100+02 MGD

NUMBER OF CIRCULAR CLARIFIERS	2
NUMBER OF BATTERIES	1
SURFACE AREA PER UNIT	.625+04 SQ FT
DIAMETER OF UNIT	.900+02 FEET
EARTHWORK REQUIRED	.166+06 CU FT
SIDEWATER DEPTH	.900+01 FEET
THICKNESS OF THE SLAB	.101+02 INCHES
WALL THICKNESS	.115+02 INCHES
TOTAL WALL CONCRETE REQUIRED	.591+04 CU FT
TOTAL SLAB CONCRETE REQUIRED	.123+05 CU FT
MAINTENANCE MANPOWER REQUIRED	.646+03 MAN-HOURS/YR
OPERATION MANPOWER REQUIRED	.117+04 MAN-HOURS/YR
ELECTRICAL ENERGY REQUIRED	.105+05 KWHR/YR

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)	(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN 23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	NH3 23.60
MINIMUM	10.0000	SETTLEABLE	.00	COD	15.00	NO2 .00
				CODS	7.50	NO3 10.11
TEMP	18.0 C	OIL & GREASE	.00	P04	8.80	
PH	7.60	CATIONS	160.00			
		ANIONS	160.00			

	PRIMARY	SECONDARY
VOLUME (GAL/D)	29371.00	1600.00
% SOLIDS	4.00	3.00
% VOLATILE	59.80	80.00

	E	(A)	1 P.	LE	Pl	RO]	BL	EM																					TI	RA)	[N	NC)		1
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	MAXIMUM FLOW	.100+02	MGD
	AVERAGE FLOW	.100+02	MGD
D	CONTACT TIME	.300+02	MIN
	TOTAL VOLUME	.208+06	GAL
	AVERAGE CHLORINE REQUIREMENT	.834+03	LB/DAY
	PEAK CHLORINE REQUIREMENT	.834+03	LB/DAY
	COLIFORM REDUCTION	.996+02	PERCENT

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR CHLORINATION

NUMBER OF CHLORINATORS AND EVAPORATORS

1

CHLORINATION BUILDING AREA

.220+03 SQFT

NUMBER OF CHLORINE CYLINDERS	13
AREA OF CHLORINE STORAGE BUILDING	.182+04 SQFT
AVERAGE DAILY WASTEWATER FLOW MGD	.100+02 MGD
VOLUME OF EARTHWORK REQUIRED	.144+05 CUFT
VOLUME OF R.C. FOR WALLS	.565+04 CUFT
VOLUME OF R.C. FOR SLAB	.307+04 CUFT
CHLORINE REQUIREMENT PER YEAR	.152+03 TONS/YR
OPERATIONAL LABOR	.145+04 MAN-HOURS/YR
MAINTENANCE MANPOWER REQUIRED	.363+03 MAN-HOURS/YR
ELECTRICAL ENERGY REQUIRED	.131+06 KWH/YR
CHLORINE REQUIREMENT	.834+03 LB/DAY
O & M MATERIAL AND SUPPLY COSTS	.313+01 PERCENT

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)	(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN 23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	NH3 23.60
MINIMUM	10.0000	SETTLEABLE	.00	COD	15.00	NO2 .00
				CODS	7.50	NO3 10.11
TEMP	18.0 C	OIL & GREASE	.00	P04	8.80	
PH	7.60	CATIONS	160.00			
		ANIONS	160.00			

	PRIMARY	SECONDARY	
VOLUME (GAL/D)	29371.00	1600.00	
% SOLIDS	4.00	3.00	
% VOLATILE	59.80	80.00	
EXAMPLE PROBLEM		TRAIN NO	1
* * * * * * * * * * * * * *	• * * * * * * * * *	* * * * * * * * * * * * * *	* *

INFLUENT

**** SECONDARY SLUDGE LINE MIXED INTO PRIMARY SLUDGE LINE ****

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)	(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN 23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	NH3 23.60
MINIMUM	10.0000	SETTLEABLE	.00	COD	15.00	NO2 .00
				CODS	7.50	NO3 10.11
TEMP	18.0 C	OIL & GREASE	.00	P04	8.80	
PH	7.60	CATIONS	160.00			
		ANIONS	160.00			

	PRIMARY	SECONDARY
VOLUME (GAL/D)	45371.00	.00
% SOLIDS	3.65	.00
% VOLATILE	65.66	.00

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D	PERCENT V. S. DESTROYED	.500+02	PERCENT
D	SOLIDS CONCENTRATION IN DIGESTER	.500+01	PERCENT
D	RAW SLUDGE TEMPERATURE	.700+02	DEG F
D	DIGESTER TEMPERATURE	.100+03	DEG F
D	AIR TEMPERATURE	.400+02	DEG F
	DETENTION TIME	.965+01	DAYS
	TOTAL VOLUME	.113+06	CU FT
	GAS PRODUCED	.297+04	CU FT/HR
	HEAT REQUIREMENT	.208+04	BTU/HR
	DIGESTER GAS REQUIREMENT	.208+04	CU FT/HR
	TOTAL NATURAL GAS REQUIRED	.000	CU FT/YR
	DIGESTER DEPTH	.235+02	FEET
	DIGESTER DIAMETER	.450+02	FEET

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR ANAEROBIC DIGESTION

DIAMETER OF TANK	.450+02	PEET
NUMBER OF DIGESTERS PER BATTERY	3	3
NUMBER OF BATTERIES	1	
VOLUME OF EARTHWORK	.121+06	CU FT
SIDEWATER DEPTH OF DIGESTER	.235+02	FEET
WALL THICKNESS	.193+02	INCHES
REINFORCED WALL CONCRETE	.201+05	CU FT
SLAB THICKNESS	.993+01	INCHES
REINFORCED SLAB CONCRETE	. 450+04	CU FT
SURFACE AND A/FLOOR OF 2-STORY CONTROL BLDG	.792+03	SQ FT
PIPING SIZE	.600+01	INCHES
LENGTH OF TOTAL PIPING SYSTEM	.728+03	FEET
NUMBER OF 90 DEGREE ELBOWS	39	
NUMBER OF TEES	77	
NUMBER OF PLUG VALVES	56	
TOTAL DRY SOLIDS TREATED PER DAY	.725+01	TONS/DAY
ELECTRICAL ENERGY REQUIRED		KWHR/HR
OPERATION MAN-HOUR REQUIREMENT	.173+04	MAN-HOURS/YR
MAINTENANCE MAN-HOUR REQUIREMENT	.144+04	MAN-HOURS/YR

LIQUID CHARACTERISTICS

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)		(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN	23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	инз	23.60
MINIMUM	10.0000	10.0000 SETTLEABLE		COD	15.00	NO2	.00
				CODS	7.50	поз	10.11
TEMP	18.0 C	OIL & GREASE	.00	P04	8.80 PH		7.60
CATIONS	160.0	00					
		ANIONS	160.00				

SLUDGE CHARACTERISTICS

	PRIMARY	SECONDARY
VOLUME (GAL/D)	22231.14	.00
% SOLIDS	5.00	.00
% VOLATILE	48.88	.00

TOTAL SURFACE AREA REQUIRED .492+06 SQ FT D INITIAL

DEPTH OF SLUDGE .120+02 INCHES D FINAL PERCENT

SOLIDS .500+02

BED HOLDING TIME .166+03 DAYS

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TOTAL DRYING BED SURFACE AREA	
TOTAL DRIING BED SURFACE AREA	.492+06 SQ FT
NUMBER BEDS	165
SURFACE AREA OF EACH INDIVIDUAL BED	.298+04 SQ FT
LENGTH OF EACH BED	.149+03 FEET
VOLUME OF EARTHWORK REQUIRED	.242+07 CU FT
VOLUME CONCRETE FOR DIVIDING WALL	.159+06 CU FT
VOLUME OF R.C. IN-PLACE FOR TRUCK TRACKS	.738+05 CU FT
VOLUME OF SAND	.369+06 CU FT
VOLUME OF GRAVEL	.492+06 CU FT
CLAY PIPE DIAMETER	.600+01 INCHES
TOTAL LENGTH CLAY PIPE	.492+05 FEET
SLUDGE SOLIDS PER DAY	.464+01 TONS/DAY
OPERATION MANPOWER REQUIRED	.494+04 MAN-HOURS/YR
MAINTENANCE MANPOWER REQUIRED	.247+04 MAN-HOURS/YR

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)	(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN 23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	NH3 23.60
MINIMUM	10.0000	SETTLEABLE	.00	COD	15.00	NO2 .00
				CODS	7.50	NO3 10.11

TEMP	18.0 C	OIL & GREASE	.00	P04	8.80
PH	7.60	CATIONS	160.00		
		ANIONS	160.00		

	PRIMARY	SECONDARY
VOLUME (GAL/D)	5884.71	.00
% SOLIDS	17.00	.00
% VOLATILE	48.88	.00

EXAMPLE PROBLEM	TRAIN NO	1
* * * * * * * * * * * * * * * * * * * *	* * * * * *	*
SLUDGE HAULING AND LAND FILLING		

VOLUME OF SLUDGE HAULED	.291+02 CU YD/DAY
TRUCK CAPACITY	.190+02 CU YD
D ROUND TRIP TIME TO DISPOSAL SITE	.100+01 HRS
D TRUCK LOADING TIME	.750+00 HRS

NUMBER OF TRUCKS REQUIRED 1

TONS OF SLUDGE HAULED PER DAY .258+02 TONS

D HOURS OF OPERATION PER DAY

D DISTANCE TO DISPOSAL SITE .100+02 MILES

.800+01 HRS

EXAMPLE PROBLEM TRAIN NO 1

QUANTITIES FOR SLUDGE HAULING AND LANDFILL

TOTAL SLUDGE VOLUME HAULED .291+02 CUYD/DAY

MAXIMUM ANTICIPATED LANDFILL DOWNTIME .300+02 DAYS

ANTICIPATED SLUDGE STORAGE HEIGHT .800+01 FEET

SLUDGE STORAGE SHED AREA .295+04 SQ FT

WIDTH OF SLUDGE STORAGE SHED SLAB .384+02 FEET

LENGTH OF SLUDGE STORAGE SHED SLAB .768+02 FEET

VOLUME OF EARTHWORK .812+04 CU FT

VOLUME OF SLAB CONCRETE .348+04 CU FT

SURFACE AREA OF CANOPY ROOF .295+04 SQ FT

DISTANCE TO DISPOSAL SITE .100+02 MILES

ROUND TRIP HAUL DISTANCE .200+02 MILES

TONS OF SLUDGE HAULED PER DAY .258+02 TONS/DAY

OPERATION MANPOWER REQUIRED .456+03 MAN-HOURS/YR

ROUND TRIPS PER DAY PER TRUCK .200+01

DISTANCE TRAVELED PER YEAR PER TRUCK .100+05 MILES/YR

MAINTENANCE AND MATERIAL SUPPLY COST .130+02 PERCENT

FLOW	(MGD)	SOLIDS	(MG/L)		(MG/L)	(MG/L)
MAXIMUM	10.0000	SUSPENDED	20.00	BOD5	10.00	TKN 23.60
AVERAGE	10.0000	VOLATILE	80.00 %	BOD5S	5.00	NH3 23.60

MINIMUM	10.0000	SETTLEABLE	.00	COD	15.00	NO2 .00
				CODS	7.50	NO3 10.11
TEMP	18.0 C	OIL & GREASE	.00	P04	8.80	
PH	7.60	CATIONS	160.00			
		ANIONS	160.00			

	PRIMARY	SECONDARY
VOLUME (GAL/D)	.00	.00
% SOLIDS	.00	.00
% VOLATILE	.00	.00

LIQUID PREL 0 PRIM 0 TRIC 0 CHLO 0

SECONDARY A MI 0

PRIMARY ANAE O DRYI O HAUL O

COST SUMMARY

			OPER	MAINT				TOTAL
	CAPITAL	AMMORT	LABOR	LABOR	POWER	MATERIAL	CHEMICAL	0 & M
UNIT	COST	COST	COST	COST	COST	COST	COST	COST
	\$	\$/YR	\$/YR	\$/YR	\$/YR	\$/YR	\$/YR	\$/YR
PRELIMIN	159346	11018	13915	5907	1859	3983	0	25664
PRIM CLA	394444	25643	7445	3486	403	3944	0	15273
T SEC CL	441249	28686	8515	3994	419	4412	0	17340
PUMPING	210658	18917	5137	3686	36278	1472	0	46573
TRIC FIL	1272057	82699	3783	2518	7678	3108	0	17087
CHLORINA	175153	25951	10519	2247	5250	5477	17783	41276
ANAE DIG	1061131	82314	12611	7030	6083	8477	0	34201
DRY BEDS	2230988	246256	35960	15278	0	20078	0	71316
HAUL & LF	123617	68529	3314	0	0	14109	0	17423
TOTAL	6068348	590018	101203	44149	57974	65065	17783	286158

DIRECT COSTS

PROFIT/OVERHEAD 1335036 \$

TOTAL 1335036 S TOTAL CONSTRUCTION COST 7403384S

INDIRECT COSTS

MISC NON CONST COSTS	370169 \$
ADMIN/LEGAL	148067 \$
201 PLANNING	259118 \$
A/E DESIGN FEE	447267 \$ (6.04 %)
INSPECTION	148067 \$
CONTINGENCIES	592270 \$
TECHNICAL COSTS	148067 \$
TOTAL	2113025 \$
LAND COSTS	19992 \$ (20. ACRES)
ADMINISTRATIVE COST	28414 \$/YR
LABORATORY COST	28649 \$/YR

9536401 \$

343240 \$/YR

1202058 \$/YR

CAPITAL COST

OPER/MAINT COST

EQUIVALENT ANNUAL COST

APPENDIX B

UNIT PROCESSES INCLUDED IN CAPDET

Large Treatment

Treatment Process

Sludge Aerobic digestion

Anaerobic digestion

 ${\tt Centrifugation}$

Drying beds

Filter press

Fluidized bed incineration

Gravity thickening

Hauling and land filling

Multiple hearth incineration

Pressure filtration

Sludge flotation

Vacuum filtration

Wet oxidation

Liquid Aerated lagoon

Anion exchange

Attached growth denitrification

-199-

Carbon absorption

Cation exchange

Chlorination

Large Facility

Treatment Process

Liquid

Coagulation

Complete mix activated sludge

Contact stabilization

activated sludge

Counter current ammonia

stripping

Cross current ammonia stripping

Denitrification (suspended growth)

User specified process

Equalization

Extended aeration activated sludge

Filtration

Flotation

High rate activated sludge

Lagoon

Microscreening

Neutralization

Nitrification (suspended growth)

Nitrification (rotating

biological contactor)

Nitrification (trickling filter)

Overland flow land treatment

Oxidation ditch

Plug flow activated sludge

Post aeration

Large Facility

Treatment Process

Liquid

Preliminary treatment

Intermediate pumping

Pure oxygen activated sludge

Rapid infiltration land treatment

Raw sewage pumping

Rotating biological contactor

Recarbonation

Slow filtration land treatment

Step aeration activated sludge

Trickling filtration

Two stage lime treatment

Large Facility

Treatment Process

Pseudo

Secondary and primary sludge

mixing, A

Secondary and primary sludge

mixing, B

Secondary and primary sludge

mixing, C

No process

Small Facility

Treatment Process

Sludge

Drying beds

Sludge drying lagoons

Liquid

Activated sludge (Package Plant)

Aerated lagoon

Bar screens

Chlorination

Coagulation

User specified process

Equalization

Filtration

Flotation

Intermittant sand filtration

Lagoons

Overland flow land treatment

Oxidation ditch

Post aeration

Small Facility

Treatment Process

Liquid

Primary clarification

Pumping

Rapid infiltration land treatment

Raw sewage pumping

Septic tanks

Slow infiltration land treatment

Trickling filtration

Pseudo

Secondary and primary sludge

mixing, A

Secondary and primary sludge

mixing, B

Secondary and primary sludge

mixing, C

No process

APPENDIX C

EXEC-OP EXAMPLE OUTPUT

FABLE 1

		1	Would lerior Lost/Energy Systems for Hypothetical Design Problem*	Energy Syste	ms tor Hyp	othetica	Design Probl	em*		
System	Air Flotation Thickening	Anaerobic Digestion 1 (15 days)	Lime Stabilization	Gravity Thickening	Centri- fugation	Sand Drying Beds	Incineration Landfilling System Cost (\$/1,000 m³)	Landfilling	System Cost (\$/1,000 m ³)	System Net Energy (kWh/1,000 m ³)
No energy recovery la 2a 2a		H H X		MIX		MIX	МІХ	XIX	72.9	345
, 3a 4a			XIW		XIN			MIX	81.1	288
5.4	745		MIX			MIX		XIW	84.3	267
Recovery of methane		2		:					-	
35 25	WAS	X X X III		XXX		XXX	XIX	MIX	71.9 72.1 72.9	235 213 194
*PRI = primary sludge; WAS = waste activated sluge; HIX = PRI + WAS	ge; WAS = Wa	ste activated	sluge; MIX = PE	RI + WAS.					-	

All systems utilize the same wastewater treatment train--pumping, preliminary treatment, primary sedimentation II (60% solids removal), activated sludge III (3000 mg/1 MLVSS and 30% recycle), and chlorination. NOTE.

TABLE 2

Least-Cost and Least-Energy Systems for Hypothetical Problem Without Sand Drying Beds

1		
System Net Energy (kWh/1,000 m³)	334 273	224 202
System Cost (\$/1,000 m ³)	73.4	72.1 78.2
Landfilling	MIX	MIX
Land Spreading 1 (16 km)	ніх	MIX
Centrifugation Spreading La	MIX	MIX
Gravity Thickening	MIX	XIM XIM
Anaerobic Digestion 1 (15 days)	МІХ	XIM XIM
Air Flotation Thickening		NAS
System	No Energy Recovery Least-cost Least-energy	Recovery of methane: Least-cost Least-energy

TABLE 3
Unit Process Cost and Energy Consumption for Least-Cost and Least-Energy Systems With No Energy Recovery

· · · · · · · · · · · · · · · · · · ·			-,	
Process	(\$/100 m ³)	(% of Total)	(kWh/1,000 m ³)	(% of Total)
Least-Cost System:				
Pumping	8.5	11.6	37	10.8
Preliminary treatment	4.2	5.8	li	0.4
Primary sedimentation	7.1	9.8	2	0.7
Activated sludge	22.2	30.4	166	48.2
Chlorination	6.6	9.1	32	9.3
Anaerobic digestion	5.8	8.0	79	22.9
Gravity thickening	1.1	1.4	0.3	0.1
Sand drying beds	8.2	11.2	0.3	0.1
Incineration	9.2	12.7	26	7.6
Least-Energy System:				
Pumping	8.5	10.0	37	14.0
Preliminary treatment	4.2	5.0	1	0.5
Primary sedimentation	7.1	8.5	2	0.9
Activated sludge	22.2	26.3	169	63.2
Chlorination	6.6	7.8	32	12.0
Lime stabilization	2.4	2.8	16	6.1
Sand drying beds	18.8	22.3	0.5	0.2
Truck transport			- 10	3.4
/landfilling	14.5	17.2	8	3.1

APPENDIX D

UNIT PROCESS INCLUDED IN EXEC-OP

Liquid

Raw wastewater pumping
Preliminary treatment
Primary sedimentation
Aeration and final settler
(activated sludge)
Primary sedimentation
aeration, final settler
with waste activated
sludge returned to the
primary settler
Trickling filter
Rotating biological
contactor
Chlorination

Sludge

Gravity thickening Air flotation thickening Anaerobic digestion Nonoxidative heat treatment Elutriation Sand drying beds Vacuum filtration Centrifugation Lime stabilization Multiple hearth incineration Truck transport/land spreading Truck transport/ landfilling Sludge holding tanks

APPENDIX E

USAID/REID Example Output

Sample Computer Printout

PLEASE CHOOSE A GYSTEM FOR DATA INPUT AS FOLLOWS:

ENTER 1 IF YOU WANT DATA INPUT IN BLOCKS OF QUESTIONS, IN ENGLISH ONLY. PRESS RETURN.

ENTER 2 IF YOU WANT DATA INPUT BY SINGLE QUESTION, IN ENGLISH ONLY. PRESS RETURN.

*?2

PUNCH IN DESIGN DATA IN THE FOLLOWING FORMAT:

YEAR OF THE AVAILABLE POPULATION, POPULATION VALUE IN THAT YEAR,
POPULATION GROWTH RATE EXPRESSED AS A DECIMAL (1.8), BASE YEAR OF
DESIGN, PROJECTED TERMINAL YEAR OF DESIGN

?1970,10181,1.7,1980,2000

PUNCH IN LOCATION DATA IN THE FOLLOWING FORMAT:

COMMUNITY, STATE OR PROVINCE, COUNTRY, PLANNING GROUP, ?SMALLVILLE, KANSAS, USA, AVERAGE LEVEL OF EDUCATION OBTAINED BY INHABITANTS LIVING IN THE COMMUNITY

* Answer(s) that follows "?" are (is) input data.

Educ. Level	None	Primary	High School	Technical Institute	College
1	95%	4%	1%	0%	0%
2	70%	19%	7%	3%	1%
3	55%	22%	14%	6%	3%
4	9%	34%	43%	8%	7%

?3

AVERAGE DISTRIBUTION OF LABOR FORCE IN THE COMMUNITY

LEVEL	UNSKILLED	SEMISKILLED	PROFESSIONAL
1	97%	2%	1%
2	80%	16%	4%
3	61%	27%	12%
4	45%	30%	25%

?2

ANNUAL AVERAGE INCOME PER FAMILY IN APPROXIMATE U.S. DOLLAR EQUIVALENT

- 1 LESS THAN \$100
- 2 \$100 TO \$500
- 3 \$500 TO \$1000
- 4 \$1000 TO \$3000
- 5 GREATER THAN \$3000

?3

AMONG THE HIGH SKILLED AND TECHNICAL WORKERS (ECONOMIST/ENGINEER/CHEMIST ETC.) WHAT PERCENTAGE OF THESE IS NONLOCAL OR NON-NATIVE PEOPLE?

- 1 LESS THAN 10%
- 2 10% TO 25%
- 3 25% TO 50%
- 4 50% TO 75%
- 5 75% TO 100%

?3

ARE THERE ANY PRIMARY OR SECONDARY SCHOOLS OPERATED BY VOLUNTARY OR MISSIONARY ORGANIZATIONS RATHER THAN THE GOVERNMENT ITSELF?

ENTER 1 IF YES

ENTER 2 IF NO

?2

WHAT IS THE HIGHEST GRADE OFFERED BY LOCAL SCHOOLS ON A REGULAR BASIS? (ENTER THE NUMBER. FOR 12+ ENTER 13.)

1 2 3 4 5 6 7 8 9 10 11 12 12+

?13

IF THE NUMBER SELECTED ON QUESTION 6 IS LESS THAN 12 HOW FAR AWAY IS THE NEAREST HIGH SCHOOL OFFEREING THE 12TH GRADE? ENTER THE NUMBER

1 IF LESS THAN 10 MILES (16 KILOMETERS)

2 IF 10 TO 30 MILES (16 TO 48 KM)

3 IF 30 TO 50 MILES (48 TO 80 KM)

4 IF GREATER THAN 50 MILES (80 KM)

?0

ARE THERE ANY TECHNICAL OR VOCATIONAL SCHOOLS IN THE COMMUNITY?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?1

HAS THE COMMUNITY ACHIEVED COMPULSORY PRIMARY EDUCATION OF AT LEAST 6 YEARS?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?2

ARE THERE ANY FORMAL IN SERVICE TRAINING PROGRAMS BY EITHER THE GOVERNMENT OR LOCAL INDUSTRY FOR THEIR EMPLOYEES?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?1

IS THERE A COLLEGE OR UNIVERSITY IN THE LOCAL COMMUNITY?
ENTER 1 IF THE ANSWER IS YES
ENTER 2 IF THE ANSWER IS NO

?1

DOES THE UNIVERSITY HAVE A CHEMISTRY DEPARTMENT OR LABORATORY?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?/

IS UNEMPLOYMENT WIDESPREAD?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?1

ARE ADVISORY SERVICES WIDELY AVAILABLE TO FARMERS FOR COMMUNITY
DEVELOPMENT OR FOR OTHER PROGRAMS DESIGNED TO UPGRADE THE SKILLS AND
ENLIST THE PARTICIATION OF THE INHABITANTS?

ENTER 1 IF THE ANSWER IS YES ENTER 2 IF THE ANSWER IS NO

?2

DO MOST COLLEGE OR UNIVERSITY STUDENTS OF THE COMMUNITY RECEIVE THEIR EDUCATION IN NEIGHBORING COMMUNITIES OR NEIGHBORING COUNTRIES OR OTHER FOREIGN COUNTRIES?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?1

THE LEVEL OF TECHNOLOGY AVAILABLE CAN GENERALLY BE CLASSIFIED AS

- 1 HAND TOOLS ONLY
- 2 MECHANICAL TOOLS
- 3 CHEMICAL PRODUCTS
- 4 ELECTRONIC TECHNOLOGY

?2

DOES THE GOVERNMENT DOMINATE THE LABOR MARKET?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?2

ARE PUBLIC EMPLOYMENT SERVICES READILY AVAILABLE?

ENTER 1 IF THE ANSWER IS YES

ENTER 2 IF THE ANSWER IS NO

?1

(COUNT EACH "NOT AVAILABLE" ANSWER LINE AS 1 AND EACH "AVAILABLE"

ANSWER LINE AS 0. ENTER THE SUM OF THIS COUNT.)

FOR OPERATION EQUIPMENT HOW MANY OF THE FOLLOWING ARE NOT AVAILABLE IN THE COMMUNITY?

- 1 Meters; water, gas, thermostats
- 2 Sheet metal fabrication, etc.
- 3 Gauges; vacuum, flow, etc.
- 4 Laboratory equipment; test tubes
- 5 Portable power plants
- 6 Electric motors
- 7 Pumps, fans, etc.

?2

(COUNT EACH "NOT AVAILABLE" ANSWER LINE AS 1 AND EACH "AVAILABLE" ANSWER LINE AS 0. ENTER THE SUM OF THIS COUNT.)

FOR PROCESS MATERIALS HOW MANY OF THEM FOLLOWING ARE NOT GENERALLY AVAILABLE IN THE LOCAL COMMUNITY?

- 1 Pipe (clay, asbestos, cement, etc.)
- Pipe (cast iron, steel, copper)
- 3 Concrete, cement
- 4 Valves, pipe fittings
- 5 Tanks
- 6 Structural steel
- 7 Heat exchangers

(COUNT EACH "NOT AVAILABLE" ANSWER LINE AS 1 AND EACH "AVAILABLE" ANSWER LINE AS 0. ENTER THE SUM OF THIS COUNT.)

FOR OPERATION AND MAINTENANCE SUPPLIES WHICH OF THE FOLLOWING ARE NOT GENERALLY AVAILABLE IN THE LOCAL COMMUNITY?

- 1 Silica sand and gravel
- 2 Paint
- 3 Water sealing compound, epoxy
- 4 Petroleum
- 5 Electricity

?1

(COUNT EACH "NOT AVAILABLE" ANSWER LINE AS 1 AND EACH "AVAILABLE" ANSWER LINE AS 0. ENTER THE SUM OF THIS COUNT.)

FOR CHEMICAL SUPPLIES HOW MANY OF THE FOLLOWING ARE NOT GENERALLY AVAILABLE IN THE LOCAL COMMUNITY?

- Aluminum sulfate (AL2(S04)3);
 ferric chloride (FECL3);
- Soda ash (NA2C03);
 activated charcoal;
 lime (CAO)

```
3
      Chlorine (CL2);
      ozone (03);
      chlorindioxide;
     bromine
4
     HTH;
     copper sulfate (CUSO4)
?2
IS GROUNDWATER AVAILABLE?
1
     YES
2
     NO
?1
USING DATA INDICATED BY THE RAW WATER QUALITY SECTION OF YOUR
QUESTIONNAIRE ANSWER THE FOLLOWING QUESTION
ENTER THE NUMBER OF COLIFORM BACTERIA
(MPN/100 ML)
?50
ENTER THE TURBIDITY (JACKSON TURBIDITY UNITS)
?50
```

ENTER THE HARDNESS (MG/L)

?100

ENTER THE TOTAL DISSOLVED SOLIDS (TDS)

?1000

ENTER FE AND MN (MG/L)

?.5

THE PROGRAM WILL NOT PROCEED UNTIL YOU PUNCH IN A NUMBER FROM THE KEYBOARD. PLEASE ENTER THE NUMBER WHICH INDICATES YOUR CHOICE.

- O INDICATES THAT YOU DO NOT WISH TO USE THE WATER TREATMENT SECTION OF THE MODEL.
- 1 INDICATES THAT YOU DO WISH TO USE THE WATER TREATMENT SECTION OF THE MODEL.

?1

ENTER THE NUMBER WHICH CORRESPONDS TO YOUR PREFERENCE IN DISPLAYING THE COST DATA

- 1 REPRESENTS NO COST ANALYSIS NEEDED
- 2 REPRESENTS RELATIVE COST RATIOS BASED ON U.S. DOLLARS IN 1978 PRICES

3 REPRESENTS LOCAL COSTS WHICH YOU MUST SUPPLY TO THE COMPUTER AS DATA. IF YOU CHOOSE 3 INSTRUCTIONS WILL BE DISPLAYED ON YOUR DATA ENTRY.

E1

?2

WHAT DISCOUNT RATE AND TIME SPAN WOULD YOU LIKE TO USE IN THE PRESENT VALUE CALCULATIONS? ENTER DATA IN THE FOLLOWING FORMAT

DISCOUNT RATE EXPRESSED AS A DECIMAL VALUE (ENTER .0725 FOR A DISCOUNT RATE OF 7.25%.)

THE NUMBER OF YEARS TO BE USED FOR THE DISCOUNTING (20 FOR EXAMPLE)

*** NOTE ***

IF YOU DO NOT WISH TO DISCOUNT THE OPERATION AND MAINTENANCE COST THEN ENTER THE NUMBER ZERO FOR THE DISCOUNT RATE. ENTER THE NUMBER OF YEARS IN A NORMAL FASHION. A TYPICAL DATA ENTRY WOULD BE

.0725,20

?.0725.20

THE LDC WATER AND SEWAGE TREATMENT PLANNING MODEL

FOR THE COMMUNITY

SMALLVILLE

IN THE STATE OR PROVINCE OF

KANSAS

IN THE COUNTRY OF

USA

FOR THE PLANNING GROUP

BASEYEAR =

1980

**** ENTER THE NUMBER 5 WHEN YOU WISH TO CONTINUED ****

?5

SUITABLE WATER TREATMENT PROCESSES FOR IMPLEMENTATION IN...1980...***

FEASIBLE	INITIAL	AVERAGE
PROCESS	CONSTRUCTION	MAINTENANCE
COMBINATIONS	COST RATIO	COST RATIO
W2	14.66	3.14
W5	17.66	7.13

*** ENTER 5 WHEN YOU WISH TO CONTINUE ***

?5

FEASIBLE PROCESS COMBINATIONS	TOTAL COST RATIO 20 YEAR	MANPOWER REQUIRED UNSKILLED	SKILLED	PROFESSIONAL
W2	77.53	2	0	0
W5	160.23	3	1	

THE LOWEST TOTAL COST RATIO IS W2 AT A 20 YEAR SUM OF 77.53

*** ENTER 5 WHEN YOU WISH TO CONTINUE ***

?5

THE PROGRAM WILL NOT PROCEED UNTIL YOU PUNCH IN A NUMBER FROM THE KEYBOARD. PLEASE ENTER THE NUMBER WHICH INDICATES YOUR CHOICE

O INDICATES THAT YOU DO NOT WISH TO USE THE WASTE TREATMENT SECTION OF THE MODEL.

1 INDICATES THAT YOU DO WISH TO USE THE WASTE TREATMENT SECTION OF THE MODEL.

?1

DO YOU PREFER THE DILUTION RATIO TO BE BASED ON BOD OR COLIFORM?

ENTER 1 IF YOU PREFER THE BOD BASE ENTER 2 IF YOU PRESER THE COLIFORM BASE

?1

INPUT THE DILUTION RATIO

?15

*** SUITABLE WASTE TREATMENT PROCESSES FOR IMPLEMENTATION IN ...1980...**

FEASIBLE	INITIAL	AVERAGE	
PROCESS	CONSTRUCTION	MAINTENANCE	OUTPUT FOR THE
COMBINATIONS	COST RATIO .	COST RATIO	
			SELECTION MODEL
S 3	2.07	3.75	
S4	18.2	16.05	
S9	28.72	12.7	
S10	37.14	21.92	

APPENDIX F

UNIT PROCESSES FOR USAID/REID

Water Treatment Process/Code Identifiers

Code	Process
PW 1	No Treatment
PW 2	Pre-Treatment
PW 3	Slow Sand Filtration
PW 4	Rapid Sand Filter-Conventional
PW 5	Rapid Sand Filter-Advanced
PW 6	Softening
PW 7	Disinfection
PW 8	Taste-Odor - Fe, Mn
PW 9	Desalting - Salt Water
PW 10	Desalting - Brackish Water
PW 11	Containment Filters
PW 12	Disinfection Filter

Wastewater Treatment Process/Code Identifiers

Code	Process
PS1	Primary - Conventional
PS2	Primary Stabilization Pond
PS3	Sludge - Conventional
PS4	Sludge - Advanced
PS5	Sludge Combined - Imhoff
PS6	Secondary - Standard Filter
PS7	Secondary - High Rate Filter
PS8	Secondary - Activated Sludge
PS9	Secondary Extended Aeration
	(Oxidation Pond)
PS10	Disinfection - Chlorine
PS11	Aqua-Culture
PS12	Dilution
PS13	Individual
PS14	Individual (Advanced)

TABLE 1

WATER TREATMENT

Acceptable Combinations of Treatment Processes, According to Raw Water Quality or Degree of Dilution Available to Waste Flows

ls ration	ids	Other (mg/l)													300 hard-	ness	1-3 Fe & Mn	3,000 TDS*	2,000 TDS*
Criteria Levels Raw Water Concentration	Solids	Turbidity (JTU)	10	100	800	10	800	800	100	1,000	100	1,000	100	1,000					
C. Raw Wa	Coliform	(MPN/100 ml)	1-2*	200	300	200	1,000	3,000	5,000	10,000	10,000	10,000	10,000	10,000	•				
	Process Combinations		PW1	PW3	PW11	PW1 + PW7	PW2 + PW3	PW2 + PW12	PW3 + PW7	PW2 + PW3 + PW7	PW4 + PW7	PW2 + 0W4 + PW7	PW5 + PW7	PW2 + PW5 + PW7	(any one of W1 to W12)+PW6	,	(any one of WI to WI2)+PW8	one of W1 to	(any one of WI to WI2)+PW10
Code for	Process Combinations		W1	W2	W3	5 M	W2	9/4	W7	8M	6/4	W10	W11	W12	W13	7113	#1#	CIW.	W10

*This represents standards for developed countries; different standards may be more appropriate for developing countries, e.g., for W1 an alternate goal could be 20 MPN/100m1 for coliform bacteria and 30 JTU for turbidity.

TABLE 2
SEWAGE TREATMENT

Acceptable Combinations of Treatment Processes, According to Raw Water Quality or Degree of Dilution Available to Waste Flows

		TIGOTON AVAILABLE	eo wasce liows
Code for Process	Process Combinations	Receivin 7-Day Low Flow	g Water Volume Level/Waste Volume
Combinations		Based on BOD☆	Based on Coliform
S1 S2 S3 S4 S5 S6 S7 S8 S9 S10 S11 S12 S13 S14 S15 S16 S17 S18 S19	PS5 PS1 + PS3 PS2 PS9 S2 + PS10 S2 + PS6 S2 + PS7 S2 + PS8 PS1 + PS12 S4 + PS12 PS2 + PS13 S1 + PS11 S2 + PS11 S3 + PS11 S4 + PS11 S5 + PS11 S6 + PS11 S7 + PS11 S8 + PS11	20 20 10 3 5 6 5 4 0 0 5 20 20 10 3 5 6 5	160 160 16 16 32 32 32 32 32 0 0 0 16 2 2 2 2 2 2 2

^{*}These represent standards for developed countries; different standards may be more appropriate for developing countries.

APPENDIX G

UNIT PROCESSES INCLUDED IN WBANK

Ventilated improved pit latrine

Recd Odorless Earth Closet

Pour-flush toilet

Double-vault composting toilet

Aquaprivy - self-topping

- sullage

Septic tanks, single and multi-stage

-227-

Vault toilet and cartage

Bucket laterine and cartage

Sewered - Both conventional and small bore septic tank

- Pour-flush toilet
- Aquaprivy

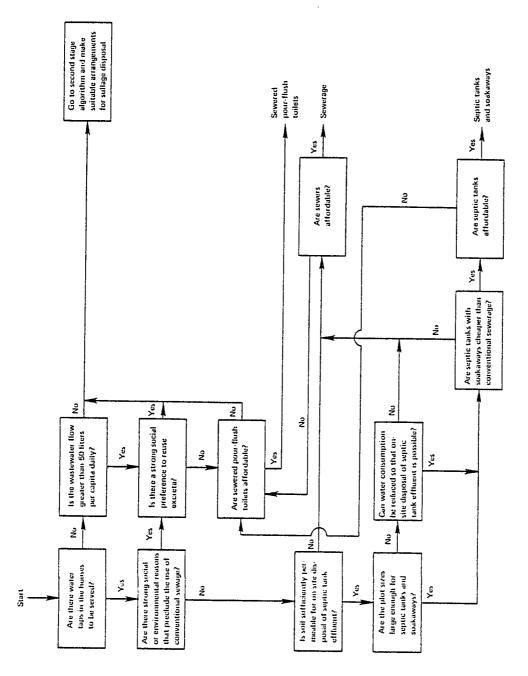
Sewerage - waste stabilization ponds

- aerated lagoons
- oxidation ditches
- rapid sand filtration
- slow sand filtration
- land application
- chlorination

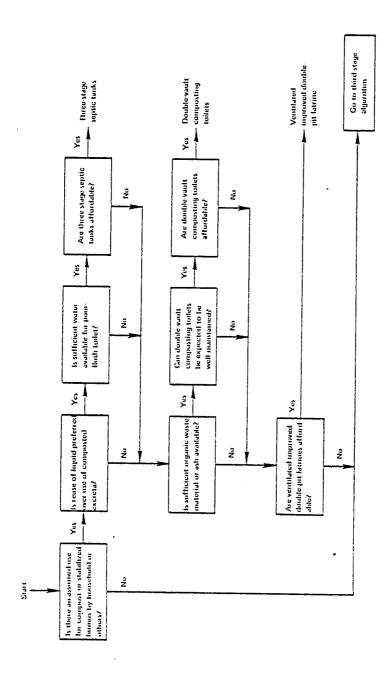
APPENDIX H

SOLUTION ALGORITHM FOR THE WBANK MODEL

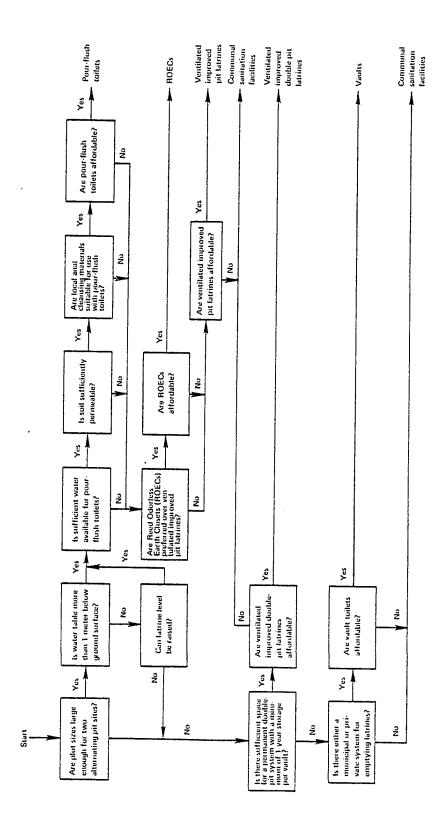
The WBANK model uses a three stage algorithm to select sanitation technology as follows:



WBANK solution algorithm, first stage.



WBANK solution algorithm, second stage.



WBANK solution algorithm, third stage.

APPENDIX I

EXEC-OP MATHEMATICAL FORMULATION

EXEC-OP uses a branch and bound application from interger programming as a solution algorithm. The mathematical formulation is:

Minimize
$$v_k = \sum_{i=1}^{N} \sum_{j=1}^{J} z_{ij} c_{ijk} (X_i)$$
 (1)

Subject to
$$k = \sum_{i=1}^{N} \sum_{j=1}^{J} z_{ij} C_{ijk} (X_i) b_k \text{ for } K = 1,...,K$$
 (2)

$$X_{i+1} = \sum_{j=1}^{J} z_{ij} f_{ij}(X_i) \text{ for } 1 = 1,...,N$$
 (3)

$$S_{i} = \sum_{j=i}^{J} z_{ij} g_{ij} (X_{i}) \text{ for } i = 1,...,N$$
 (4)

$$\sum_{j=1}^{J} z_{ij} = 1 \text{ and } z_{ij} = 0 \text{ or } 1 \text{ for } i = 1,...,N$$

$$j = 1,...,J$$
(5)
$$j = 1,...,J$$
and (6)

$$X_{L+1} = \sum_{i=1}^{L} S_{i}$$
 (7)

$$X_1 = X_0 + \sum_{i=1, +1}^{N} S_i$$
 (8)

where

K = the total number of different design criteria that must be satisfied.

b_k = target values for the kth design criteria that must not be exceeded.

 C_{ijk} = The contribution that must not be exceeded choosing treatment process j at stage i, the C_{ijk} are positive and non-decreasing with respect to the x_{im} .

 v_k = the value of the kth criteria.

 $X_{O} = influent waste stream.$

J = total number of treatment processes.

N = total number of treatment stages.

E = 1 to Lth treatment stage belonging to liquid treatment train.

x = the volumetric flow rate of the mth pollutant component to the ith stage.

s = the volumetric flow rate of the mth pollutant component in the sidestream generated at stage i.

 X_{i} = a vector of the m waste flows at stage i.

 $\mathbf{S}_{\hat{\mathbf{I}}}$ = a vector of the m sidestream waste flows at stage i.

z = a decision variable whose value is 1 if treatment process j
 is chosen at stage i and 0 otherwise.

 f_{ij} = a vector valued function describing the transformation of the influent waste stream (X_i) to an effluent stream (X_i) when treatment process j is chosen.

 g_{ij} = a vector valued function describing the transformation of the influent waste stream (X_i) to a sidestream (S_i) when treatment process j is chosen.

In describing the system Rossman says "Equations 1 and 2 represent the design criteria, Equations 3 and 4 express the stagewise transformation of influent waste flows and the generation of sidestreams, while Equations 5 and 6 ensure that only one process is chosen at each stage. Equation 7 expresses the influent to the sludge treatment train as the sum of the sludge sidestreams generated in the wastewater treatment train. Finally, Equation 8 closes the loop by adding the sludge treatment sidestreams to the plant influent." Rossman replaces Equation 8 by augmenting Equations 1 and 2 with a penalty added to the objective function for generated sidestreams, i.e.,

Let

 \mathbf{p}_{km} = the increase in criterion k per unit increase in component m of the recycle stream.

then

$$v_k = \sum_{i=1}^{N} \sum_{j=1}^{J} z_{ij} c_{ijk} (X_i) + \sum_{i=L+1}^{N} \sum_{m=1}^{M} p_{km} s_{im} (1')$$

and

$$v_k = \sum_{i=1}^{N} \sum_{j=1}^{J} z_{ij} c_{ijk} (X_i) + \sum_{i=L+1}^{N} \sum_{m=1}^{M} p_{km} s_{im} b_k (2')$$

The branch and bound technique which provide the implicit enumeration assumes that a feasible system design, say $^{\rm Z}{}_{\rm ij}$ with criteria values ${\rm v}_{\rm k}$, has been determined. Then if at stage q of an alternative process r is proposed

¹Rossman, "Synthesis of Waste Treatment": 151-152.

where

$$\sum_{i=1}^{q-1} \sum_{j=1}^{J} z_{ijk} + c_{qrk} \qquad or \qquad or \qquad b_{K} \text{ for any } K+r$$

the process r and all stages past q can be eliminated from consideration.

APPENDIX J

DEVIATION OF THE UNIT COMPUTER COST OF USAID/REID

Purchase of Equipment \$4,000.

Operation and Maintenance 10% year 1

15% year 2

25% year 3

35% year 4

Discount Rate 12% Present Value of the Discounted Cost = \$6,437.80 Time Available for Use:

8 hours per day; 5 day week, 52 weeks year = 124,800 minutes/year

Unit Cost:

= \$.0129 per minute

(4) (124,800)

Let USAID/REID take 2 hour computer time for analysis:

(120) (\$.0129) = \$1.55

APPENDIX K

WATER SUPPLY AND SANITATION TECHNOLOGY INCLUDED IN MAPMAT

Sanitation Technology

Description

VIPL

Ventilated Improved Pit Latrine. The VIPL include a slightly offset pit, a squatting plate or seat, an external vent pipe connected to pit, and a superstructure that covers the pit. When the pit fills to a predetermined level the structure is moved to a new pit and the old pit is filled with soil.

VIDPL

<u>Ventilated Improved Double Pit Latrine</u>. Same as a VIPL except that two pits are alternately used. When the first pit is full the squatting plate/seat is moved to

the second pit and the first pit is filled with dirt. When the second pit is full the first pit is emptied and used again. In a VIDPL the size of the superstructure, the amount of digging, and the number of seats reflect the doubling effect over the VIPL.

ROEC

Reed Odorless Earth Closet. A large pit is dug offset to the superstructure. Excreta enters the pit through a chute loading from the squat plate/seat. The pit is covered by a removable lid for desludging and a vent pipe is included for ventilation.

ST

Septic Tank. One or two chambers are placed just before ground level with a removal lid to allow periodic desludging. Excreta and flush water enter from the toilet and solids settle to the chamber bottom. Effluent is disposed in drainfields and/or soakaways.

DVCT

<u>Double Vault Composting Toilet</u>. Very similar to VIDPL except that vaults are sealed units. Superstructure may be movable so that as first vault reaches about 3/4 full it is topped with soil and allowed to compost. Ash and organic matter are added to encourage composting plus absorb odors and moisture.

PFT

Pour Flush Toilet. PFT uses a water seal below a squat plate or seat. The chamber may be directly under or off-

set from the superstructure. The chamber generally has walls and removable cover of concrete or ferrocement, while the bottom provides soakaway. Water or liquid sullage is poured into the squat plate/seat to provide the flushing action. Periodic desludging is necessary.

PFT.SEW.SB

Pour Flush Toilet, Sewered, Small Bore. Identical to a PFT except that the chamber is enclosed and has effluent disposal in a small bore (75-200 millimeter diameter) sewer. The system can alternately dispose of effluent in a septic tank or soakaway trenches. Periodic desludging is required.

PFT.ST

Pour Flush Toilet, Septic Tank. Identical to a PFT except an enclosed chamber is used as a septic tank. The chamber must be desludged periodically. Drainfields dispose of the liquid effluent. Readily adaptable to a sewered system.

AP Aquaprivy. Squat plate/seat directly above an enclosed chamber. The squat plate/seat incorporates a straight drop pipe which provides a water seal. Water is added to maintain the water seal. Generally, a superstructure incorporates a vent pipe in addition to coverage. The chamber has a removable desludging access port and a soakaway pit may be incorporated.

AP.SULLAGE

Aquaprivy, Sullage. Identical to the AP except that household sullage is used to maintain the water seal. A sink is generally added to the outside of the toilet for convenient sullage disposal.

AP.SEW.SB

Aquaprivy, Sewered, Small Bore. Identical to the AP except that the chamber is connected to a small bore sewer.

V&C

<u>Vault and Cartage</u>. An enclosed chamber is placed below or offset from a squat plate/seat. The chamber is emptied regularly by a pump truck which discharges the contents using land-treatment, marine discharge, or conventional treatment such as waste stabilization ponds.

COMM

Communal Facilities. Includes any of the above technologies which are appropriate to provide a large public service. These large facilities may include shower, laundry, and fresh water for communal use. Generally, one toilet per 25 people is required to satisfy local sanitation demand, while one shower and a washtub per 50 people will be required to adequately provide these services.

COMM.SEW

<u>Communal Facilities</u>, <u>Sewered</u>. Identical to the COMM facility except the selected technology is connected to a sewer.

AC

Aquaculture. A pond or lagoon where excreta is deposited to be utilized as a food source for fish and/or plants.

LAG.WSP

Lagoons, Waste Stabilization Ponds. A single or series of ponds used to remove organic matter by microbial action include both algae and bacteria. These large shallow basins can provide both anaeorbic and aerobic condition for degradation.

TC

Thermophilic Composting. Organic matter is mixed with excreta for composting. The moisture content of the compost mixture must be below 60 percent. Aerobic conditions are maintained in the pile by daily or weekly turning of the pile depending on the size of the compost heap. Mechanical dewatering may be necessary for reaching a moisture content below 60 percent. Finished compost must be removed.

HRTC

<u>High Rate Thermophilic Composting</u>. Identical to the TC process except that perforated pipe connected to air blower is buried in the pile. Air is drawn through the pile to maintain highly aerobic conditions in the compost pile.

PC

<u>Primary, Conventional</u>. Settling tank or sedimentation basins which are used to remove suspended soilds and BOD

in a quiescent state. Fluid movements cause flocculation during dentention in the unit.

SDBED

<u>Sludge Drying Beds</u>. Beds are open beds of layered sand and gravel over which a foot or more of sludge is spread to dry. The dried sludge cake may be placed in landfills or used as fertilizer.

SDLAG

Sludge Drying Lagoons. Shallow open lagoons are filled with sludge to allow aerobic and anaerobic decomposition. The dried sludge cake may undergo disposal much like SDBED processes.

ALAG, EXT

Aerated Lagoon, Extended. Very similar to LAG, WSP, except that certain units are floated on the surface of the basin to increase the aerobic conditions.

CHLOR

<u>Chlorination</u>. Chlorine is added to the effluent for a sufficient period of time to destroy pathogenic bacteria such as fecal coliforms.

LT

Land Treatment. Effluent is deposited on grassland, (or cultivated land) by irrigation, overland flow, or infiltration. The land acts as a biological filter prior to the effluent reaching groundwater. If crops are involved then the plants and associated ecosystems help to detoxify the effluent in concert with the LT.

RBC

Rotating Biological Contactor. A series of mechanically rotating discs are partially submerged in the wastewater to provide biological decomposition. As the disc rotates, the liquid is aerated and conditions are created on the surface of the disc to encourage biological degradation.

AS

Activated Sludge. Excreta are subjected to conditions which encourage the growth of microorganisms which decompose the organic material. The conditions, or unit design criteria may include aerobic, anoerobic, or a mix of the two in the unit reactor. In general, the containers may be open rectangular chambers which have sufficient flow and aeration to provide proper microbial activity.

IMHOFF

Imhoff. Tank incorporates the actived sludge biological process in a two-story tank with sedimentation occurring in the top compartment and digestion in the bottom. Gas from the sludge digestion can be collected for use or disposed.

TF.STD

Trickling Filter, Standard. A large bed of gravel is contained in an open unit where a slow turning rotor sprays effluent ever the gravel. Microorganism attach to the gravel and decompose the organic material as the liquid passes over the stones. The filter may be very

large, i.e., 200 feet in diameter and 20 feet deep.
Underdrains are provided to support the filter media and collect the effluent.

TF.HR Trickling Filter, High Rate. Identical to the TF except that effluent from the TF is recycled for additional passes through the filter media. Substantial pumping may be required if high recirculation is desired.

Water

Treatment

Technology

Description

NT

No Treatment. Existing ground water or catchment water is provided with no treatment. Some minor structural works and maintenance are required.

PT

<u>Pretreatment</u>. Suspended matter and algae are removed using sand filters, thermocline control, and chemicals.

SSF

Slow Sand Filter. A large sand bed above a gravel base is used to remove suspended and organic matter. Water flows through the sand bed where microorganisms decompose impurities. With use, the filter will clog and a layer of top sand will be removed to return flow. Sand is periodically replaced.

RSF

Rapid Sand Filter. Water passes through sand bed under pressure. Several media such as gravel and anthracite may be layered to improve filtering. As the flow lessens the filter is backwashed to remove the microbes and trapped material.

CHLOR

Identical to sanitation technology CHLOR except lower dosage required in general.

Taste and Odor. Aeration, zeolite, chlorine, and/or absorbents are used to remove taste and odors present in the water.

DFILT <u>Disinflection Filter</u>. A small water purification device using a porous filter containing a bactericide to destroy pathogenic organisms.

CFILT Containment Filter. Removal of suspended material using locally available filter media such as coconut fiber, charred rice husks, charred pine needles, etc.

Desalting, Saltwater. Reduction of salt concentration from 35,000 Mg/l to less than 1,000 Mg/l using pressure, evaporation, or freezing.

Desalting, Brackish Water. Reduce the salt content in the range of 1,000 to 35,000 Mg/l below 1,000 Mg/l using electrodialysis, reverse osmosis, and chemicals.

Sources:

Metcalf and Eddy, Inc., <u>Wastewater Engineering</u>: 408-10, 446, 455, 533-535, 617, 628.

Reid, Arnold, and Streebin, Appropriate Technology: 141, 231.

Feachem, McGarry, and Mara, Water, Waste and Health: 276-277.

APPENDIX L

SAMPLE OUTPUT FROM MAPMAT.HELLO AND MAPMAT.MAIN

-250-

МЕТСОМЕ

5

MAPMAT

MODEL

AMO

PROGRAM

ВУ

CLYDE ARNOLD

HAPMAT REPRESENTS A PLANNING HODEL INTITLED MICHOCOMPUTER ASSISTED FLANNING HODEL FOR THE SELECTION OF AFFORE AND TECHNOLOGY IN VATER THEATMENT AND SANITATION.

AS YOU USE MAPMAT YOU WILL RESPOND TO QUESTONS UNTIL MAPMAT HAS SUFFICIENT INFORMATION TO USE MAPMAT IN ANALYSIS. YOU WILL BE PROVIDED UITH SUFFICIENT INFORMATION TO USE MAPMAT IN AN INFRACTIVE MODE; HOWEVER, YOUR ANALYSIS MAY BE INFUCED BY CONBULTING THE MAPMAT IN ANALYSIS MAY BE INFUCED BY CONBULTING THE MAPMAT IN ANALYSIS MAY BE INFUCED BY CONBULTING THE MAPMAT IN MANUAL.

A GUESTION MANK FOLLOWED BY A BLINKING SQUARE; I.E., THE CURSOR, INDICATES THATHAT IS WAITING YOUR RESPONSE TO A QUESTION.

PRESS HETURN WHEN YOU ARE READY TO PROCEED TO THE NEXT BIEF.

THERE ARE EIGHT BECYTONS TO THE MAPMAT MODEL:

HAIN~~~~~>)THE PROGRAM WHICH YOU ARE CURRENTLY USING.
AVAIL.PHOCESS=)DETERMINES AVAILABLE VATER AND/OR SANIFATION TECHNOLOGY BASED ON DATA WHICH YOU ENTER.

CUET. MATIO.....THE MATIVE MANK OF COBT COMMUNICATE.....TLECOMMUNICATION LINK BENATIONITY....TUCKET HOLICE MACHAN GOLVEY OF VIHICATION...TUCKET HOLICE EFECTIVENESS...THEORY HULTI-OSSECTIVE OI GOST DATA. SEATIBLICES....THEORY HOLICESSION AMARYLES ON DATA WHICH YOU ENTER

PAESS REFURN TO PROCEEDING 1 . . / I

2....feemal AVAIL. FRUCEBS 4. ... / EUE'I HATIO

ALIVITABLEBITIVITY CUMMUNICA FE

7. ... /--->1111 LC3 | VCRE88

6 / **** BIATIBLICS

CHIER THE HUMBER OF THE PROCRAM WHICH
ACTOR ON USE OF YOU WEED TO
ACTOR THE PREVIOUS DESCRIPTIONS TYPE
HELP AND PRESS RETURN IF YOU ARE DONE
19 THE HELP AND PRESS RETURN.

APPENDIX M

SAMPLE OUTPUT FROM MAPMAT • AVAIL

-253-

YOU WANT THE PRINTER ON!

1----YES 3----NO

INTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, 1.6., 1, 2, EFC.

VELCONE TO THE SECTION OF MARMAT WHICH DEFEMBLES OF WHICH TREATHER TECHNOLOGIES WAS AN A FOOLE STATE. IF YOU ARE IN THE WRONG SECTION OF MARMAT THEN TYPE IN WRONG AND PRESS RETURN. TOU WILL BE RETURNED TO NAPHAT'S MAIN MENU. IF THIS IS THE RIGHT SECTION OF MARMAT, THEN TYPE IN RIGHT AND PRESS RETURN. TRICHT.

RICHT

**RICHT*

PLEABE VAITIII
IN THE PULLOUPUR ANALYEIS YOU WILL BE
ANSYERING 38 QUESTIONS GONCERNING THE
DESIGN SITE. THE ANSWERS TO THESE
OLUSTIONS MAY RESULT IN SOME OF THE
TECHNOLOGIES BEING CONSIDERED
INAPPROPRIATE TO LOCAL CONDITIONS BY
MARMAT. YOU WILL HAVE A CHANGE TO
REVIES THE TECHNOLOGY SELECTION BY
THE SENSITIVITY SECTION OF MARMAT.

PRESS RETURN TO PROCEEDITY QUESTION 1:

VHA1 IS THE POPULATION DENSITY AN THE LOCAL SITE IN FEOREE PER HECTARE? THERE AME THREE POSSIBLE ANNUERS:

1----- POP. DENBITY (* 300 2----- POP. DENBITY (* 409 3----- POP. DENBITY) 400

ENTER THE NUMBER WHICH ALPAEBENTS YOUR CHOICE, 1.5, 3, 3, KTC.

WHAT LEVEL OF WATER CAN BE EXPLCTED AT THE LOCAL SITE IN LITERS FER CAPITA PER DUESTION 2:

CELLAIN SANITATION TECHNOLOGIES UBE THE GROUNDA AS A SOARANY FOR LIVEN OF THE CHURCHAN THE RELOIT OF THE GROUNDWAKEN HAVE PRESENT PROJECTS IF THE SOARANA VOLL LEACH INTO THE LOCAL CHURCHAND VILL BETTHING THE LOCAL CHURCHAND VILL BETTHING THE CONTACT OF THE GROUNDWAYER FOR LOCAL CONTACTIONS DO TOU EFFECT GROUNDWAYER CONTACTIONS DO TOU EFFECT GROUNDWAYER CONTACTIONS TO THE MASURES.

1----- YES 3----- NG

ENTER THE NUMBER UNION REPRESENTS YOUR CHOICE, I E., 1, 2, ETC.

UIA) TYFE OF UATER CUMECTION EXISTS, OR ULL EXIST, AT THE LOCAL SITE! THERE ARE FOUR FORSIBLE ANBUERS:

ENTER THE HUMBER VILLON REPREBENTS YOUR CHOICE, I.L., I. 2, LTC. UNEX. ION B.

CENTAIN BANITALION TECHNOLOGIEB VILL
NOF FOLENTE ANAL CLEANSING HATERIALA
WHICH VILL HIT EASIY DECONTORLOR
WHICH HIGHE COO THE UISCHMANE FIFE
HI GENTHALA BOCCHINI COR
VIEW HENVY BACKING, CORNOBS,
NUCHATION OF SEVENAL FORMING VIOURE
NUCHATION OF SEVENAL FORMING YOU FREED
THE ANOVE HATERIALS TO BE USED AS ANAL
GLANBLINE THENE ANE TWO FOSSIBLE
ANDUENS.

ENTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, I.E., I. A. EFC.

DO YOU EXPLCT VATER TO BE UBLD AS AN

ANAL CLEANBERT FRENE AND TVO POSSIBLE ANDVERS.

ENTER THE NUMBER WHICH NEPREBLITS YOUR

MONT EQUINITIES VILL MOT FELL AT KAME
VITH A SANITATION TECHNOLOGY VILLEN
FLENTES THE LOCAL
FUNCLED HILL VENERAL OF VISIBLE
FUNCLED HILL VOLUED WOU BE LIKELY TO USE
A FECTIONLOGY VILEI, AS A HAFTER OF
OPERATION, EXEMBED EXCHERA TO VIEW
THERE ARE IND FOMBIUEE ANSURAB.

1----- YES 2---- NO

HALF THE NUMBER WHICH REPREBENDS YOUR CHOICE, 1 E., 1, 2, ETC. 12 ULESTION 10:

BAVERAL TECHNOLOGIES MAY FRUVIDE A GOOD WINESHING SHOE FOA HOUSEN FOR SHOE HOUSEN THE SHOE HOUSEN FOR SHOE HOUSEN FOR SHOE FULLIED BY CIE USER AND/OR THE RAINTERNACE THEORY IN THIS HOUSE OF SHOELE LUSING NOULD BY ON EXPECT THE LUCKE USERS, ON AN INITIATION? THERE AS ON AN INITIATION? THERE ARE TOO FOABSHALL HUSBERS, ON AN INITIATION? THERE ARE TOO FOABSHALL HUSBERS.

ENTER THE NUMBER OFFICE REPRESENTS YOUR CHOICE, I.E., 1, 2, CFC.
13 OUESTION 11.

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THAT BE USED AS AN UNCARICE TERFILLLER IT
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THE HURDS ON THE HANDLING OF THE HUNDS.
THE HURDS OF THE HURDE.
TO WEBBE THE USE OF HANDLING OF HUMBER
THERE ANT TUG FORSTBLE ANSWERS:

LWIEN THE NUMBER WHICH REPREBENDA YOUR CHOICE, I K. 1, 2, KFC 11 401-17-14-14:

CENTAIN TECHNOLOGILS REGULA USLN (RAINING AF ONE OF THE TOLLOVING LEVELS:

VEHT TOU-) DATE OBE THAINING REQUIRED COLOURED COLOUR DE OBBUTE PREVENTATION HEGIUM-) LONG BALASE THANKHITAL HEGH-) HEGH-

WHICH OF THE FOUR LEVELS BEST DESCRIBE LOCAL CHAINING AVAILABILITY!

1----- VENY LOV
3----- HUDIUM
4----- HIGH

INDER DUE NUMBER VILLER REPRESENTS YOUR CHOICE, 1.5 2, 1.7 2, 21G 12 GUESTION 13. CHITICAL TO THE SUCCESSFUL OFFRATION OF MANY TECHNICOGES IN THE LEVEL OF LOCAL HIMSASTHUCTURE, I E. LICAL METUNEN OF SUFFWAT FOR DEVELOPHENT WHICH OFFWAT FOR DEVELOPHENT WHICH

1--- LOU-) USER CAN MAINTAIN
2--- MEDIUM-) PANET-FINE GAOUFFERSON
BECUITED TO MAINTAIN
3--- HIGH-) FULL TIME GAOUFFERSON
REGUIALD TO MAINTAIN

ENTER FILE NUMBER WILLUI MEPREBENTB YOUR CHOICE, 1.6, 1.7, 1.1C., 1.7, 1.1C., 1.1 duesning your ones you at Thiodem 17:

LABUN IB HEIDIRED FON THE CONSTNUCTION
AS VELL AS JUL OPENATION AND
AND MEMBERANCE OF THE PECHNOLOGIES. THENE
AND FUND TYPES OF LABOR INCLODE IN THIS
ANALYSIS.

COESTION 14.

CONSTRUCTION LADOR AT THE LOCAL BIFER # ----- UNAVAILABLE

CHOICE, I E , I, 2, ETC.

UNAT 18 THE AVAILABILITY OF BEMIEKILLED TOONSTHUCKION LABOR AF THE LUCAL BITE? QUES' 10N 13.

----- UNAVAILABLE

LHTEH THE HUMBER WHICH MERKEBERIDS YOUNG

11 40££1 50N 14:

CUNSTAUCTION LABORITY OF SKILLED

1 ---- UNAVATLABLE

LUTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, I.E., I. S. ETC.

WIGH IS THE AVAILABILITY OF CONSTRUCTION PROFESSIONALS AF THE BIFE?

QUESTION 17:

G----- UNAVALLABLE

ENTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, 1 E., 1, 2, ETC U BULB'I ION 18 THRUBER 21

LABOR IS REQUIRED FOR THE CONSTRUCTION AS VELL AS THE GENERATION AND MAINTENANCE OF THE TECHNOLOGIES. THERE AME FOUN TYPES OF LABOR HIGLODE IN THIS AMALVELS.

UPBRILLED.....) COMMON LEDONIN BETIBRILLED.....) PLONUERS NELPER BROFESSIONAL...) PROFILER PHOFESSIONAL...) CHGINELS

UMAT IS THE AVAILABLITY OF SCHISHILLED OPEHAFION & MAINITHANCE LASON LOCALLY? ENTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, I E., I, 7, ETC. ENTER THE HUMBER WHICH REPRESENTS YOUR CHOICE, 1 E., 1, 2, ETC., 11 to cuestion 20: FLECTRICAL EUDIPHENT, E.G., A HOTOR, LAUGHANDRY EUDIPHENT, E.G., A MALENCE, ELLC'I ROME COUTPHENT, E.G., A COMPUTER OUERITOR 22. WIST IS THE AVAILABILITY OF UNSKILLED OPENAFICED OF CHAPTICES WHAL IS THE AVAILABLEITY OF SKILLED OPERATOR LMTER THE NUMBER VITCH REPRESENTS YOUR ENTER THE NUMBER WHICH REFREBENIS YOUR CHOICE, I.E., 1, 1, ETC. CHREE TYPES OF EQUIPHENT HAY BE REGULHED FOR UPENATION AND MAINTENANCE ACTIVITY. VIIAT IB THE AVAILABILITY OF OAH PROFESSIONAL LAUON AT THE LOCAL BITE? BO YOU EXPLOY ELECTRICAL EQUIPMENT TO BE AVAILABLE VIYHIN 24 HOUMB IN THE DESIGN AREA! D..... UNAVAILABLE 4..... UNAVAILABLE QUESTION 22 THEOLOGI 24 ----- UNAVAILABLE ----- UNAVALLABLE UULET TON 19: 11 UUEST-10N 21:

CHICK THE NUMBER WHICH REPREBENTS YOUR
CHOICE, 1.E., 1.2, ETC
11
OUENTION 24:

EHTER THE NUMBER OHICH REPRESENTS YOUR CHOICE, 1 E. 1, 2, ED.

JULEATION 33

BU YOU ETFECT LARGIALINY COUPTIETT TO BE AVAILABLE VITHIN 24 HOURS IN FHE BELIGH AREA?

JULEATION 33

BU YOU LIVET LILEANIAL HERRESENTS YOUR CHOICE, 1 E. 1, 2, ED.

JULEATION 24.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

BU YOU LIPLET LILEANIAL ENFERSENTS YOUR CHOICE, 1 E. 1, 2, ED.

COURTION AS THROUGH 28.

COURTION AND HAIRING SUPPLIES YOUR ACTUALY.

COURTION AND HAIRING SUPPLIES, E. C. FIRE CHOICES, E. CHICKINE, PROCESS SUPPLIES, E. C., FIRE LABORES SUPPLIES, E. C., FIRE LABORES SUPPLIES, E. C., TEBT TUBER UNCATTON AND HAIRINGHASICE SUPPLIES, E. C., TEBT TUBER UNCATION AND HAIRINGHASICE SUPPLIES, E. C., TEBT TUBER UNCATION AS HAIRING SUPPLIES, E. C., TEBT TUBER UNCATION AND HAIRINGHASICE SUPPLIES, E. C., TEBT TUBER UNCATION AND HAIRINGHASICE SUPPLIES, E. C., TEBT TUBER UNCATION AS HUMBER WITH THE PROCESS SUPPLIES. E. C., TEBT TUBER UNCATION AND HAIRINGHASICE SUPPLIES.

BUT AVAILABLE VICTION 24 HOURS IN THE PROCESS SUPPLIES.

BUT AVAILABLE VICTION 24 HOURS IN THE PROCESS SUPPLIES.

BUT AVAILABLE VICTION 24 HOURS IN THE PROCESS SUPPLIES.

UD YOU EXFICT FHOCESS BUPFLIEB TO BE AVAILABLE VICHIN 24 HUUNB IN THE DEBIGN ANEA?

U----- UNAVAILABLE

ENTER FIRE NUMBER UNION REPRESENTS YOUR CHOICE, 1 E , 1, 2, ETG. 100 Pt outstion 27:

DO YOU EEPECT OPERATION AND MAINTENANCE BUPPLIES TO BE AVAILABLE VITHIN 24 HOURS IN THE DEBIGN AREA?

ENTER THE MUMBER UNION MEPREBERTS YOUR CHOICE, I E., I. 2, ETC. D---- UNAVAILABLE

DO YOU EXPLCT LABORATORY SUPFLIES TO BE AVAILABLE VICHIN 24 HOURS IN THE BESICN AREA?

11

LUCICL, 1 E. 1, 2, ETC.

OULSTION 27 AND 30

TO OFFICE AND 30

TO FYPES OF ERRORY MAY ME REQUIRED

FOUR FYPES OF ERRORY MAY ME REQUIRED

FOUR OFFICE AND AND MAINTENANCE ACTIVITY

SECURISE AND AND MAINTENANCE ACTIVITY

SECURISE AND SOUR AS DILBEL, GASOLIME, WITC.

FILER AND FOUR EVEES OF SUPPLY

WASLELBILLY NOWE, LOV. REDIUM, HIGH. 0----- UNAVAILABLE

TON LLECTRICAL ENERGY THE LEVELB ARE MEMBER IN PURFING CARACITY OF GALLONS FER MINUTE (GFM) OH PER DAY (GPD);

0---HUNE .. HANGE: NUNE UN LIGHTS ONLY
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2---HUDLUM .. MANGE. 7001. GPH (10,000
3---HIGH... .. MANGE. GPH) 10,000 UN
GPH)= 11 MILLIUM

UNION LEVEL INDICALES THE LOCAL SITEP ENTER THE NUMBER SHICH ACPRESENTS YOUR CHOICE, I E., I, I, LIC.

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TON GUIKH ENERGY DIE LEVEES ARE MEABURED AS FREGUENCY OF USE FOR VECHIELLS OR FULL YOVENED HOTORS:

HOU GOULD YOU HAVE FIRE AVAILABILITY OF ENTER THE NUMBER ALL INC. LOCAL BITE? CHOICE, THE NUMBER WHICH MEPRESSHIR YOUR UNEETTON 31.

LAND IS A NECESSARY PART OF ARY VATER
SUFFY OR BARICACION TECHNOLOGY FIREE
THUSES OF LAND AVAILABILITE ARE USED IN
THIS ANALESIS:

1-- LOV----- AVAILABLE ACRES (* .)
2-- HEDIUM.- ... (AVAILABLE ACRES (... 3
3-- HIGH---- AVAILABLE ACRES)... s ON A PEH CAPIFA MASIS WHAT IS THE LAND AVAILABILITY AT THE DESIGN ETTEP

ENTER THE NUMBER UNION REPRESENTS YOUR CHOICE, 1.L., 1, 2, ETC

1.3 QUESTION 32:

UMCANIC COMPUBLING MAIENIALS SUCH AS STANA, LANCES, ECC. A RE MEGUN MED FOR CENTRAI SANITALINA TECHNOLOGIES. NO TWO EFFECT ADEDIALS ANUMES OF FIRES? TYPE HATERIALS TO SE AVAILABLE AT THE DESIGN SIFE?

LIVIER THE NUMBER UNION MERKEBENTS YOUR CHOICE, I E , I, 2, EFC.

CENTAIN FECHINALOGIES HAY BE HAZANDOUS TO LOCAL HEALTH IN FARDICULAN CONDITIONS ARE HET IN EMPERAD OF A DISEASE TO FECHIOLOGY IN THE PRESS OF A PHODUCED BY A TECHNOLOGY THREE ARE PHODUCED BY A TECHNOLOGY THREE ARE UULE'I IDHB 33 THKUUGH 35:

THERE HAJOR AREAS WHICH RELATE TO HEALTH COUSTHAINS ON TECHNOLOGY: HEAVY METALS , INSIGER, AND HELMINTIS.

PRESS RETURN TO PROCEEDITE OUTSITION 33.

BOLS THE LOCAL FORMINTION CONSUME RELATION OLSEASIS UNION ARE HELMITHEN RELATED OLSEASIS UNION ARE TRANSHITLED RECORDERING THE E.G. TRANSHITLED CLONGWELLICS AND DIFFURDATINIASIS PHEVALENT IN THE LOCAL AMENI

CHOICE, 1.E. 1. 2. RTC.
UVESTION 34: 1- ---- YEB

DO THE VALER PRANSHIPTED HELMINTH DISCRASS SUCH AS SCHISTOSOHAISIS EXISTS IN THE LOCAL AREA?

January VES

THIER THE NUMBER WHICH REPRESENTS YOUR CHOICE, 1.E., 1, 2, EFC. OUTSTION 35-

DO THE SOUL THANSHITTED DIBEASES SUCH AS ASCAMAISIS CRISE IN THE LOCAL AREA!

DITTER THE NUMBER WHICH REPRESENTS YOUR CHOICE, 1 E . 1. 2, EFC.

13

EVARAL TECHNOLOGIES HAY PROVIDE A FAVORALE HAILAT FOR THE URCEUTE OF HISECAS VICE AR VECTORS TON HAY DISEASES SUGH AS VELLOV FVER, HALMERA, T.C. THESE DISEASES ANY BET SAMBHITTED BY HISEC BITCES ON WY FRE INSECT HAVING COURTAL VITA A NUMBARY STORE ON HOLY IF LOCAL COUDTIONS FROVIDE A FAVORALE HAUTAY FOR HISECTS THEN CENTAIN TECHNOLOGIES HAY EMBARCE FILE HISECT FULLMANTON BY EMBARCE THE HISECT FULLMANTON BY SHOWED THISECTS FULLMANTON BY COURT AND THESE BE A PROVILER IN THE LOCAL ANEAT

ENTER THE NUMBER WHICH REPRESENTS YOUR 1----- YES 2----- NU 11 QUEBTION 37:

THE SANITATION TECHNOLOGIES WHICH USE CHARDSTHEE OF LAND TEATHER.

BY COUTH BUTTE TO DISTABL IF THE RESIDENCE OF THE DISEASES WHICH DAMEST TO THE DISEASES WHICH DAMEST TOOD OF HUTHE CHARACT. WULD YOU KETCH FLOW OF THE CHARACT. WULD YOU KETCH HEAVE MICHES TO SESSIVE AND THE TOOLOGIES.

ENTER THE NUMBER UNICH MEPREBLING YOUR CHOICE, I E. 1, 2, ETC MAPRAN HAS BENERHINED THAN THE FOLLOWING FECHNOLOGIES ARE AVAILABLE:

1 YES

BAHITATION FACUS REFURE TO PROCEEDING VALUE PFF BF

PAGUS RETURN TO PROCEEDIII
ANTECTEO THE AVAILABILITY OF THE
TLEMMOLIGIES!

ENTER THE NUMBER WHICH REPRESENTS YOUR CHUICE, I E , I, 2, ETC.

я×я	12 22 24 25 25 25 25 25 25 25 25 25 25 25 25 25	# #	*			*							KE TO BEL THE AMBVERB YOU QUEBLIONS!		H VILLUS MEPHESLINGS YOUN	1 - 410	۰	021 - 1	411 - 1			014 - 4	417 - 1	014 - 1	7 - 670	130 - 3	100	, ,	035 - 2		
051527 0508 C 005821	123	ORSE		101111		OUSALIZ 1	•	PRESS .	* MAU CI	•	41- frot. ELD ! •	, , , , , , , ,	VOULD YOU LIKE GAVE SO FIIE GOE	1YEC	 ENTER THE NUMBER CNOICE, 1.E., 1.			6		3 3	20	7 - 50	; ;	2 - 010		u12	7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -			-	

WOULD YOU LIKE TO BTOKE THE DATA PERHENANTLY? 1 ----- Y EB

ENJER THE HUMBER UNION REPRESENTS YOUR CHOICE, I.E. I. A. ETC TA

PHESS RETURN TO PHOCECULAR 1. ... /----) HAIN

A ... /--->AVAIL PHUCEBS /---->CUB1 RA110 B.... Jesses BEATIBEICE

THIEN THE NUMBER OF THE PROGRAM WHICH YOU WANT IN USE OF YOU MEED TO

FLICKE ARE ETGHE BECTIONS TO THE HAPHAL Honee. PHESS RETURN TO PROCEEDITI THE SECTION IS CURPLETE.
HECOMMED TO THE MAIN MENU. 7. ... / ----) LI I LC3 IVENEUS /---) EURIIIVITY /--- CUMHUNICA FE 4 / *---> OPTIMIZE

APPENDIX N

SAMPLE OUTPUT FROM MAPMAT.OPTIMIZE

-270-

AMOUNTA CHOICE THIER ETHER HO ON THE HUMBLA OF YOUR CHOICE AND PRESS HETWIN.

4 AST.

4 AST.

5 AST.

5 AST.

5 AST.

6 AND THE STALLE.

7 AST.

7 AST.

8 AST.

8 AST.

8 AST.

9 AST.

10 AST. HITCH LECAL COLITONN THEATHERT GOAL-VATER WEATHERF UNITY THICK WON LOAD FUN VANTE THEATHERT IN HUAL PRESS RETURN TO PROCEEDITI
DU TOU VANT TO SLT THEATHRIT GOALBY
BHTEN Y FON YES ON IN FUN NO
THY
FOR THE BOD THEATHRIF GOAL-BANITATION
TO LAGUE BEET BEU BB.)

HOUSER THEATHLM SCHEME CENTER Y FOR YEAR OH N FOR NO AND PACES REFURN BUY HANY STACES ARE INCLUDED IN THEATHCH & SCHEME 2) CHAXINUM & PRO IN AVAILABLE TECHNOLUGIES ARE. AUDINER THEATHENT SCHILLE CENTER Y YOR YEE ON N FOR HIS PRESS RECURN. IY HOW PINY STACES ARE INCLUDED IN THEATHENT SCHEEL IT CHARINGH ~ 771 AVAILABLE TECHNOLUGILB ARE:

1 FT ST
10 AF SULLAGE
WHICH TECHNOLOGY IS YOUR CHOICE FOR AMOINEN THEATHERN SCHEME CENTER Y FUR YES ON N FOR HO AND PRESS RETURN. II ENTER FECAL COLIFCIN LOAD TOR VATER HOU MANY STACES ARE INCLUDED IN HEATHERF SCHEME IT (MAXIMUM = 19) 4 EF BY
10 AP SHILAGE
10 AP SHILAGE
10 AF SH AT MINT YEAR WILL ST HE AVAILABLE? (CURHERS", HAE-99) fio AT WHAT YEAR WILE AF BULLAGE BE AVAILABLE? (CUMMEMF-1.MAX-YY) FI AT WIST YEAR WILE FIT BY ME.
AVAILABLE? (CURRENCE, HAX-99) AVAILABLE TECHNOLOGIES ARE: AVAILABLE TECHNOLOGIES AND:

1 PF'S ET TO AVELAGE IS YOUR CHOICE FOR BRACE IF AT UILY YEAR UILL AF EULLAGE BE AVAILAGLE? (CUNRENT-1; NAX-79)

SFAGE TECHNOLOGY YEAR GUILT

MAGE TECHNOLOGY YEAR BULLY FLESS KETUIN TO PROCEEDING THEATHER OF THE STATES OF THE STATES TO STATES THE STATES THE STATES OF T

Brace Technology Year Build PHESS RETURN TO PROCEEDITY TREATHERY THAIR S PALES RETURN 19 PROCEEDILI PACAFRENS TRAIN 1 MACE TECHNOLOGY YEAR BUILT

PRESS RETURN TO PROCEEDITY
YOU ARE HOW READY TO LINK TO THE COBF
GECTION OF MAPPIAL YOU WILL HE
RETURNED TO THE HARM THRU OF MAPMAT.

APPENDIX 0

SAMPLE COMPUTER OUTPUT FOR MAPMAT.COST.RATIO

-275-

YOU WANT THE PHINTLE ONP

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PRESS REFURN TO PROCEEDING

AN EXAMPLE IS A HUMAL TOUN ON SMALL CITY

PARKER RETURN TO PROCEED!!!

3--THE INTERNATIONAL HAS AVAILABLE
BGICKTORS. CHOTHERS. AND OTHER
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ALL RESEARCH PROCESSIONALS. PRIMARY
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AVAILABLE. LESS GIAN 23 PEREENT OF
THE PURCHATION PHINARILY DEPARTS
THE PURCHATION PHINARILY DEPARTS
AGHICULTURE HELATED ENTERPHISE.

PRESS REJUIN TO PROCECULLI

AM EXAMPLE IS A LAUGE BUT 180LAT CLIV, POSSIBLY A HEGIONAL CENTER PRESS RETURN TO PROCEEDING

1-THE INTRASPRUCTURE CLOBELY
RESERBLES A LANGE CITY IN A
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FRESH KETURN TO PROCLEDITI

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ENDY THE DEPOSITE VALOR
CHOICE, I C. I. 4. ITC
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APPENDIX P

SAMPLE COMPUTER OUTPUT FROM MAPMAT • EFFECTIVENESS

-280-

EFICTIVINESS EVALUATION TECHNIQUE

A PAINUINE DECISION VAIGHTING MODEL

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THAIN 3 INFUT NAME FOR ALTERNATIVE AS CHARIMUM LENGTH-20 CHARACTERS). IMPUT MANL FOR ALTERNATIVE A! IMAKINUM LENGIN-10 CHARACTERS). INPUT HANE FOR ALTERNATIVE AT CHARINUM LENGTH-10 CHARACTERS). INFUT MANE FOR ALTERNATIVE AS STALINUM LENGTH-10 CHARACTI BG TOU VISH TO CHANGE THE NAMES OF YOUR ALTERNATIVESSY-YES.M-NO?? FM DO 10U WISH TO NAME THE ALTERNATIVES (Y-YES, N-NO)?

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A 3 TRAIN 3
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1 SOUCHAIN ACCEPATINCE

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1 DICAL CONTROL NAME FOR LEFECTIVENESS MEASURE HI (MAXIMUM LENGTH-10 CHARACTERS).

1 HIPORIED MANNE FOR LEFECTIVENESS MEASURE HI (MAXIMUM LENGTH-10 CHARACTERS).

DO TOU VISH TO CHANGE THE MANAS OF YOUR EFFECTIVENESS MEASURESIY=YES,M=NO)?

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APPENDIX Q

SAMPLE COMPUTER OUTPUT FOR MAPMAT.SENSITIVITY

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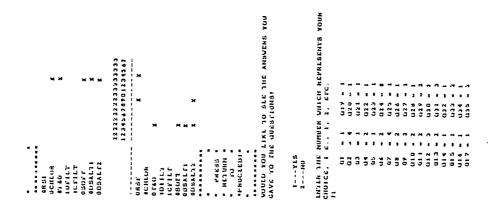
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MAPMAT COMPUTER OUTPUT FOR DAVID WATER SUPPLY

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APPENDIX S

MAPMAT COMPUTER OUTPUT FOR BOCAS DEL TORO WATER SUPPLY

-327-

HAPPAT HAS DETERMINED THAT THE FOLLOWING TECHNOLOGIES ARE AVAILABLE:

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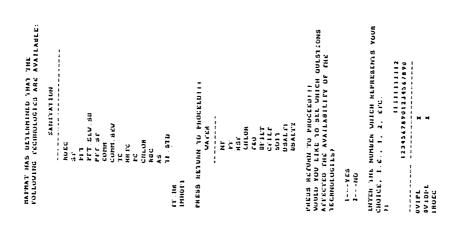
ENTER THE NUMBER WHICH REPRESENTS YOUR CHOICE; I.E., I, 2, Erc.

13 TO HAVE CONVLETED THE COST HAVIO SECTION OF HAPMAT. YOU ARE READY TO PROCEED TO ANOTHER SECTION OF MARMY. YOU VILL BE RETURNED TO THE MAIN HOUD.

APPENDIX T

MAPMAT COMPUTER OUTPUT FOR DAVID SANITATION TECHNOLOGY

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TOTAL PREBENT VALUE COST KATIO FREATMENT

PRESS HETURN FO PROCEEDITE NAPRAT HAS STORED YOUR TREATHENT TRAIN

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1----YE8 2-----HU

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SECTION OF MARMET. YOU ARE READY TO PROCEED TO ANDJHER SECTION OF MARMAT.
YOU VILL BE RESUMENCE TO THE MAIN MENU.

PRESS RETURN TO PROCECUIT

APPENDIX U

MAPMAT COMPUTER OUTPUT FOR PENONOME SANITATION TECHNOLOGY

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7 tu	¥ ; _	101AL PRESENT VALUE COST RATIO.	REFURN TO PROCEEDITI	2 5		AL CAFINAL	98	ESENT VALUE COST RATIO.	PHEBS RETURN TO PROCEEDING THE STRENG TO SERVING THE STRENG THE SERVING SERVIN	20	אַר אַר	7 7	DET RE COST	7	TOTAL PHEBENT VALUE COST	HN TO PHUCEEDIII TRAIN 7	=	ΛΛ	13	. E	:		_	TOTAL PRESENT VALUE COST R	HN TO PHOCEEDIII	•	2 7	13	AL CAFITAL
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FECTINOLOGY				PF.C S.L	TUTAL PREEENT	PRESS RETURN C.	TUTAL PRESE		FREATHENE	NIAHI	 -	7	-	-	,	•	7			MAPMAL HAS STORED YOUR CREATHENT	COST RATIOS IN A TEMPORARY	YOU WANT TO BYOKE THE DATA	DENIAM FILE	1Y	3R	CHOICE; I.E. I.		YOU HAVE COMPLETED THE COST HATTO SECTION OF HAPHAT YOU ARE READY	TONY OF GEED	
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APPENDIX V

MAPMAT COMPUTER OUTPUT FOR INDONESIAN TEST SITE TWO



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PRESS REFURN TO PROCEDULLI MARATA HAS AUST STONED YOUR TECHNOLOGY MAALLABLEITY DATA IN A FEBFORMY FILE. WOULD YOU LIKE TO STORE THE DATA FEHFEMANIEY? ENTER THE NUMBER VHICH REPRESENTS YOUR CHOICE, I E , 1, 2, ETC.
IN THIS SECTION IS CONTEXTE. YOU VILL BE RETURNED TO THE MAIN HAND. 1 ----YES

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WHAT IS THE LOCAL SIFE!

PRICHE AND PRESS AS AN INTERCENT FOR ANTE EXAMPLE LINES AND A THIRE PERCENT

IN THE ANALYSIS (HAXINUM-100)

WHAT IS THE PRIDO OF DESIGN TO BE USED IN THE ANALYSIS (HAXINUM-100)

WHAT IS THE LOFFORTUMITY COST OF CAPITAL OF DISCOUNT HATE TO BE USED IN THE ANALYSIS (HAXINUM-100)

WHAT IS THE PRICHE WITHOUT THE WISE OF THE TECHNOLOGY IS THE LEVEL OF THE TECHNOLOGY IS THE TE

LIVELINDOD FNUM ACRICULTURE. THE SECONDARY AND FRHAMY SCHOOLS ARE DEVELUED ARE DEVELUED UT THE OBJECTION MAY BE VERY VARIABLE.

AN EXAMPLE IS A RURAL FOUN OR SHALL CITY. PHESS RETURN TO PROCEEDING

PRESS HEFUNN TO PROCEEDIII

3--THE INTERSTRUCTURE HAS ANALLAREE
SCIENTISTS, ENCHMENS, AND OTHER
FROCESSIONALS BUT INFORTS ALMOST
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URL DEVELOPED VITH GENERALLY GOOD
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THE PURVALION FRHAMILY DEFENDE
THE PURVALION FRHAMILY DEFENDS
AGRICULTURE HELASED ENTERPRISE.

AN EXAMPLE 15 A LANGE BUT IBULATED CLIY, POSSIBLY A REGIONAL CENTER OF COMMERCE. PRESS RETURN TO PROCEEDING

FRESS RETURN TO PROCEEDIII

4-THE INVESTINCTURE CLOSELY

RESENDES A LANGE CITY IN A

BUYLLOFE COUNTY BIGHIICANT

PUNTUAN OF THE POPULATION FINISH

HIMANY AND SECONDAIN SCHOOL

AVAILABLE AND HIGH TEMPOLOGY IS

ALSO AVAILABLE. AN EXAMPLE IS THE

MATIONAL CAPITAL OF A BUYLLOPING

COUNTY.

1--HUAAL VIELAGE LEVEL. 1--RUBAL DOUN UN SHALL CITY LEVEL. 3--LAMEE BUT ISSUATED CITY LEVEL. 4--HAJIOHAL CAFIJAL EEVEL. PRESS RETURN TO PROCEEDIII

If YOU NEED TO REVIEW THE DEFINATIONS THE THIRD ONESWISE ENTER THE OTHERWISE CHOICE, ILE, I. 7, ETC. 11 HARMAT GELEKS TWG OFFIGNE FOR CONSTRUCTING RELATIVE COST RATIOS:

I --- THEATHERT TRAIN DATA STORED

OPERATION AND MAINTENANCE . COBT . 179494881 . 481085099 2 . 99641214 OPERATION AND MAINTENANCE COST OPERATION AND MAINTENANCE COSF 449237202 919464884 1.82545909 ENTER THE NUMBER UNICH REPREBENTS YOUR CHOICE: 1.E., 1, 2, ETC. P.1 MAPHAT VILL BE HIGHT BACK 1---TREATHENT THAIN DATA WHICH YOU YOU HAD HAPHAT STORE FEHHLMTANILY BY A TILE NAME. 1.61723393 TUTAL PRESENT VALUE COST RATIO. S TOTAL PHESENT VALUE COST RATIO. 2 TOTAL PRESENT VALUE CUST RATIO: 11 FEMPOHARICY BY YOUR USE OF HAPHAL. PRESS RETURN TO PROCEEDING THEATHERN THAIN 2 FREATHENF THAIN I PHESS REFURN TO PROCEEDING TREATHERT TRAINS FECHNOLOGY AL CAPITAL E TECHNOLOGY AT COST E TECHNOLOGY AT COST 1 .55 1 VIDPL 1 1.02 2 AP 5 18 3 AP-BULLAGE 10 5.07 VIPC 2 PET 8

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1 ----YES 2----NO

CHOICE, I.E., I. J. ETC.

10 TOU HAVE CONTEXED THE COST HATTO

SECTION OF MANAGE. YOU ARE WEAVY TO

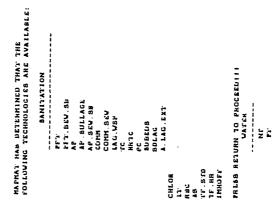
FROEELD TO ANOTHER SECTION OF MANAT.

YOU VILL BE RETURNED TO THE HAIN HENU.

PRESS RETURN TO PHOCEEDIII

APPENDIX W

MAPMAT COMPUTER OUTPUT FOR INDONESIAN TEST SITE FOUR



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PRESS RETURN TO PROCEEDING

2-- CONTINUED

AM EXAMPLE 14 A SHORT

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PHESS RETURN TO PROCEEDITE

3--710: INHARATROTURE HAS AVAILABLE
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PRESS RETURN TO PROCLEDITI

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PHESS RETURN TO PROCEEDIFF

APPENDIX X

A LISTING OF THE MAPMAT PROGRAMS

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IUR I = 1 TO AG. NEXT . HUME : RETURN
FRINT : PRINT "FRESS RETURN WHEN YOU ARE READY TO ": PHINT "PROCEED TO THE NEXT STEP.": INPUT YF: HOME : RETURN
FURI | A I TO D. CALL | - 1039: NEXT : RETURN
HOME
                                                                                                                                                                                                                                              DATA 255,255,173,48,196,134,208,5,204,9,3,240,9,202,208,245,174,8,3,74,10,3,94
DATA 202,72,192,72,184,72,132,72,118,73
DATA 172,234,102,154,108,78,102,234,172,234,102,154,108,78,102,234
                                                                                                GGTO 1000
FOR LOC = 774 TO 798: READ BYTE: POKE LOC.BYTE: NEXT
FOR I I GO 14: READ P.D: POKE 774.F: POKE 777.D: CALL 778: NEXT
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PRINT "AS YOU USE HAPPAT YOU VILL RESPOND 10"
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1120 PRINT "THE HAPHAT INSTRUCTION HANDAL"
1130 PRINT, PRINT" A QUESTION FAIR TOLLOWED UY A BLINKING"
1140 PRINT "SHINT" RAPHAT 16 UAITING YOUR RESPONSE"
1150 PRINT "THAT BAPHAT 16 UAITING YOUR RESPONSE"
1260 GOBUL 210
12810 PRINT U1;"HUN HAPHAT, HAIN"

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3:A34(1,5) m "X":A1(1) m O: NEXT: FOR 1 m 5 TO 4:A34(1,5) m "X":A1(1) m O: MPWY : POD 1 m m m m m m m m m m m m m m m m m m	
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3110 FORT # 4 TO 8-ABSC[40] # TET-ALLID # O: NEXE :ABSC(10) # O: RETURN 3110 FOR F. A. C.	
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2123 FUR 1 = 13 FU 17: Angl. 10 m mm. Angl. 10 m mm	1) - 0: NEXT
3130 FOR 1 = 34 10 25:33:(5,10) = THIRMIND FOR NEXT : FOR 1 = 35 TO SELECTION = THIRMIND = 0: NEXT	
0) - "K": ALCED - 0; NEKT : RETUNN	D TO 31:A38(1,1
21.25 A36(2.11) = "X"(A1(2) = 0:A36(2.11) = "X":A1(3) + 0:A36(9.11) = "X":A1(9) = 0:A16(2) = 0:A16(
7.7 A	.11) - "I":A1(1
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21:0 FOR 1 = 1 TO 3.830(1.12) = "X".A1(1) = 0: NEXT : 830(4.12) = "T". 120.	
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2152 A34(5,12) = "E";A1(6) = 0: RETURN	
2155 A36(4,13) m nm:A1(4) e D:A30(6,13) w nm:A1(1) w D:A30(10,13) m nm:A1(10) m D:A30(11,13) m nm:A1(4) w D:A30(11,13)	
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2125 FOR 1 - 38 20 40:A38(1,13) - "X":A1(1) - 0: NEXT : REJURN	
3160 AM F 7.AC = 7:AD = 29: GOSUM 23G:AB = 11:AD = 29: GOSUM 23D:AB = 34:AC = 14:AD = 29: GOSUM 33A	
1400 AB # 14:AF # 17:AB # 29: GOSUB 210:AB # 20:AC # 21:AB # 29: GOSUB 220:AB # 23:AC # 23:AC # 23:AC # 21:AB # 23:AC # 23:AC # 23:AC # 23:AC # 24:AB # 23:AC # 23:AC # 24:AB # 23:AC # 23:AC # 24:AB # 23:AB	
2195 AB # 18.50 = 19.50 = 29. CORRES 200.58 = 35.50 = 25.50 = 29. COSUB-230.5B = 20.50 = 29. COSUB-230.	
2200 AK # 12:AC # 32:AU # 19: GOSUB 220:AK # 39:AC # 11:AA # 20: AC # 20:AC # 20: AD # 20: GOSUB 20	
2203 AB # 24.AC # 28:AD # 29. GUBUS 230.AB # 33.AC # 32.AD # 29: GUBUS 220.AE # 40.AC # 40.AC # 40.AC # 40.AC	
2110 AB 4 181 # 180 # 201 GOUD 230 AB # 8:AC # 11:AD # 30: GOBUB 230:AB # 13:AC # 30:AD # 30: GOBUB 234	
2220 As a 12-70 a 12-70 a 30 COMBE 200-88 a 20-56 a 21-70 a 30- COSUS 230	
2225 AF 4:AC = 4:AC = 4:AC = 4:AC = 220:AC = 220:AC = 20:AC =	
2230 AB # 14:AC # 14:AD # 21: GOBUE 230:AB # 17:AC # 14:AD # 31: GOBUE 230 # 34:AD # 3	
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135 AB # 28:AC # 40:AU # 31: CUSUB 230	
1244 AB # 125 AC # 165 AD # 21; GOSUB 210:AB # 20.AC # 22:AD # 31; GOSUB 230:AB # 24:AC # 24:AD # 31; GOSUB 230	
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HOME : PHIRE "THEATHER THAIN ";DIECES; PHINT" PHIRE TABLE 3), "STAGE"; TABLE 10), "TECHNOLOGY"; TABLE 22); "YEAR BUILT". PHINT TABLE 33);"----"; TABLE 10);"-----"; TABLE 22);" 315 FRINT D. "CLOSE", FS: RETURN
320 PRINT DS. "CCLOSE", FS: RETURN
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330 C. A. I. PRINT DS. "RAD", FS: "RETURN
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331 INFOL GESCO.)
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344 FOR I. C. C. I. D. C. A.
345 FOR I. C. C. I. D. C. A.
347 FOR I. C. I. " C + 1 TO C + AF.AL = AD + 1 /AL (424(1)):AB = VAL (414(1)) TAB(5);AD; TAB(10);A14(AB); TAB(24);A1 305 HOHE PRO 11 AN - 1995 AU - 17 AN - 1995 AU
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THE FAIRT "IN THE FOLLOWING SECTION HAPHAT WILL "; PHINT "ASSIST YOU IN ESTABLISHING THEATHENT"
PRINT "SCHLARS TO BE USED IN THE GPTIHIZATION"; PRINT "ANALYSIS FOR THE TECHNOLOGIES WHEN"
PRINT "ARE ANALLABLE YOU HUST SELECT AT LESST; PRINT "OHE PROCESS FOR EACH STAGE THAT YOU USE"
PRINT "IN THE INCATHLM SCHEME. YOU HAY "; FHINT "SELECT UP TO 99 SYACES AND 999"
PRINT "IN THE THENT SCHEMES. FECHNOLOGIES CAN BE"; PRINT "CHOSEN TO BECOME OPERATIVE ALL AT THE"; PRINT "BANE TIME ON A FISCHE
                                                                                                                                                                                                                                                                                                                                                                                 PHINT FOR NO AND PRESS RETURN "
FIRST FOR NO AND PRESS RETURN "
FIRST FOR NO GOAL—"AL. PRINT "GUD CALL—"AN. PRINT "COLIFORM GUAL—", AM. PRINT "COLIFORM LOAD—", AO. INFUT AN. 
IF AM. * "Y" THEN COLO CALL "
FOR NO AN. * "" THEN R = R - (AI + 1): AG = AG - 1: GOTO 433
GUSSU 203: GUFO 423
                                                                                                                                                                                                                                                                            COSUD 103: NOTE. PHINE "A THEATHENT GOAL IS NOT FULFILLED FOR". PRINT "THIS THEATHENT SCHEMEITE DO YOU WANT PRINT "FO MAINEAR Y FOR YES OR H."
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 LO * CHE (4):10 = CHE (4):10 = CHE (23)

HOWE : FRINT "CLECKENT TO "CHEATED" TO EVENT THE PURPOSE OF THIS SECTION IS:"

PRINT "THE COST SECCIOUS FROM THE TRAINS, DISCOURS: PRINT "LIK TO THE COST ESTHATION SECTION."

PRINT "THE COST SECCIOUS AND "SPINT "THE COST ESTHATION SECTION."

PRINT "THE COST SECCIOUS OF HAPMAN THEN TYPE "SPINT" "THE COST ESTHATION SECTION.

PRINT "THE WORL SECTION OF HAPMAN THEN "THE "SPINT" "THE COST ESTHATION SECTION.

PRINT "THE WORL SECTION OF HAPMAN THEN "THE "SPINT" "THEN THE "SPINT" SECTION.

PRINT "THEN "THE COST SECTION OF HAPMAN THEN "SPINT" "THEN "THEN THE "SPINT" "THEN "
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              HORE: FRINT "HAPHAY OLFERS LIBEE CEPTIONS FOR "; PRINT "CONSTRUCTING TREATHENT TRAINS;"; PRINT PRINT " 1---DATA STORED FERPERATURY " YOUR USE OF MAPPAY"
FRINT " 2---DATA UNICH YOU IND MAPHA! STORE"; PRINT " PERHEHTANILY BY A FILENAME"
GOSUB 213
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   LIM A38(40), A1f440), A28(40), A4f6(40), A3f6(100), A4f6(100), A7(40), AB6(40), B1f(100), B2f6(100)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  MAIN PHOGRAM
7 FEKF RECURN
D FOR 1 - 1 10 40
S MEND AS.AC
O A7(1) - A AB(1) - AC
NEXF RECURN
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20355
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PHINY "TYPICALLY A TREATMENT SCHEML WILL ": PHINT "INCLUDE SEVERAL STAGES, 1.E., A THREE": PRINT "STAGE THEATMENT SCHEME COUL AD - 23: IMPUT "AB";AD: GGSUB 410: GGSUB 425: GGSUB 435: RLTURN GGSUB 410: FOH 1 w 1 fo 40:AD - 23:AB - 1: GGSUB 415: NEXT GGSUB 435: RETURN 30130 FOR 1 - 1 FO 40:AN - 1000:AO - 2000
30131 AN - AN - ANTODO - AN - ASTID
30131 AN - AN - ANTODO - AN - ASTID
30132 FRINT
3020 FOR 1 - 1 FO 20: PHINT "H=";1;" ";ASTID;" ";AN
30230 FOR 1 - 1 FO 40: PHINT "TAST 4);ASTID; "ASTID; "ASTID;
30300 FOR 1 - 1 FO 40: PHINT "ASTID; "A

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- "7" OR AAS
                          100 GOTO 2000 REP.
200 REP.
201 REP.
202 REP.
203 LOR L = 1 TO 3, CALL - 1059; RETURN
210 FRING: 108 LORGED 11: "YES RETURN
210 FRING: 108 LORGED 11: "YES RETURN
210 FRING: 108 LORGED 11: "YES RETURN
211 FRING: "LATER THE HOWELH WHICH REPRESENTS VOUR: PRINT "CHOICE; 1.E., 3, 2, ETC."; INPUT ANS
217 IK ANS "GOTO 219
218 AN * 1000
                                                                                                                 10 HUME : FRHIT "GO YOU VARY THE FRHITER GHT": GOSUB 220
21 IF AA 4 I JUR AA 7 2 THEN GOSUB 205: GOTO 20
100 GOTU 20000
MAPHAT, COST, NATEG
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GRAI
HUNE: "B"; TABE 16),"W": PHINT "THE 15);"VU"; TABE 29);"OPEHATION": PHINT "A"; TABE 15);"EI"; TABE 32);"AND": PRINT "G"; T
15);"AL"; TABE 18);"CAPITAL"; TABE 29);"HAINTENANCE"
PHINT "E"; TABE 40;"TECHNOLOGY"; TABE 15);"HT"; TABE 18);"COST"; TABE 32);"COST"
PHINT "E"; TABE 40;"TECHNOLOGY"; TABE 15);"E", TABE 18);"E" TABE 32);"ENDERFORM
PRINI AD, TABLE 41, AISTAD); TABLE 183; AI; TABLE 183; ABLE 293; AACTO
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 FUR 1 = C + 1 10 C + AE:AD = AD + 1
AI = VAL (B28(I)):AB = VAL (B18(I))
GUSUU 1000
                                                                                                                                                                                                                                                                                                                                                                                                 AF = VAL (E25(C))
FOR 1 = C + 1 TO C + AF
FOR 1 = D = TO C + AF
FOR 1 D = TO C + AF
INPUT B18(1), 428(1)
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110 (17 ) 300 00 (10 ) ALT | 10 AACT) | 10 AACT) | 10 AACT | 10 AA
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         THEN TAKE STEERINGS. A LOCAL COLLEGE MAY BE": PRINT TAKE STEAVALLABLE. LESS THAN 25 PERCENT OF—
FRINT TAKE STEAM PRINTING PRINTING PERMITS DEFENDS ON": PRINT TAKE STEAM PERMITS DEFINED ENTERPRISE.—
GOSUM 210: NOME: FRINT " 3-----CONTINUED......": PRINT TAKE STEAM PERMITS PRINT TAKE STEAM PERMITS."
FRINT TAKE STEAM EXAMELE IS A LAKEE BUT ISOLATED": FRINT TAKE STEAM POSSIBLY A RECIONAL CENTER": PRINT TAKE STEAM
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          PRINT " 3--"THE INFRABTRUCTURE HAS AVAILABLE"; PHINT TABL 3);"BCIENTISTS, ENGINEERS, AND OTHER "; PRINT TABL 3);"PROFES
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 FRINT " 4--THE INFRASTRUCTURE CLOSELY"; PRINT TABE 3),"RESCHOLES A LARGE CITY IN A"; PHINT TABE 3);"DEVELOPED COUMTHY
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      ": PRINT TABE S); "HESEAHCH
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             AZO GROUPE INTERPRETATION SOLUTION OF THE STATE OF THE ST
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        : COSOB 210 HOME
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872 GOSUN 210 875 HOHE : FILM: " 1HUHAL VILLAGE LEVIL ": PRINT " 2BILDET TOWN " " 3
". FRINT . 3LANGE BUT ISOLATED CITY LEVEL." FRINT . 3LANGE BUT ISOLATED CITY LEVEL.
270 11 AA 7 4 UN AA 7 THEN GUSUB 205: GOTO 873
669 TEXT : RELUNN
HZH 0001
7 1010 7 23:0 47 29:01
1021 11 A31(4) 1 "1" THEN P. 2 . 0
10.22 IF A21(2) = "L" (TEN P1 = 0
1035 FUR CB = 1.10 C3
2
ILAGA NEXT
1055 FF C 4 2200 JHIM C 2 10
I CO DATE TO THE CO.
10/0 1F C9) SUUDO HIEN C3 m 3
•
1080 D3 (1 - ((1 + E4) A - D2)) / E4
100 REH
1101 GZ - 2051F1 1: REJUIN
1105 C7 = 4.12/F1 = 1: RETHUM
947:11
3
1100 CZ × 4011F1 w 1.PZ w 1. BYTHER 1100 CZ × 4011F1 w 1.PZ w 1. BYTHER
•
1113 C7 - 541PJ - 1 RETURN
1111 C. 2. 377-FZ 1. F3 = 1: REJUIN
III 6 C x 193 ILLIAM
111. C2 = 10000 RETURN
C3
1120 CV = 2091P2 w 1: PP3 w 1: IETUURA
C7 - 337: P2 - 1. P3 - 1;
1124 C 2 3 3 3 3 2 2 4 1 1 1 2 2 2 2 3 3 3 2 2 4 2 3 3 3 2 2 3 3 3 3
ZED13X a part a

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20020 HER
20160 DIM AIR(40),A21(40),A31(40),A41(40),A5(100),A4(100),A7(40),A8(40),B11(100),B21(100)
20102 DI - CHHI (4):11 L CHAI (9).WJ - CHRI (23)
20110 II AA - 2 GUIO 20120
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               MAIN PROGRAM
                                                                                                                                                 . REH

C2 - C7 + 0: RETURN

C2 - C7 + 1. HIJUIN

C3 - C7 + 1. RETURN

C7 - C7 + 1. RETURN

FULL 1 - 1 TO 100:A3(1) - 0:A4(1) - 0: NEXT : RETURN

FR. 1 - 1 TO 100:A3(1) - 0:A4(1) - 0: NEXT : RETURN
                                                                                                                                                                                                                                                                                                                                                                                                  PRIMI DE, "CLOSL"; EFFURN
GUSUM ZIO: FEXF : RETURN
RETURN
1124 C7 : 2

1124 C7 : 2

1124 C7 : 1

1124 C7 : 1

1124 C7 : 1

1134 C7 : 1

1134 C7 : 1

1135 C7 : 1

1136 C7 : 1

1137 C7 : 1

1138 C7 : 1

1139 C7 : 1

1131 C7 : 1
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HAPHAT.": PRINT
                                                                                                                                                                                                                                                            HUNE: PAINT "VELCOME TO FOR COST BATIO SECTION OF": PRINT "HAPHAT THE PURPOSE OF THIS SECTION IS-
PRINT "TO CASSINCT" RELATIVE CAST BATIOS FOR": PRINT "TREATMENT THAINS PREVIOUSLY CONSTRUCTED"
PHINT "THE VACIOUS SECTION OF HAPHAT THEN TYPE ". PRINT "VHONG AND PRESS RETURN. IF THIS IS THE"
HIND "ALL WIGHE SECTION FIRM TYPE RIGHT AND PRESS": PRINT "REFUNN.": INPUT AAI
IF AAS " "WORDE" COTO 20275
GUSBU 100. COTO 20270
ENTRY DISTRICT OF 20200
GUSBU 200. COTO 20200
GUSBU 200. COTO 20200
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            If AA ( I OH AA ) 2 THEN GUSUB 205: GOTO 20350
OH AA COFO 20370, 20310, 20370
HTHAN DA: "CATALOG": GGSUB 210
FRINT : FRINT "ERICH THE FILE NAME": INPUT F1: GOTO 20383
F1 ** "TARMANI DAIA 2"
HOWE: FHILM "HAIMAY VILL BE RIGHT BACK": FRINT : FRINT: PRINT: TABC 123; "FLEASE VAITILI": GOBUB 533
HOWE: FHILM "HAPPHAI HAS STOHED YOUR THEATHENT TRAIN": FRINT "COST RATIOS IN A TEMPORARY FILE. DO"
COSUB 220
COSUB 220
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              II AA ( 1 GH AA ) 2 THEN GUEUB 203; GUTO 20430
ON AA GUTO LOHAT, 2044
PERING STATEMENTER CHE FLE NAME" HAUF FI
HOME : PRING "VOU HAVE COMPLETED THE COST RATIO ": FRINI "SECTION OF NAWHAT YOU ARE READY TO
"""" PRINCEED TO ANDRIES SECTION OF MAPHAF" " PRINT "YOU VILL BE RETWHED TO THE MAIN HEND."
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   HOME: FRINT "HAPHAT DITLES TWO OPTIONS FOR"; PRINT "CONSTRUCTING RELATIVE COST RATIOS;";
PRINT " 1---INKATHENEV (TAIN DATA STORED "; PRINT " TEMPORARILY BY YOUR USE OF "; P
FRINT " 2---TURATHENT TRAIN DATA VILLE WOU"; PRINT " YOU HAD HAPHAT STORE"
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      IF AA " 1000 THEN GGSUB 203; GUIO 20303
LE AA ( 1 OR AA ) 2 FHEN GOSUB 203; GOTO 20303
LE AA ... I THEN GOTO 20380
HUME ... PERINT "LU YOU HEED A CATALOG OF THE DISK TO "; PRINT "LOCATE YOUR FILLHAME!";
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     II AA ( I UN AA ) 2 THLM GOSUB 205: GOTO 20410
If AA 4 2 GOTO 20508
HOME : PHINT "LO YOU NEED A CATALOG OF THE DISK TO ": PHINT "LOCATE YOUR FILEWAHET"
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 FUR 1 - 1 FO 40
FULKE TABE 3):1: TABE 3):A18CE); TABE 12):"A=";A36CE); TABE 24);"G= ",A26CE)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 AD = 23: INPUT "AB";Ab. GUSUD 410; GUSUB 423; GUSUB 435: HETUHN
GUSUB 410; FOR 1 = 1 TO 40;Ab = 23;AB = 1: GOSUB 425: NEET
PRINT US,"PRAI", PRINT US,15,"F;

F1 * "TANHAN DATA,"" GOSUB 225

F1 * "TANHAN DATA,"" PRINT D6;"GELETE"; F1

F3 * "TANHAN UATA 3"
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       PRINT DESTRUCT PRINT DESIRET
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               CUSUB 215
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. 1. 2. ETC.", INPUT AA8

AA8 = "3" OR AA8 = "4" OH AA8 = "7" OH AA6 = "8" FIEN AA
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  133 FMINT DISTRICTANTION AND TO THE COLUMN AND THE 
1 16.47

2 AEFF

10 HUML: FILING WOU VANG DIL FHIRGER CHR.; GOBUB 220

11 16. As ( 1 08 As ) 2 FIER GOBUD 203; GOTO 20

100 CUTO 20000

200 HERD

101 HERD

102 HERD

103 HERD

104 LE 1 TO 3: CALL - 1057; NET : RETURN

115 FRING

116 FRING

117 HERD

118 FRING

119 FRING

119 FRING

110 AS = "1" OR AS = "2" OR AS = "3" OR AS = "4" OR AS = "4
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NLXT
HOME: CHINN "THEATHERN THE TABLE 15),"WIT TABLE 27);"OPENATION": PRINT "A"; TABLE 15);"EI"; TABLE 32);"AND": PRINT "G"; TABLE 15);"EI"; TABLE 32);"AND": PRINT "G"; TABLE 32);"AND TA
                                                            142 FAILT CARL CADAL WITH CARL AGE BETT RETURN
152 FAILT CALL CADAL WITH CASE AGE BETT RETURN
153 FAILT CALL CADAL AGE BALL FORE 33-121 FORE 33-131 FO
ABS = "sassasasasa", ACS = "a
PHINT TABL 73, AD: PHINT ABS: PHINT ACS: RETURN
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11 FIRED DESTRUCTION OF THE CONTROLL OF THE CO FRINT " 4--THE INFRABIRUCTURE CLOSELY"; PRINT TABE 51; "RESERBLES A LARGE CITY IN A"; PRINT TABE 3); "DEVELOPED COUNTRY. TABE 5);"AVALLABLE AND HIGH TECHNDLOGY 15"; PRINT TABE 5);"ALBO AVAILABLE. AN EXAMPLE 15 THE"; PRINT TABE 5);"NATIONA TABL 3); "FURTIONS OF THE POPULATION FINISH": PRINT TABL 3); "PRINANY AND SECONDARY SCHOOL. "; PRINT TABL 5); "HESEARCH "MAPMAT, COST ONE": L . 40:B = 0 SIGNIFICANT"

845 PRINT TAUC 5); "PURTE
PHUFESSIONALS ARE HEADILY"

870 FRINT TABC 5); "AVAIL

27. HOLD CO. C.
RINI . 4 N
405 IF AAS "HELP" THEN FEXT : GUTO 740
AND TAIL OF THEM COSUB 205: GOID 875
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110 - 111 -
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1020 MR Ab GOSUB 1101, 1102, 1104, 1
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10.25 ACH
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16 C 9 2 25.
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C7 - 349;P1 - 1;
67 - 385:F1 - 1:
. 401:61
L7 = 417:F3 - 1
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3
C7 - 513:P3 - 1 RETURN
C7 - 529:P2 -
C7 * 545.F1
C7 - 561:P3
C7 - \$77:12
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C 10000 RETURN
12 - 177.82 - 1.83 - 1.
C7 = 309:P2 < 1 F3 = 1:
C7 = 337:P2 = 1:P3 = 1:
C7 = 353:1/2 = 1 F3 = 1;
C7 - 321:P2 - 1:P3 - 1:
1126 C7 = 289:12 = 1:19 = 1: hillian

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THAIN": PRINT TABE 3); "TOTAL PHESENT VALUE COST HATIOS"; PRINT:
4); "THEATHENT"; FABE 21), "VALUE"; PRINT TABE 8); "THAIN"; TABE 18); "COST RAFIO";
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FHINT "UELCOME TO THE SENSITIVITY SECTION OF": FRINT "MAPMAT. IN THIS SECTION YOU CAN": PHINT "HODIFY FREVIOUS DATA T
                                                                                                                                                                                                                                                                   HOME. PHINI "HAPMAT VILL BE RIGHT BACK": PRINT : PHINT : PHINT TAB( 121,"FLEASE VAITILL": GOSUB 535
GUSBUL 1906
Hohe : Phint "Hapmat hae Stoked Your Theathen Thain": Phint "Cost Hatius in a Temporary File. Do"
PRINT "You vanf to store the Data Under a ": Print "Perhentani File Name?"
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ON AA COID LIGHTZ 20443
PRINT US, "CATALOG" GOBUE 210
PRINT US, "CATALOG" GOBUE 210
PRINT US, "CATER FOR FILE THE FLE THEFF, INPUF 61
FORTH FRINT "ENTER FOR FILE THE COST RATIO ": PRINT "SECTION OF HAPMAT. YOU ARE HEADY TO INFINIT "PHOTEED TO THE MAIN HEND."
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           JE AA ( 1 UR AA ) 2 'HEM GUSUB 205: GOTO 20410
If AA - 2 GUTO 2050
IOML : PRINT "GO YOU NEED A CATALOG OF THE DISK 16": PRINT "LUCATE YOUR FILENAME?"
FS = "MAPHAT DAYA.I": GOSUB 225:F8 = "MAPHAT.DAYA.2"
Rem fs = "Maphaf Dafa 3"
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II AA (1 UM AA) 2 THEN GOSUU 205: GOTO 20330

PHINT DA. "CATALLG": GUSUB 210

PHINT DA. "CATALLG": GUSUB 210

PHINT DA. "CATALLG": GUSUB 210

GUSUB 200

G
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DS;"RUM HALHAT OPTIHIZE"
DB;"HUN HAPHAT OPTIHIZE"
                                                                                                                                                                                                                                                O INVESTIGATET
20221 PRINT "11
20222 PRINT "11
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APPENDIX Y

GLOSSARY

1.	Appropriate technology	technology which is suited to local
		conditions on the basis of resource
		availability, technical constraints,
		the economic infrastructure, and
		cultural factors.
2.	Contaminated water	water which is generally unfit for
		human use due to level of pollutants
		which it contains, i.e., coliform
		bacteria, DDT, heavy metals, etc.
3.	Turbid water	water which contains suspended
		materials such as dirt, solids, etc.
4.	Clean water	water free from significant amounts
÷		of contaminants and/or turbidity.

5.	Waterborne diseases	. those diseases which are contacted
		through the consumption of water.
6.	Water wash related	
	diseases	those diseases which are generally
		correlated with the unavailability
		of clean water to rinse vegtables,
		etc.
7.	Water related insect	
	vector diseases	those diseases which are generally
		contacted when water stands untreated
		in open catchment for significant
		periods of time.
8.	Infrastructure	the institutions, forward and economic
		backward linkages, public services,
		and public works supporting economic
		development.
9.	MGD	million gallons per day.
10.	Modem	a telecommunications device to link
		one computer to another over telephone
		lines.
11.	Kinetics and mass .	
	balance equations	those physical, chemical, and/or
	,	mathematical relationships which
		define how a particular process will
		react during the treatment of
		water/wastewater flow.

12. Treatment goals

the specification of the standard levels to be allowed for certain pollutants; i.e., biological oxygen demand (BOD), most probable number (MPN) of coliform, chemical oxygen demand (COD), etc.